Customer No. 000959		
Case Docket No.	UMM-026	

	Ċ.
$\overline{\sim}$	C55
3	1
$\overline{\omega}$	
98	Ŋ
	70
	3

THE ASSISTANT COMMISSIONER FOR PATENTS Box Patent Application Washington, D.C. 20231

"Express Mail" Mailing Label Number <u>EL008487102US</u>

<b>=</b> 0		Ì	Date of Dep	osit <u>March 13, 19</u>	98					
			deposited with the 37 CFR 1.10 on the Box Patent Applica	United States Posta e date indicated abo ation, Washington, l	al Service "Express ove and is addressed DC 20231.  Lywww.	Mail Post Office to the Assistant	to Addre			
	<b></b>	<u></u> -							_	
	Sir:									
	Transmi	tted herewit	h for filing is the	patent application	of					
	Inventor	(s):	J. Don Chen and I	<del>I</del> ui Li						
	For:	A Transcr	iptional Coactivat	or Of Steroid/Nuc	clear Receptors A	nd Uses There	fore			
in the second	Enclosed	l are:								
				continuation				R 1.53(b), of pendi	ng prior a	application
	X	85	pages of specifica	ation, 4 pag	ges of claims, 1	pages of	abstract	•		
	X	16 [Figures 1-9] sheets of drawings.								
	X	An unexecuted Declaration, Petition and Power of Attorney.								
		An assignment of the invention to A recordation form cover sheet (Form PTO 1595) is also enclosed.								
			,	olish small entity s		F.R. 1.9 and 3	7 C.F.R.	. 1.27.		
		Other								
	The filin	The filing fee has been calculated as shown below:								
		g roo mas oc	on carcarated up 5.	nown below.				OTHER THAN	1	
г			(Col. 1)	(Col. 2)	SMALL		7	SMALL ENTI	ΓY	
ŀ	FOR:		NO. FILED	NO. EXTRA	RATE	FEE	<u>OR</u>	RATE FEE		
- }	BASIC I		///////////////////////////////////////		/////////	\$ 395	<u>OR</u>	//////// \$		
ŀ		CLAIMS CLAIMS	41 - 20	= 21	x 11=	\$ 231 \$ 738	<u>OR</u>	x 22= \$ x 82 \$		
ŀ			L		+135	\$ 738	OR OR	+270 \$		
L			ENDENT CLAIMS  Col. 2 is less than		TOTAL	\$1364.00	OR	TOTAL	0	
		'0" in Col. 2		1 2010,	TOTAL	\$1504.00		TOTAL		
			rge my Deposit A e copy of this she	ecount No. 12-00	80 in the amount	of \$	<u>_</u> .			
		A check in	the amount of \$1	to cover the filing	fee is enclosed.					
		The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-0080.  A duplicate copy of this sheet is enclosed.								
			Any additional fili	ing fees required u	under 37 C.F.R. 1	.16.				

1
Ξ.
Ŀ

	Any patent application processing fees under 37 C.F.R. 1.17.		
The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.  A duplicate copy of this sheet is enclosed.			
Any patent application processing fees under 37 C.F.R. 1.17.			
The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R 1.311(b).			
	Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.		
A check in the amount of \$ to cover the recording of assignment documents is also enclosed.			
Address all future communications (May only be completed by applicant, or attorney or agent of record) to W. Hugo Liepmann at Customer Number: 000959 whose address is:			
	Lahive & Cockfield, LLP 28 State Street Boston, Massachusetts 02109		
March 13,	1998  LAHIVE & COCKFIELD, LLP Attorneys at Law  By		
	The Corr this application of the Aduptication of the Aduptication of the Aduptication of the Address at to		

# A TRANSCRIPTIONAL COACTIVATOR OF STEROID/NUCLEAR RECEPTORS AND USES THEREFORE

Related Applications

This application claims priority to a provisional application, USSN to be assigned, entitled A Transcriptional Coactivator of Steroid/Nuclear Receptors and Uses Therefore filed on February 4, 1998. The contents of that application is expressly incorporated by reference.

#### **Background of the Invention**

The invention relates to a new transcriptional coactivator of steroid/nuclear receptors.

Steroids, thyroid hormones, vitamin D3, and retinoids are lipid-soluble small molecules which play a role in the control of cell differentiation, embryonic development, and homeostasis, as well as adult physiology. These molecules exert the majority of their effects on cells by interacting with specific receptors which, when bound by a specific ligand, affect transcription by interacting directly with chromatin. In addition, in their unliganded state, these receptors inhibit transcription of certain genes. The diverse biological effects of these molecules suggest that hormone actions are mediated by complex signaling. The hormone receptors comprise a large superfamily which displays substantial specificity in regulating gene expression (Beato et al. 1995. Cell 83: 851-857; Evans. 1988. Science 240: 889-895) . These receptors share a common domain structure, including a N-terminal DNA-binding domain (DBD or C domain), which binds to specific DNA sequences, and a C-terminal ligand-binding domain (LBD or E domain), which binds to the cognate hormone. Retinoic acid receptors (RARs), thyroid hormone receptors (TRs), vitamin D3 receptor (VDR), peroxisomal proliferator activated receptors (PPARs), and several other orphan receptors form heterodimeric complexes with retinoid-X receptors (RXRs) (Yu et al., Cell 67: 1251-66, 1991; Kliewer et al., Nature <u>355</u>:446-9, 1992; Willy et al., Genes & Development 9:1033-45, 1995). Such receptor heterodimers can bind to a broad range of response elements composed of two related half sites and activate target gene expression (Yu et al., Cell 67:1251-66, 1991; Kliewer et al., Nature 355:446-9, 1992; Umesono et al., Cell <u>65</u>:1255-1266, 1991; Forman et al., Cell <u>81</u>:541-550, 1996 Heyman et al., Cell 68:397-406, 1992).

20

5

10

15

25

30

25

30

Transcriptional activation by steroid/nuclear receptors is thought to involve at least two separate processes: derepression and activation (Mangelsdorf and Evans, Cell 83:841-850, 1995; Wong and Wolffe, Genes Dev. 9:2696-711, 1995). Repression is effected in part by association of unliganded receptors with the nuclear receptor corepressors SMRT and N-CoR (Horlein et al., Nature 377:397-404, 1995; Chen and Evans, Nature 377:454-7, 1995). Ligand-binding triggers dissociation of these corepressors and recruitment of coactivators. Putative steroid/nuclear receptor coactivators have been identified, including: RIP-140 and RIP-160 (Cavailles et al., EMBO J. 14:3741-3751; Cavailles et al., Proc. Natl. Acad. Sci. USA 91:10009-13, 10 1994), ERAP-140 and ERAP-160 (Halachmi et al., Science 264:1455-8, 1994), TIF1 (Le Douarin et al., EMBO J. 14:2020-2033, 1995), steroid receptor coactivator-1 (SRC-1) (Kamei et al., Cell 85:403-14, 1996; Onate et al., Science 270:1354-1357, 1995), TRIP1/SUG1 (Lee et al., Nature 374:91-4, 1995), ARA70 (Yeh and Chang, Proc. Natl. Acad. Sci. USA 93:5517-21, 1996), transcriptional intermediate factor-2 (TIF2) (Voegel et al., EMBO J. 15:3667-3675, 1996), and CBP/p300 (Kamei et al., Cell 85:403-14, 15 1996; Chakravarti et al., Nature 383:99-103, 1996; Smith et al., Proc. Natl. Acad. Sci. USA 93:8884-8, 1996). Two of these potential coactivators, SRC-1 and TIF2, are related proteins and enhance transcriptional activation by several hormone receptors

(Onate et al., *Science* 270:1354-1357, 1995; Voegel et al., *EMBO J* 15:3667-3675, 1996; Smith et al., *Proc. Natl. Acad. Sci. USA* 93:8884-8, 1996; McInerney et al., *Proc. Natl. Acad. Sci.* USA 93:10069-73).

Hormone binding is thought to induce a conformational change in the receptor

and, in turn, activate the C-terminal ligand-dependent activation function (AF-2) of the receptor (Mangeledorf et al., *Cell* 83:835-839, 1995). At the extreme C-terminus of the AF-2 domain, there are about 20 amino acids that form an amphipathic helix (Bourguet et al., *Nature* 375:377-82, 1995). This helix is referred to as the AF-2 activation domain (AF2-AD) (Renaud et al., *Nature* 378:681-9, 1995), TC, or T4 domain (Baniahmad et al., *Mol. Cell. Bio.* 15:76-86, 1995; Hollenberg and Evans, *Cell* 55:899-906, 1988). Deletion and several point mutations in this domain abolish the AF-2 function completely (Damm et al., *Proc. Natl. Acad. Sci. USA* 90:2989-2993, 1993; Schulman et al., *Mol. Cell. Biol.* 16:3807-13, 1995; Barettino et al., EMBO J. 13:3039-3049, 1994; Durand et al., EWBO J. 13:5370-5382, 1994). The AF-2 domain can act alone as an activation domain (AD) when fused to a heterologous DNA binding domain (DBD) (Barettino et al., EMBO J. 13:3039-3049, 1994; Schulman et al., *Proc. Natl. Acad. Sci. USA* 92:8288-92, 1995). Comparison of the recent ligand binding domain (LBD) crystal structures of unliganded retinoid-X receptor α (RXRα; Bourguet et al., *Nature* 375:377-

USA 92:8288-92, 1995). Comparison of the recent ligand binding domain (LBD) crystal structures of unliganded retinoid-X receptor α (RXRα; Bourguet et al., Nature 375:377-82, 1995) with liganded retinoic acid receptor γ (RARγ; Renaud et al., Nature 378:681-9, 1995) and liganded thyroid hormone receptor α (TRa; Wagner et al., Nature 378:690-

15

20

25

30

35

697, 1995) reveals a striking difference in the relative position of the AF2-AD. It is proposed that, upon hormone binding, the AF2-AD rotates 180 degrees and forms part of the hormone binding surface, covering the ligand-binding cavity. The hydrophobic residues of the helix face the cavity, contacting the hydrophobic ligand, while the charged residues extend into the solvent, possibly mediating protein-protein interactions with coactivators (Bourguet et al., *Nature* 375:377-82, 1995; Renaud et al., *Nature* 378:681-9, 1995; Wagner et al., *Nature* 378:690-697, 1995). The AF2-AD domain has also been shown to be required for derepression by inducing dissociation of the corepressors (Chen and Evans, *Nature* 377:454-7, 1995; Baniahmad et al., *Mol. Cell. Bio.* 15:76-86, 1995). The discovery of novel molecules which are involved in hormone binding, transcriptional repression will allow for the modulation of responses to steroid hormones and, ultimately, will facilitate the modulation of cell differentiation, embryonic development, and homeostasis, as well as adult physiology.

#### Summary of the Invention

The invention is based, at least in part, on the discovery of a new transcriptional activator of steroid/nuclear receptors which is referred to herein as RAC3. RAC3 encodes a protein of about 1417 amino acids and is capable of interaction (enhanced by the presence of ligand) with hormone receptors that requires the presence of receptor AF-2 domains. RAC3 can also directly activate transcription. In addition, expression of RAC3 is increased in the presence of receptor-ligand complexes.

The RAC3 molecules of the present invention are useful as modulating agents in regulating a variety of cellular processes. Accordingly, in one aspect, this invention provides isolated nucleic acid molecules encoding RAC3 proteins or biologically active portions thereof, as well as nucleic acid fragments suitable as primers or hybridization probes for the detection of RAC3-encoding nucleic acids.

In one embodiment, a RAC3 nucleic acid molecule is 60% homologous to the nucleotide sequence shown in SEQ ID NO:1 or complement thereof. In a preferred embodiment, an isolated RAC3 nucleic acid molecule has the nucleotide sequence at least 70% homologous to the nucleotide sequence shown in SEQ ID NO:1 or the complement thereof. In a more preferred embodiment, an isolated RAC3 nucleic acid molecule has the nucleotide sequence at least 80% or more preferably 85% homologous to the nucleotide sequence shown in SEQ ID NO:1 or the complement thereof. In a further preferred embodiment, an isolated RAC3 nucleic acid molecule has the nucleotide sequence at least 90% or more preferably 95% homologous to the nucleotide sequence shown in SEQ ID NO:1 or the complement thereof. In another preferred embodiment, an isolated RAC3 nucleic acid molecule has the nucleotide sequence shown in SEQ ID NO:1.

H

25

30

35

5

10

15

20

Preferred RAC3 nucleic acid molecules encode RAC3 domains. For example, preferred RAC3 nucleic acid molecules inculde a nucleotide sequence encoding an Nterminal region of about 350 amino acids comprising a basic-helix-loop helix (bHLH) domain and two Per-AhR-Sim (PAS) domains (Swanson and Bradfield. 1993., Pharmacogenetics 3:213) as shown in Figures 2-4. Other RAC 3 domains include the repeated leucine motifs sharing a consensus sequence of LXLL or LLXXL, where L is leucine and X is any amino acid (e.g. shown in amino acids 621-625, 684-689, 737-742, 803-807, 1053-1057, and 1178-1182 of SEQ ID NO:2). The C-terminal domain of RAC3 also comprises a glutamine-rich (Q-rich) domain. More preferred RAC3 nucleic acid molecules encode N terminal domains that interact with steroid receptors, particularly class II receptors, e.g., about about nucleotides 1609-3136, or more preferably about nucleotides 1922-2341 of SEQ ID NO:1. Preferred RAC3 nucleic acid molecules encode proteins which comprise C terminal transactivating domains, e.g., nucleotides 3031-3767, 3031-3253, or 3136-3622. Other preferred RAC3 nucleic acids comprise all or a portion of the nucleotide sequence shown in about nucleotides 1-3311 of SEQ ID NO:1.

In another embodiment, a RAC3 nucleic acid molecule includes a nucleotide sequence encoding a protein having an amino acid sequence sufficiently homologous to the amino acid sequence of SEQ ID NO:2. In another preferred embodiment, a RAC3 nucleic acid molecule includes a nucleotide sequence encoding a protein having an amino acid sequence at least 60% homologous to the amino acid sequence of SEQ ID NO:2. In yet another preferred embodiment, a RAC3 nucleic acid molecule includes a nucleotide sequence encoding a protein having an amino acid sequence at least 70% homologous to the amino acid sequence of SEQ ID NO:2. In yet another preferred embodiment, a RAC3 nucleic acid molecule includes a nucleotide sequence encoding a protein having an amino acid sequence at least 80% homologous to the amino acid sequence of SEQ ID NO:2. In yet another preferred embodiment, a RAC3 nucleic acid molecule includes a nucleotide sequence encoding a protein having an amino acid sequence at least 90% homologous to the amino acid sequence at least 90% homologous to the amino acid sequence of SEQ ID NO:2. In a more preferred embodiment, a RAC3 nucleic acid molecule includes a nucleotide sequence encoding a protein having an amino acid sequence shown in SEQ ID NO:2.

In yet another embodiment, a RAC3 nucleic acid molecule encodes a RAC3 protein and is a naturally occurring nucleotide sequence.

Another embodiment of the invention features RAC3 nucleic acid molecules which specifically detect RAC3 nucleic acid molecules relative to nucleic acid molecules encoding non-RAC3 proteins. For example, in one embodiment, a RAC3 nucleic acid molecule is at least about 200, 300, 400, 500, 600, 700, 900 or 1000 nucleotides in length and hybridizes under stringent conditions to a nucleic acid

molecule comprising the nucleotide sequence shown in SEQ ID NO:1 or a complement thereof.

Another embodiment of the invention provides an isolated nucleic acid molecule which is antisense to the coding strand of a RAC3 nucleic acid.

Another aspect of the invention provides a vector comprising a RAC3 nucleic acid molecule. In certain embodiments, the vector is a recombinant expression vector. In another embodiment, the invention provides a host cell containing a vector of the invention. The invention also provides a method for producing a RAC3 protein by culturing in a suitable medium, a host cell of the invention containing a recombinant expression vector such that a RAC3 protein is produced.

Another aspect of this invention features isolated or recombinant RAC3 proteins and polypeptides. In one embodiment, an isolated RAC3 protein includes an N-terminal region of about 350 amino acids comprising a basic-helix-loop helix (bHLH) domain and two Per-AhR-Sim (PAS) domains (Swanson and Bradfield. 1993.,

Pharmacogenetics 3:213) as shown in Figures 2-4. Other RAC 3 protein domains include the repeated leucine motifs sharing a consensus sequence of LXLL or LLXXL, where L is leucine and X is any amino acid, e.g. shown in about amino acids 621-625, 684-689, 737-742, 803-807, 1055-1057, 1178-1182 of SEQ ID NO:2. The C-terminal domain of RAC3 also comprises a glutamine-rich (Q-rich) domain e.g. shown in about amino acids 1169-1313 of SEQ ID NO: 2. More preferred RAC3 proteins include N terminal domains which interact with steroid receptors, particularly class II receptors, e.g., shown in about amino acids 507-1017, or more preferably 613-752 of SEQ ID NO:2. Preferred RAC3 proteins also comprise C terminal transactivating domains, e.g., shown in about amino acids 982-1204, 982-1056, or 1017-1179.

In another embodiment, an isolated RAC3 protein has an amino acid sequence sufficiently homologous to the amino acid sequence of SEQ ID NO:2 such that it shares a biological activity with the RAC3 protein of SEQ ID NO:2. In a preferred embodiment, a RAC3 protein has an amino acid sequence at least about 60% homologous to the amino acid sequence of SEQ ID NO:2. In another preferred embodiment, a RAC3 protein has an amino acid sequence at least about 70% homologous to the amino acid sequence of SEQ ID NO:2. In another preferred embodiment, a RAC3 protein has an amino acid sequence at least about 80% homologous to the amino acid sequence of SEQ ID NO:2. In another preferred embodiment, a RAC3 protein has an amino acid sequence at least about 90% homologous to the amino acid sequence of SEQ ID NO:2. In another embodiment, a RAC3 protein has the amino acid sequence of SEQ ID NO:2. In another embodiment, a RAC3 protein has the amino acid sequence of SEQ ID NO:2.

Another embodiment of the invention features an isolated RAC3 protein which is encoded by a nucleic acid molecule having a nucleotide sequence at least about 60%

20

5

10

15

25

30

15

20

25

30

35

homologous to a nucleotide sequence of SEQ ID NO:1, or a complement thereof. Another embodiment of the invention features an isolated RAC3 protein which is encoded by a nucleic acid molecule having a nucleotide sequence at least about 70% homologous to a nucleotide sequence of SEQ ID NO:1, or a complement thereof.

Another embodiment of the invention features an isolated RAC3 protein which is encoded by a nucleic acid molecule having a nucleotide sequence at least about 80% homologous to a nucleotide sequence of SEQ ID NO:1, or a complement thereof. Another embodiment of the invention features an isolated RAC3 protein which is encoded by a nucleic acid molecule having a nucleotide sequence at least about 90% homologous to a nucleotide sequence of SEQ ID NO:1, or a complement thereof. This invention further features an isolated RAC3 protein which is encoded by a nucleic acid molecule having a nucleotide sequence which hybridizes under stringent hybridization conditions to a nucleic acid molecule comprising the nucleotide sequence of SEQ ID NO:1 or a complement thereof.

The RAC3 proteins of the present invention, or biologically active portions thereof, can be operatively linked to a non-RAC3 polypeptide to form RAC3 fusion proteins. The invention further features antibodies that specifically bind RAC3 proteins, such as monoclonal or polyclonal antibodies. In addition, the RAC3 proteins or biologically active portions thereof can be incorporated into pharmaceutical compositions, which optionally include pharmaceutically acceptable carriers.

In another aspect, the present invention provides a method for detecting RAC3 expression in a biological sample by contacting the biological sample with an agent capable of detecting a RAC3 nucleic acid molecule, protein or polypeptide such that the presence of a RAC3 nucleic acid molecule, protein or polypeptide is detected in the biological sample.

In another aspect, the present invention provides a method for detecting the presence of RAC3 activity in a biological sample by contacting the biological sample with an agent capable of detecting an indicator of RAC3 activity such that the presence of RAC3 activity is detected in the biological sample.

In another aspect, the invention provides a method for modulating RAC3 activity comprising contacting the cell with an agent that modulates RAC3 activity such that RAC3 activity in the cell is modulated. In one embodiment, the agent inhibits RAC3 activity. In another embodiment, the agent stimulates RAC3 activity. In one embodiment, the agent is an antibody that specifically binds to a RAC3 protein. In another embodiment, the agent modulates expression of RAC3 by modulating transcription of a RAC3 gene or translation of a RAC3 mRNA. In yet another embodiment, the agent is a nucleic acid molecule having a nucleotide sequence that is antisense to the coding strand of a RAC3 mRNA or a RAC3 gene.

15

20

25

30

35

In one embodiment, the methods of the present invention are used to treat a subject having a disorder characterized by aberrant RAC3 protein or nucleic acid expression or activity by administering an agent which is a RAC3 modulator to the subject. In one embodiment, the RAC3 modulator is a RAC3 protein. In another embodiment the RAC3 modulator is a RAC3 nucleic acid molecule. In yet another embodiment, the RAC3 modulator is a peptide, peptidomimetic, or other small molecule. In a preferred embodiment, the disorder characterized by aberrant RAC3 protein or nucleic acid expression is a developmental, differentiative, proliferative disordera.

The present invention also provides a diagnostic assay for identifying the presence or absence of a genetic alteration characterized by at least one of (i) aberrant modification or mutation of a gene encoding a RAC3 protein; (ii) mis-regulation of said gene; and (iii) aberrant post-translational modification of a RAC3 protein, wherein a wild-type form of said gene encodes an protein with a RAC3 activity.

In another aspect the invention provides a method for identifying a compound that binds to or modulates the activity of a RAC3 protein, by providing a indicator composition comprising a RAC3 protein having RAC3 activity, contacting the indicator composition with a test compound, and determining the effect of the test compound on RAC3 activity in the indicator composition to identify a compound that modulates the activity of a RAC3 protein.

Other features and advantages of the invention will be apparent from the following detailed description and claims.

## **Brief Description of the Drawings**

Fig. 1 is an illustration of the nucleotide sequenceof RAC3 cDNA.

Fig. 2 is an illustration of the deduced amino acid sequence of RAC3

Fig. 3 is an illustration of the comparison of the nucleic acid sequences of basic-helix-loop helix (bHLH) and Per-AhR-Sim (PAS) domains of RAC3, TIF2, SRC-1, ARNT, HIF1α, SIM2, and period (PER)

Fig. 4 is an illustration of the sequence alignment of LeuXXLeuLeu (LXXLL) motifs for RAC3, TIF2, and SRC-1

 $\it Fig.~5$  is a schematic diagram of the domain structures of human RAC3, TIF2, and SRC-1

Fig. 6 is a graph of data from an experiment investigating protein-protein interactions between RAC3 and various receptors using the yeast 2-hybrid system.

Fig. 7 is a representation of the  $\beta$ -galactosidase activity data from cotransfection experiments of Gal4 DBD fusions (RAC3.1) and Gal4 DBD fusions in the presence of appropriate ligands.

10

15

20

25

30

35

Fig. 8A is a representation of luciferase reporter data from cotransfection experiments in mammalian cells.

Fig. 8B is a representation of  $\beta$ -galactosidase reporter data from cotransfection experiments in yeast cells.

Fig. 9A and 9B are representations of the data from cotransfection experiments with a Gal 4 DBD fusion of an LBD with and without RAC3 and ligand.

### **Detailed Description of the Invention**

The present invention is based on the discovery of novel molecules, referred to herein as RAC3 protein and nucleic acid molecules having certain conserved structural and functional features. RAC3 was identified as a nuclear receptor interacting-protein based on its ability to interact with RAR in the yeast two-hybrid system. Because of its properties, RAC3 is useful for modulating the effects of steroid/nuclear hormones and their receptors. It is also a useful tool for elucidating the mechanisms of steroid/nuclear hormone action. Several lines of evidence support the position that RAC3 is a general transcriptional coactivator for steroid/nuclear hormone receptors. First, RAC3 interacts with ligand activated receptors. Second, the ligand-dependent interaction with RAC3 requires an intact AF-2 activation domain on the receptor. Third, RAC3 itself contains a transcriptional activation domain. Finally, overexpression of RAC3 enhances ligandstimulated transcriptional activation by steroid/nuclear receptors. In summary, all these biochemical properties of RAC3, and its sequence similarity with SRC-1 and TIF2, identify it as a distinct, third member of a novel receptor-associated coactivator gene family useful for elucidation of mechanisms of nuclear receptor function and for modification of hormone-related cellular functions, including therapeutic uses.

There are at least two distinct activation functional domains (i.e., AF-1 and AF-2) which have been identified in steroid/nuclear hormone receptors (Tasset et al., *Cell* 62:1177-87, 1990). The N-terminal AF-1 domain is active consitutively and its activity is not regulated by hormones. In contrast, the activity of the C-terminal AF2 depends completely on ligand binding. The exact role of the C-terminal AF2-AD helix in recruitment of coactivators is unclear. It is known that the AF2-AD alone is not sufficient for interaction with RAC3 or other coactivators, indicating that other regions of the LBD are required for recruitment of coactivators. Consistent with this hypothesis, several other activation motifs throughout the LBD of thyroid hormone receptor have been reported (Baniahmad et al., *Mol. Cell. Bio.* 15:76-86, 1995). Alternatively, the role of the AF2-AD may simply be to stabilize ligand-binding by forming part of the contacting surface for ligands (Renaud et al., *Nature* 378:681-9, 1995; Wagner et al.,

10

15

20

*Nature* 378:690-697, 1995), which in turn induces additional conformational change that allows association with coactivators.

In one embodiment, the RAC3 proteins of the present invention are proteins having an amino acid sequence of about 100, 250, 500, 750, or 1000 amino acids. Preferred portions of RAC3 comprise RAC3 domains. For example, in one embodiment RAC3 proteins comprise from about 140 or 162 amino acids, e.g., an N terminal nuclear receptor interacting domain shown in amino acids 613-752 of SEQ ID NO:2, or a Cterminal transactivator domain shown in amino acids 1017-1179 of SEQ ID NO:2. In other embodiments, for example, as illustrated in Figure 2, 3, and 4 preferred RAC3 proteins inculde an N-terminal region of about 350 amino acids comprising a basichelix-loop helix (bHLH) domain and two Per-AhR-Sim (PAS) domains (Swanson and Bradfield. 1993., Pharmacogenetics 3:213). Other RAC 3 domains include the repeated leucine motifs sharing a consensus sequence of LXLL or LLXXL, where L is leucine and X is any amino acid for example as shown in amino acids 621-625, 684-689, 737-742, 803-807, 1053-1057, or 1178-1182. The C-terminal domain of RAC3 also comprises a glutamine-rich (Q-rich) domain e.g. from about amino acids 1169-1313. More preferred RAC3 proteins comprise domains that interact with steroid receptors, particularly class II receptors, e.g., amino acids 507-1017, or more preferably 613-752 of SEQ ID NO:2. Preferred RAC3 proteins also comprise transactivating domains, e.g., amino acids 982-1204, 982-1056, or 1017-1179 of SEQ ID NO:2.

Preferred RAC3 molecules of the present invention have an amino acid sequence sufficiently homologous to the amino acid sequence of SEQ ID NO:2. As used herein, the term "sufficiently homologous" refers to a first amino acid or nucleotide sequence which contains a sufficient or minimum number of identical or equivalent (e.g., an amino acid residue which has a similar side chain) amino acid residues or nucleotides to a second amino acid or nucleotide sequence such that the first and second amino acid or nucleotide sequences share common structural domains and/or a common functional activity. For example, amino acid or nucleotide sequences which share common structural domains have at least about 60% homology, preferably 70% homology, more preferably 80%-85%, and even more preferably 90-95% homology across the amino acid sequences of the domains and contain at least one and preferably two structural domains, are defined herein as sufficiently homologous. Furthermore, amino acid or nucleotide sequences which share at least 60%, preferably 70%, more preferably 80-85, or 90-95% homology and share a common functional activity are defined herein as sufficiently homologous.

As used interchangeably herein, an "RAC3 activity", "biological activity of RAC3" or "functional activity of RAC3", refers to an activity exerted by a RAC3 protein, polypeptide or nucleic acid molecule on a RAC3 responsive cell as determined *in vivo*, or *in vitro*, according to standard techniques. In one embodiment, a RAC3 activity is a direct

30

35

10

15

20

25

30

35

activity, such as an association with a RAC3-target molecule. As used herein, a "target molecule" or "binding partner" is a molecule with which a RAC3 protein binds or interacts in nature, such that RAC3-mediated function is acheived. In an exemplary embodiment, a RAC3 target molecule is a class II steroid receptor e.g., RAR, RXR, VDR, PAR, TR, and PPAR-AD. In another exemplary embodiment, a RAC3 target molecule is an AF2-AD domain. RAC3 has also been shown to interact simultaneously with CβP and nuclear receptors in presence of ligand, or listone acetyltransferase activity. The presence of ligand can enhance the binding of RAC3 to steroid receptors. Exemplary RAC3 activities can be direct or indirect. An exemplary indirect activity includes, e.g., cellular signaling activity mediated by interaction of the RAC3 protein with a second protein (e.g., a steroid receptor).

In a preferred embodiment, a RAC3 activity includes one or more of: the activation of steroid hormone-mediated transcription, and binding to a RAC3 target molecule.

Accordingly, another embodiment of the invention features isolated RAC3 proteins and polypeptides having a RAC3 activity. Preferred RAC3 proteins have at least one RAC3 domain and a RAC3 activity. In still another preferred embodiment, a RAC3 protein has a RAC3 domain, a RAC3 activity, and an amino acid sequence sufficiently homologous to an amino acid sequence of SEQ ID NO:2.

Various aspects of the invention are described in further detail in the following subsections:

#### I. Isolated Nucleic Acid Molecules

One aspect of the invention pertains to isolated nucleic acid molecules that encode RAC3 proteins or biologically active portions thereof, as well as nucleic acid fragments sufficient for use as hybridization probes to identify RAC3-encoding nucleic acids (e.g., RAC3 mRNA) and fragments for use as PCR primers for the amplification or mutation of RAC3 nucleic acid molecules. As used herein, the term "nucleic acid molecule" is intended to include DNA molecules (e.g., cDNA or genomic DNA) and RNA molecules (e.g., mRNA) and analogs of the DNA or RNA generated using nucleotide analogs. The nucleic acid molecule can be single-stranded or double-stranded, but preferably is double-stranded DNA.

An "isolated" nucleic acid molecule is one which is separated from other nucleic acid molecules which are present in the natural source of the nucleic acid. Preferably, an "isolated" nucleic acid is free of sequences which naturally flank the nucleic acid (i.e., sequences located at the 5' and 3' ends of the nucleic acid) in the genomic DNA of the organism from which the nucleic acid is derived. For example, in various embodiments, the isolated RAC3 nucleic acid molecule can contain less than about 5 kb, 4kb, 3kb, 2kb, 1 kb, 0.5 kb or 0.1 kb of nucleotide sequences which naturally flank the nucleic

acid molecule in genomic DNA of the cell from which the nucleic acid is derived. Moreover, an "isolated" nucleic acid molecule, such as a cDNA molecule, can be substantially free of other cellular material, or culture medium when produced by recombinant techniques, or substantially free of chemical precursors or other chemicals when chemically synthesized.

A nucleic acid molecule of the present invention, e.g., a nucleic acid molecule having the nucleotide sequence of SEQ ID NO:1 or a portion thereof, can be isolated using standard molecular biology techniques and the sequence information provided herein. Using all or portion of the nucleic acid sequence of SEQ ID NO:1 as a hybridization probe, RAC3 nucleic acid molecules can be isolated using standard hybridization and cloning techniques (e.g., as described in Sambrook, J., Fritsh, E. F., and Maniatis, T. *Molecular Cloning: A Laboratory Manual. 2nd, ed., Cold Spring Harbor Laboratory,* Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1989).

Moreover, a nucleic acid molecule encompassing all or a portion of SEQ ID NO:1 can be isolated by the polymerase chain reaction (PCR) using synthetic oligonucleotide primers designed based upon the sequence of SEQ ID NO:1.

A nucleic acid of the invention can be amplified using cDNA, mRNA or alternatively, genomic DNA, as a template and appropriate oligonucleotide primers according to standard PCR amplification techniques. The nucleic acid so amplified can be cloned into an appropriate vector and characterized by DNA sequence analysis. Furthermore, oligonucleotides corresponding to RAC3 nucleotide sequences can be prepared by standard synthetic techniques, e.g., using an automated DNA synthesizer.

In a preferred embodiment, an isolated nucleic acid molecule of the invention comprises the nucleotide sequence shown in SEQ ID NO:1. The sequence of SEQ ID NO:1 corresponds to the human RAC3-1 cDNA. This cDNA comprises sequences encoding the human RAC3-1 protein (i.e., "the coding region", from about nucleotides 86-4330), as well as 5' untranslated sequences (about nucleotides 1-85) and 3' untranslated sequences (about nucleotides 4330-4496).

In certain embodiments the 5' regulatory region of the RAC3 gene, which is important in the tissue specific expression of RAC3, can be used to drive the expression of a heterologous (i.e., non-RAC3 gene) in a tissue-specific manner.

In another preferred embodiment, an isolated nucleic acid molecule of the invention comprises a nucleic acid molecule which is a complement of the nucleotide sequence shown in SEQ ID NO:1 or a portion thereof. A nucleic acid molecule which is complementary to the nucleotide sequence shown in SEQ ID NO:1 is one which is sufficiently complementary to the nucleotide sequence shown in SEQ ID NO:1 such that

20

5

10

15

25

30

it can hybridize to the nucleotide sequence shown in SEQ ID NO:1 thereby forming a stable duplex.

In still another preferred embodiment, an isolated nucleic acid molecule of the present invention comprises a nucleotide sequence which is at least about 60-65%, preferably at least about 70-75%, more preferable at least about 80-85%, and even more preferably at least about 90-95% or more homologous to the nucleotide sequences shown in SEQ ID NO:1 or a portion thereof.

Moreover, the nucleic acid molecule of the invention can comprise only a portion of the nucleic acid sequence of SEQ ID NO:1, for example a fragment which can be used as a probe or primer or a fragment encoding a biologically active portion of a RAC3 protein. Exemplary RAC3 nucleic acids comprise all or a portion of the nucleotide sequence shown in about nucleotides 1-3311 of SEQ ID NO:1. The nucleotide sequence determined from the cloning of the RAC3 genes allows for the generation of probes and primers designed for use in identifying and/or cloning other RAC3 family members, as well as RAC3 homologues from other species. The probe/primer typically comprises substantially purified oligonucleotide. The oligonucleotide typically comprises a region of nucleotide sequence that hybridizes under stringent conditions to at least about 12, preferably about 25, more preferably about 40, 50 or 75 consecutive nucleotides of a sense sequence of SEQ ID NO:1. In an exemplary embodiment, a nucleic acid molecule of the present invention comprises a nucleotide sequence which is greater than at least about 200, 300, 400, 500, 600, 700, 900, or 1000 nucleotides in length nucleotides in length and hybridizes under stringent hybridization conditions to a nucleic acid molecule of SEQ ID NO:1.

Probes based on the RAC3 nucleotide sequences can be used to detect transcripts or genomic sequences encoding the same or homologous proteins. In preferred embodiments, the probe further comprises a label group attached thereto, e.g., the label group can be a radioisotope, a fluorescent compound, an enzyme, or an enzyme cofactor. Such probes can be used as a part of a diagnostic test kit for identifying cells or tissue which misexpress a RAC3 protein, such as by measuring a level of a RAC3-encoding nucleic acid in a sample of cells from a subject e.g., detecting RAC3 mRNA levels or determining whether a genomic RAC3 gene has been mutated or deleted.

A nucleic acid fragment encoding a "biologically active portion of a RAC3 protein" can be prepared by isolating a portion of SEQ ID NO:1 which encodes a polypeptide having a RAC3 biological activity (the biological activities of the RAC3 proteins have previously been described), expressing the encoded portion of the RAC3 protein (e.g., by recombinant expression *in vitro*) and assessing the activity of the encoded portion of the RAC3 protein.

20

5

10

15

30

35

The invention further encompasses nucleic acid molecules that differ from the nucleotide sequence shown in SEQ ID NO:1 due to degeneracy of the genetic code and thus encode the same RAC3 proteins as that encoded by the nucleotide sequence shown in SEQ ID NO:2. In another embodiment, an isolated nucleic acid molecule of the invention has a nucleotide sequence encoding a protein having an amino acid sequence shown in SEQ ID NO:2.

In addition to the RAC3 nucleotide sequences shown in SEQ ID NO:1, it will be appreciated by those skilled in the art that DNA sequence polymorphisms that lead to changes in the amino acid sequences of the RAC3 proteins may exist within a population (e.g., the human population). Such genetic polymorphism in the RAC3 genes may exist among individuals within a population due to natural allelic variation. As used herein, the terms "gene" and "recombinant gene" refer to nucleic acid molecules comprising an open reading frame encoding a RAC3 protein, preferably a mammalian RAC3 protein. Such natural allelic variations can typically result in 1-5% variance in the nucleotide sequence of a RAC3 gene. Any and all such nucleotide variations and resulting amino acid polymorphisms in RAC3 genes that are the result of natural allelic variation and that do not alter the functional activity of a RAC3 protein are intended to be within the scope of the invention.

Moreover, nucleic acid molecules encoding other RAC3 family members are intended to be within the scope of the invention. For example, cDNAs can be identified based on the nucleotide sequence of human RAC3. Moreover, nucleic acid molecules encoding RAC3 proteins from different species, and thus which have a nucleotide sequence which differs from the RAC3 sequences of SEQ ID NO:1 are intended to be within the scope of the invention. For example, RAC3 cDNAs can be identified from other organisms based on the nucleotide sequence of a human RAC3.

Nucleic acid molecules corresponding to natural allelic variants and homologues of the RAC3 cDNAs of the invention can be isolated based on their homology to the RAC3 nucleic acids disclosed herein using the cDNAs disclosed herein, or a portion thereof, as a hybridization probe according to standard hybridization techniques under stringent hybridization conditions.

Accordingly, in another embodiment, an isolated nucleic acid molecule of the invention is at least 15 nucleotides in length and hybridizes under stringent conditions to the nucleic acid molecule comprising the nucleotide sequence of SEQ ID NO:1. In other embodiment, the nucleic acid is at least about 30, 50, 100, 250, 500, 600, 700, or 1000 nucleotides in length nucleotides in length. As used herein, the term "hybridizes under stringent conditions" is intended to describe conditions for hybridization and washing under which nucleotide sequences at least 70% homologous to each other typically remain hybridized to each other. Preferably, the conditions are such that sequences at

30

35

5

least about 80%, more preferably at least about 85%, even more preferably at least about 90% or 95% homologous to each other typically remain hybridized to each other. Such stringent conditions are known to those skilled in the art and can be found in *Current Protocols in Molecular Biology*, John Wiley & Sons, N.Y. (1989), 6.3.1-6.3.6. A preferred, non-limiting example of stringent hybridization conditions are hybridization in 6X sodium chloride/sodium citrate (SSC) at about 45°C, followed by one or more washes in 0.2 X SSC, 0.1% SDS at 50-65°C. Preferably, an isolated nucleic acid molecule of the invention that hybridizes under stringent conditions to the sequence of SEQ ID NO:1, corresponds to a naturally-occurring nucleic acid molecule. As used herein, a "naturally-occurring" nucleic acid molecule refers to an RNA or DNA molecule having a nucleotide sequence that occurs in nature (e.g., encodes a natural protein).

In addition to naturally-occurring allelic variants of the RAC3 sequences that may exist in the population, the skilled artisan will further appreciate that changes can be introduced by mutation into the nucleotide sequences of SEQ ID NO:1, thereby leading to changes in the amino acid sequence of the encoded RAC3 proteins, without altering the functional ability of the RAC3 proteins. For example, nucleotide substitutions leading to amino acid substitutions at "non-essential" amino acid residues can be made in the sequence of SEQ ID NO:1. A "non-essential" amino acid residue is a residue that can be altered from the wild-type sequence of RAC3 (e.g., the sequence of SEQ ID NO:2) without altering the biological activity, whereas an "essential" amino acid residue is required for biological activity. For example, amino acid residues that are conserved among proteins related to RAC3 or comprise a RAC3 domain, are predicted to be particularly unamenable to alteration (e.g., the bHLH domain, the PAS domain, and the Q rich domains, conserved among RAC3, TIF2, and SRC-1). Moreover, amino acid residues that comprise the transcriptional activating domains or the nuclear receptor interacting domains are particularly unamenable to alteration.

Accordingly, another aspect of the invention pertains to nucleic acid molecules encoding RAC3 proteins that contain changes in amino acid residues that are not essential for activity. Such RAC3 proteins differ in amino acid sequence from SEQ ID NO:2, yet retain biological activity. In one embodiment, the isolated nucleic acid molecule comprises a nucleotide sequence encoding a protein, wherein the protein comprises an amino acid sequence at least about 60% homologous to the amino acid sequence of SEQ ID NO:2. Preferably, the protein encoded by the nucleic acid molecule is at least about 65-70% homologous to SEQ ID NO:2, more preferably at least about 75-80% homologous to SEQ ID NO:2, even more preferably at least about 85-90% homologous to SEQ ID NO:2, and most preferably at least about 95% homologous to SEQ ID NO:2.

30

35

5

protein of SEQ ID NO:2 can be created by introducing one or more nucleotide

An isolated nucleic acid molecule encoding a RAC3 protein homologous to the

substitutions, additions or deletions into the nucleotide sequence of SEQ ID NO:1, such that one or more amino acid substitutions, additions or deletions are introduced into the encoded protein. Mutations can be introduced into SEO ID NO:1 by standard techniques, such as site-directed mutagenesis and PCR-mediated mutagenesis. Preferably, conservative amino acid substitutions are made at one or more predicted non-essential amino acid residues. A "conservative amino acid substitution" is one in which the amino acid residue is replaced with an amino acid residue having a similar side chain. Families of amino acid residues having similar side chains have been defined in the art. These families include amino acids with basic side chains (e.g., lysine, arginine, histidine), acidic side chains (e.g., aspartic acid, glutamic acid), uncharged polar side chains (e.g., glycine, asparagine, glutamine, serine, threonine, tyrosine, cysteine), nonpolar side chains (e.g., alanine, valine, leucine, isoleucine, proline, phenylalanine, methionine, tryptophan), beta-branched side chains (e.g., threonine, valine, isoleucine) and aromatic side chains (e.g., tyrosine, phenylalanine, tryptophan, histidine). Thus, a predicted nonessential amino acid residue in a RAC3 protein is preferably replaced with another amino acid residue from the same side chain family. Alternatively, in another embodiment, mutations can be introduced randomly along all or part of a RAC3 coding sequence, such as by saturation mutagenesis, and the resultant mutants can be screened for RAC3 biological activity to identify mutants that retain activity. Following mutagenesis of SEQ ID NO:1, the encoded protein can be expressed recombinantly and the activity of the protein can be determined.

In a preferred embodiment, a mutant RAC3 protein can be assayed for binding to RAC3 target molecules, for activation of steroid hormone-mediated transcription, or for listone acetyltransferase activity.

In addition to the nucleic acid molecules encoding RAC3 proteins described above, another aspect of the invention pertains to isolated nucleic acid molecules which are antisense thereto. An "antisense" nucleic acid comprises a nucleotide sequence which is complementary to a "sense" nucleic acid encoding a protein, e.g., complementary to the coding strand of a double-stranded cDNA molecule or complementary to an mRNA sequence. Accordingly, an antisense nucleic acid can hydrogen bond to a sense nucleic acid. The antisense nucleic acid can be complementary to an entire RAC3 coding strand, or to only a portion thereof. In one embodiment, an antisense nucleic acid molecule is antisense to a "coding region" of the coding strand of a nucleotide sequence encoding RAC3. The term "coding region" refers to the region of the nucleotide sequence comprising codons which are translated into amino acid residues (e.g., the coding region of human RAC3 corresponds to amino

30

35

5

acids about 1-1417 of SEQ ID NO:2). In another embodiment, the antisense nucleic acid molecule is antisense to a "noncoding region" of the coding strand of a nucleotide sequence encoding RAC3. The term "noncoding region" refers to 5' and 3' sequences which flank the coding region that are not translated into amino acids (i.e., also referred to as 5' and 3' untranslated regions).

5

10

30

35

Given the coding strand sequences encoding RAC3 disclosed herein, antisense nucleic acids of the invention can be designed according to the rules of Watson and Crick base pairing. The antisense nucleic acid molecule can be complementary to the entire coding region of RAC3 mRNA, but more preferably is an oligonucleotide which is antisense to only a portion of the coding or noncoding region of RAC3 mRNA. For example, the antisense oligonucleotide can be complementary to the region surrounding the translation start site of RAC3 mRNA. An antisense oligonucleotide can be, for example, about 5, 10, 15, 20, 25, 30, 35, 40, 45 or 50 nucleotides in length. An antisense nucleic acid of the invention can be constructed using chemical synthesis and enzymatic ligation reactions using procedures known in the art. For example, an antisense nucleic acid (e.g., an antisense oligonucleotide) can be chemically synthesized using naturally occurring nucleotides or variously modified nucleotides designed to increase the biological stability of the molecules or to increase the physical stability of the duplex formed between the antisense and sense nucleic acids, e.g., phosphorothioate derivatives and acridine substituted nucleotides can be used. Examples of modified nucleotides which can be used to generate the antisense nucleic acid include 5fluorouracil, 5-bromouracil, 5-chlorouracil, 5-iodouracil, hypoxanthine, xantine, 4acetylcytosine, 5-(carboxyhydroxylmethyl) uracil, 5-carboxymethylaminomethyl-2thiouridine, 5-carboxymethylaminomethyluracil, dihydrouracil, beta-Dgalactosylqueosine, inosine, N6-isopentenyladenine, 1-methylguanine, 1-methylinosine, 2,2-dimethylguanine, 2-methyladenine, 2-methylguanine, 3-methylcytosine, 5methylcytosine, N6-adenine, 7-methylguanine, 5-methylaminomethyluracil, 5methoxyaminomethyl-2-thiouracil, beta-D-mannosylqueosine, 5'methoxycarboxymethyluracil, 5-methoxyuracil, 2-methylthio-N6-isopentenyladenine, uracil-5-oxyacetic acid (v), wybutoxosine, pseudouracil, queosine, 2-thiocytosine, 5methyl-2-thiouracil, 2-thiouracil, 4-thiouracil, 5-methyluracil, uracil-5- oxyacetic acid methylester, uracil-5-oxyacetic acid (v), 5-methyl-2-thiouracil, 3-(3-amino-3-N-2carboxypropyl) uracil, (acp3)w, and 2,6-diaminopurine. Alternatively, the antisense nucleic acid can be produced biologically using an expression vector into which a nucleic acid has been subcloned in an antisense orientation (i.e., RNA transcribed from the inserted nucleic acid will be of an antisense orientation to a target nucleic acid of interest, described further in the following subsection).

The antisense nucleic acid molecules of the invention are typically administered to a subject or generated in situ such that they hybridize with or bind to cellular mRNA and/or genomic DNA encoding a RAC3 protein to thereby inhibit expression of the protein, e.g., by inhibiting transcription and/or translation. The hybridization can be by conventional nucleotide complementarity to form a stable duplex, or, for example, in the case of an antisense nucleic acid molecule which binds to DNA duplexes, through specific interactions in the major groove of the double helix. An example of a route of administration of antisense nucleic acid molecules of the invention include direct injection at a tissue site. Alternatively, antisense nucleic acid molecules can be modified to target selected cells and then administered systemically. For example, for systemic administration, antisense molecules can be modified such that they specifically bind to receptors or antigens expressed on a selected cell surface, e.g., by linking the antisense nucleic acid molecules to peptides or antibodies which bind to cell surface receptors or antigens. The antisense nucleic acid molecules can also be delivered to cells using the vectors described herein. To achieve sufficient intracellular concentrations of the antisense molecules, vector constructs in which the antisense nucleic acid molecule is placed under the control of a strong pol II or pol III promoter are preferred.

In yet another embodiment, the antisense nucleic acid molecule of the invention is an α-anomeric nucleic acid molecule. An α-anomeric nucleic acid molecule forms specific double-stranded hybrids with complementary RNA in which, contrary to the usual β-units, the strands run parallel to each other (Gaultier et al. (1987) *Nucleic Acids*. *Res.* 15:6625-6641). The antisense nucleic acid molecule can also comprise a 2'-o-methylribonucleotide (Inoue et al. (1987) *Nucleic Acids Res.* 15:6131-6148) or a chimeric RNA-DNA analogue (Inoue et al. (1987) *FEBS Lett.* 215:327-330).

In still another embodiment, an antisense nucleic acid of the invention is a ribozyme. Ribozymes are catalytic RNA molecules with ribonuclease activity which are capable of cleaving a single-stranded nucleic acid, such as an mRNA, to which they have a complementary region. Thus, ribozymes (e.g., hammerhead ribozymes (described in Haselhoff and Gerlach (1988) *Nature* 334:585-591)) can be used to catalytically cleave RAC3 mRNA transcripts to thereby inhibit translation of RAC3 mRNA. A ribozyme having specificity for a RAC3-encoding nucleic acid can be designed based upon the nucleotide sequence of a RAC3 cDNA disclosed herein (i.e., SEQ ID NO:1. For example, a derivative of a *Tetrahymena* L-19 IVS RNA can be constructed in which the nucleotide sequence of the active site is complementary to the nucleotide sequence to be cleaved in a RAC3-encoding mRNA. See, e.g., Cech et al. U.S. Patent No. 4,987,071; and Cech et al. U.S. Patent No. 5,116,742. Alternatively, RAC3 mRNA can be used to select a catalytic RNA having a specific ribonuclease

30

35

5

activity from a pool of RNA molecules. See, e.g., Bartel, D. and Szostak, J.W. (1993) *Science* 261:1411-1418.

Alternatively, RAC3 gene expression can be inhibited by targeting nucleotide sequences complementary to the regulatory region of the RAC3 (e.g., the RAC3 promoter and/or enhancers) to form triple helical structures that prevent transcription of the RAC3 gene in target cells. See generally, Helene, C. (1991) *Anticancer Drug Des*. 6(6):569-84; Helene, C. et al. (1992) *Ann. N.Y. Acad. Sci.* 660:27-36; and Maher, L.J. (1992) *Bioassays* 14(12):807-15.

In yet another embodiment, the RAC3 nucleic acid molecules of the present invention can be modified at the base moiety, sugar moiety or phosphate backbone to improve, e.g., the stability, hybridization, or solubility of the molecule. For example, the deoxyribose phosphate backbone of the nucleic acid molecules can be modified to generate peptide nucleic acids (see Hyrup B. et al. (1996) Bioorganic & Medicinal Chemistry 4 (1): 5-23). As used herein, the terms "peptide nucleic acids" or "PNAs" refer to nucleic acid mimics, e.g., DNA mimics, in which the deoxyribose phosphate backbone is replaced by a pseudopeptide backbone and only the four natural nucleobases are retained. The neutral backbone of PNAs has been shown to allow for specific hybridization to DNA and RNA under conditions of low ionic strength. The synthesis of PNA oligomers can be performed using standard solid phase peptide synthesis protocols as described in Hyrup B. et al. (1996) supra; Perry-O'Keefe et al. PNAS 93: 14670-675.

PNAs of RAC3 nucleic acid molecules can be used therapeutic and diagnostic applications. For example, PNAs can be used as antisense or antigene agents for sequence-specific modulation of gene expression by, for example, inducing transcription or translation arrest or inhibiting replication. PNAs of RAC3 nucleic acid molecules can also be used in the analysis of single base pair mutations in a gene, (e.g., by PNA-directed PCR clamping); as 'artificial restriction enzymes' when used in combination with other enzymes, (e.g., S1 nucleases (Hyrup B. (1996) supra)); or as probes or primers for DNA sequencing or hybridization (Hyrup B. et al. (1996) supra; Perry-O'Keefe supra).

In another embodiment, PNAs of RAC3 can be modified, (e.g., to enhance their stability or cellular uptake), by attaching lipophilic or other helper groups to PNA, by the formation of PNA-DNA chimeras, or by the use of liposomes or other techniques of drug delivery known in the art. For example, PNA-DNA chimeras of RAC3 nucleic acid molecules can be generated which may combine the advantageous properties of PNA and DNA. Such chimeras allow DNA recognition enzymes, (e.g., RNAse H and DNA polymerases), to interact with the DNA portion while the PNA portion would provide high binding affinity and specificity. PNA-DNA chimeras can be linked using

15

30

35

linkers of appropriate lengths selected in terms of base stacking, number of bonds between the nucleobases, and orientation (Hyrup B. (1996) *supra*). The synthesis of PNA-DNA chimeras can be performed as described in Hyrup B. (1996) *supra* and Finn P.J. *et al.* (1996) *Nucleic Acids Res.* 24 (17): 3357-63. For example, a DNA chain can be synthesized on a solid support using standard phosphoramidite coupling chemistry and modified nucleoside analogs, e.g., 5'-(4-methoxytrityl)amino-5'-deoxy-thymidine phosphoramidite, can be used as a between the PNA and the 5' end of DNA (Mag, M. *et al.* (1989) *Nucleic Acid Res.* 17: 5973-88). PNA monomers are then coupled in a stepwise manner to produce a chimeric molecule with a 5' PNA segment and a 3' DNA segment (Finn P.J. *et al.* (1996) *supra*). Alternatively, chimeric moleclues can be synthesized with a 5' DNA segment and a 3' PNA segment (Peterser, K.H. *et al.* (1975) *Bioorganic Med. Chem. Lett.* 5: 1119-11124).

In other embodiments, the oligonucleotide may include other appended groups such as peptides (e.g., for targeting host cell receptors *in vivo*), or agents facilitating transport across the cell membrane (see, e.g., Letsinger *et al.* (1989) *Proc. Natl. Acad. Sci. US.* 86:6553-6556; Lemaitre *et al.* (1987) *Proc. Natl. Acad. Sci. USA* 84:648-652; PCT Publication No. W088/09810, published December 15, 1988) or the blood-brain barrier (see, e.g., PCT Publication No. W089/10134, published April 25, 1988). In addition, oligonucleotides can be modified with hybridization-triggered cleavage agents (See, e.g., Krol *et al.* (1988) *BioTechniques* 6:958-976) or intercalating agents. (See, e.g., Zon (1988) *Pharm. Res.* 5:539-549). To this end, the oligonucleotide may be conjugated to another molecule, (e.g., a peptide, hybridization triggered cross-linking agent, transport agent, or hybridization-triggered cleavage agent).

#### II. Isolated RAC3 Proteins and Anti-RAC3 Antibodies

One aspect of the invention pertains to isolated RAC3 proteins, and biologically active portions thereof, as well as polypeptide fragments suitable for use as immunogens to raise anti-RAC3 antibodies. In one embodiment, native RAC3 proteins can be isolated from cells or tissue sources by an appropriate purification scheme using standard protein purification techniques. In another embodiment, RAC3 proteins are produced by recombinant DNA techniques. Alternative to recombinant expression, a RAC3 protein or polypeptide can be synthesized chemically using standard peptide synthesis techniques.

An "isolated" or "purified" protein or biologically active portion thereof is substantially free of cellular material or other contaminating proteins from the cell or tissue source from which the RAC3 protein is derived, or substantially free from chemical precursors or other chemicals when chemically synthesized. The language "substantially free of cellular material" includes preparations of RAC3 protein in which

15 20 25

30

35

30

35

10

the protein is separated from cellular components of the cells from which it is isolated or recombinantly produced. In one embodiment, the language "substantially free of cellular material" includes preparations of RAC3 protein having less than about 30% (by dry weight) of non-RAC3 protein (also referred to herein as a "contaminating protein"), more preferably less than about 20% of non-RAC3 protein, still more preferably less than about 10% of non-RAC3 protein, and most preferably less than about 5% non-RAC3 protein. When the RAC3 protein or biologically active portion thereof is recombinantly produced, it is also preferably substantially free of culture medium, i.e., culture medium represents less than about 20%, more preferably less than about 10%, and most preferably less than about 5% of the volume of the protein preparation.

The language "substantially free of chemical precursors or other chemicals" includes preparations of RAC3 protein in which the protein is separated from chemical precursors or other chemicals which are involved in the synthesis of the protein. In one embodiment, the language "substantially free of chemical precursors or other chemicals" includes preparations of RAC3 protein having less than about 30% (by dry weight) of chemical precursors or non-RAC3 chemicals, more preferably less than about 20% chemical precursors or non-RAC3 chemicals, still more preferably less than about 10% chemical precursors or non-RAC3 chemicals, and most preferably less than about 5% chemical precursors or non-RAC3 chemicals.

Biologically active portions of a RAC3 protein include peptides comprising amino acid sequences sufficiently homologous to or derived from the amino acid sequence of the RAC3 protein, e.g., the amino acid sequence shown in SEQ ID NO:2, which include less amino acids than the full length RAC3 proteins, and exhibit at least one activity of a RAC3 protein. Typically, biologically active portions comprise a domain or motif with at least one activity of the RAC3 protein. A biologically active portion of a RAC3 protein can be a polypeptide which is, for example, about 10, 25, 50, 100, 140, 160, 250, or 500 or more amino acids in length. Exemplary RAC3 polypeptide fragments include portions of a RAC3 polypeptide that bind to a polypeptide, especially steroid/nuclear receptors (e.g. the AF-2 region of a steroid/nuclear receptor). Fragments, for example, all or part of the RAC3 bHLH, PAS, N-terminal nuclear receptor interacting, or C terminal transcription activating domains are useful as antagonists or agonists, and are also useful as immunogens for producing antibodies that neutralize the activity of RAC3. Fragments of RAC3 not directly involved in binding are also useful. For example, such fragments are used to generate antibodies useful for detecting RAC3 or that change the binding characteristics of RAC3 without directly interacting with the binding site.

Candidate fragments can be tested for interaction with a steroid receptor, or their ability to modulate a RAC3-mediated physiological response, e.g., to serve as RAC3

agonists, by any of the assays described herein. Fragments can also be tested for their ability to antagonize the interaction between a RAC3 polypeptide and a nuclear receptor using the assays described herein. Useful analogs of RAC3 fragments (as described above) can also be produced and tested for efficacy as antagonists or agonists. Assays for analogs are performed by assays, for example, adding candidate components to a test system such as that described in the appended examples. Candidate analogs are also tested in binding assays that are known in the art. For example, a compound can be tested for its ability to displace a labeled RAC3 molecule from binding to a liganded target receptor. Other candidate molecules for modulators of a RAC3-mediated physiological response are also tested in this manner.

It is to be understood that a preferred biologically active portion of a RAC3 protein of the present invention may contain at least one of the above-identified structural domains. A more preferred biologically active portion of a RAC3 protein may contain at least two of the above-identified structural domains. Moreover, other biologically active portions, in which other regions of the protein are deleted, can be prepared by recombinant techniques and evaluated for one or more of the functional activities of a native RAC3 protein. In particularly preferred embodiments a RAC3 protein comprises both a steroid hormone receptor inteacting domain and a transcriptional activator domain.

In a preferred embodiment, the RAC3 protein has an amino acid sequence shown in SEQ ID NO:2. In other embodiments, the RAC3 protein is substantially homologous to SEQ ID NO:2, retains the functional activity of the protein of SEQ ID NO:2, yet differs in amino acid sequence due to natural allelic variation or mutagenesis, as described in detail in subsection I above. Accordingly, in another embodiment, the RAC3 protein is a protein which comprises an amino acid sequence at least about 60% homologous to the amino acid sequence of SEQ ID NO:2, and retains the functional activity of the RAC3 proteins of SEQ ID NO:2. Preferably, the protein is at least about 70% homologous to SEQ ID NO:2, more preferably at least about 80% homologous to SEQ ID NO:2, and most preferably at least about 90% homologous to SEQ ID NO:2, and most preferably at least about 95% or more homologous to SEQ ID NO:2.

To determine the percent homology of two amino acid sequences or of two nucleic acids, the sequences are aligned for optimal comparison purposes (e.g., gaps can be introduced in the sequence of a first amino acid or nucleic acid sequence for optimal alignment with a second amino or nucleic acid sequence and non-homologous sequences can be disregarded for comparison purposes). In a preferred embodiment, the length of a reference sequence aligned for comparison purposes is at least 30%, preferably at least 40%, more preferably at least 50%, even more preferably at least 60%, and even more preferably at least 70%, 80%, or 90% of the length of the reference sequence (e.g., when

aligning a second sequence to the RAC3 amino acid sequence of SEQ ID NO:2 having 99 amino acid residues, at least 30, preferably at least 40, more preferably at least 50, even more preferably at least 59, and even more preferably at least 69, 79, or 89 are aligned). The amino acid residues or nucleotides at corresponding amino acid positions or nucleotide positions are then compared. When a position in the first sequence is occupied by the same amino acid residue or nucleotide as the corresponding position in the second sequence, then the molecules are homologous at that position (i.e., as used herein amino acid or nucleic acid "homology" is equivalent to amino acid or nucleic acid "identity"). The percent homology between the two sequences is a function of the number of identical positions shared by the sequences (i.e., % homology = # of identical positions/total # of positions x 100).

The comparison of sequences and determination of percent homology between two sequences can be accomplished using a mathematical algorithim. A preferred, nonlimiting example of a mathematical algorithm utilized for the comparison of sequences is the algorithm of Karlin and Altschul (1990) Proc. Natl. Acad. Sci. USA 87:2264-68, modified as in Karlin and Altschul (1993) Proc. Natl. Acad. Sci. USA 90:5873-77. Such an algorithm is incorporated into the NBLAST and XBLAST programs (version 2.0) of Altschul, et al. (1990) J. Mol. Biol. 215:403-10. BLAST nucleotide searches can be performed performed with the NBLAST program, score = 100, wordlength = 12 to obtain nucleotide sequences homologous to RAC3 nucleic acid molecules of the invention. BLAST protein searches can be performed with the XBLAST program, score = 50, wordlength = 3 to obtain amino acid sequences homologous to RAC3 protein molecules of the invention. To obtain gapped alignments for comparison purposes, Gapped BLAST can be utilized as described in Altschul et al., (1997) Nucleic Acids Research 25(17):3389-3402. When utilizing BLAST and Gapped BLAST programs, the default parameters of the respective programs (e.g., XBLAST and NBLAST) can be used. See http://www.ncbi.nlm.nih.gov. Another preferred, non-limiting example of a mathematical algorithm utilized for the comparison of sequences is the algorithm of Myers and Miller, CABIOS (1989). Such an algorithm is incorporated into the ALIGN program (version 2.0) which is part of the GCG sequence alignment software package. When utilizing the ALIGN program for comparing amino acid sequences, a PAM120 weight residue table, a gap length penalty of 12, and a gap penalty of 4 can be used.

The invention also provides RAC3 chimeric or fusion proteins. As used herein, a RAC3 "chimeric protein" or "fusion protein" comprises a RAC3 polypeptide operatively linked to a non-RAC3 polypeptide. A "RAC3 polypeptide" refers to a polypeptide having an amino acid sequence corresponding to RAC3, whereas a "non-RAC3 polypeptide" refers to a polypeptide having an amino acid sequence corresponding to a protein which is not substantially homologous to the RAC3 protein,

30

35

e.g., a protein which is different from the RAC3 protein and which is derived from the same or a different organism. Within a RAC3 fusion protein the RAC3 polypeptide can correspond to all or a portion of a RAC3 protein. In a preferred embodiment, a RAC3 fusion protein comprises at least one biologically active portion of a RAC3 protein. In another preferred embodiment, a RAC3 fusion protein comprises at least two biologically active portions of a RAC3 protein. Within the fusion protein, the term "operatively linked" is intended to indicate that the RAC3 polypeptide and the non-RAC3 polypeptide are fused in-frame to each other. The non-RAC3 polypeptide can be fused to the N-terminus or C-terminus of the RAC3 polypeptide.

For example, in one embodiment, the fusion protein is a GST-RAC3 fusion protein in which all or a portion of a RAC3 sequence is fused to the C-terminus of the GST sequences. Such fusion proteins can facilitate the purification of recombinant RAC3. In another emobidiment all or a portion of a RAC3 sequence can be fused to a heterologous DNA binding domain, e.g., a Gal 4 DNA binding domain.

In yet another embodiment, the fusion protein comprises RAC3 sequences (e.g., a preferred RAC3 structural domain) fused to sequences from a non-RAC3 protein. The RAC3 fusion proteins of the invention can be incorporated into pharmaceutical compositions and administered to a subject to inhibit an interaction between a RAC3 and a RAC3 target molecule, to thereby suppress RAC3-mediated activation of steroid hormone mediated transcription *in vivo*. The RAC3 fusion proteins can be used to affect the bioavailability of a RAC3 target molecule. The RAC3 fusion proteins of the invention can further be used to inhibit an interaction between a transcriptional coactivator of steroid nuclear receptors other than a RAC3 of the present invention and a steroid hormone receptor, to thereby suppress steroid hormone mediated transcription *in vivo*. Use of RAC3 fusion proteins may be useful therapeutically for the treatment of disorders involving steroid/nuclear hormones and their receptors e.g., carrier. Moreover, the RAC3-fusion proteins of the invention can be used to purify RAC3 ligands and in screening assays to identify molecules which modulate the interaction of RAC3 with a RAC3 ligand.

Preferably, a RAC3 chimeric or fusion protein of the invention is produced by standard recombinant DNA techniques. For example, DNA fragments coding for the different polypeptide sequences are ligated together in-frame in accordance with conventional techniques, for example by employing blunt-ended or stagger-ended termini for ligation, restriction enzyme digestion to provide for appropriate termini, filling-in of cohesive ends as appropriate, alkaline phosphatase treatment to avoid undesirable joining, and enzymatic ligation. In another embodiment, the fusion gene can be synthesized by conventional techniques including automated DNA synthesizers. Alternatively, PCR amplification of gene fragments can be carried out using anchor

30

35

primers which give rise to complementary overhangs between two consecutive gene fragments which can subsequently be annealed and reamplified to generate a chimeric gene sequence (see, for example, *Current Protocols in Molecular Biology*, eds. Ausubel et al. John Wiley & Sons: 1992). Moreover, many expression vectors are commercially available that already encode a fusion moiety (e.g., a GST polypeptide). A RAC3-encoding nucleic acid can be cloned into such an expression vector such that the fusion moiety is linked in-frame to the RAC3 protein.

The present invention also pertains to variants of the RAC3 proteins which function as either RAC3 agonists (mimetics) or as RAC3 antagonists. Variants of the RAC3 proteins can be generated by mutagenesis, e.g., discrete point mutation or truncation of a RAC3 protein. An agonist of the RAC3 proteins can retain substantially the same, or a subset, of the biological activities of the naturally occurring form of a RAC3 protein. An antagonist of a RAC3 protein can inhibit one or more of the activities of the naturally occurring form of the RAC3 protein by, for example, competitively binding to a RAC3 target molecule. Thus, specific biological effects can be elicited by treatment with a variant of limited function. In one embodiment, treatment of a subject with a variant having a subset of the biological activities of the naturally occurring form of the protein has fewer side effects in a subject relative to treatment with the naturally occurring form of the RAC3 protein.

In one embodiment, variants of a RAC3 protein which function as either RAC3 agonists (mimetics) or as RAC3 antagonists can be identified by screening combinatorial libraries of mutants, e.g., truncation mutants, of a RAC3 protein for RAC3 protein agonist or antagonist activity. In one embodiment, a variegated library of RAC3 variants is generated by combinatorial mutagenesis at the nucleic acid level and is encoded by a variegated gene library. A variegated library of RAC3 variants can be produced by, for example, enzymatically ligating a mixture of synthetic oligonucleotides into gene sequences such that a degenerate set of potential RAC3 sequences is expressible as individual polypeptides, or alternatively, as a set of larger fusion proteins (e.g., for phage display) containing the set of RAC3 sequences therein. There are a variety of methods which can be used to produce libraries of potential RAC3 variants from a degenerate oligonucleotide sequence. Chemical synthesis of a degenerate gene sequence can be performed in an automatic DNA synthesizer, and the synthetic gene then ligated into an appropriate expression vector. Use of a degenerate set of genes allows for the provision, in one mixture, of all of the sequences encoding the desired set of potential RAC3 sequences. Methods for synthesizing degenerate oligonucleotides are known in the art (see, e.g., Narang, S.A. (1983) Tetrahedron 39:3; Itakura et al. (1984) Annu. Rev. Biochem. 53:323; Itakura et al. (1984) Science 198:1056; Ike et al. (1983) Nucleic Acid Res. 11:477).

30

35

In addition, libraries of fragments of a RAC3 protein coding sequence can be used to generate a variegated population of RAC3 fragments for screening and subsequent selection of variants of a RAC3 protein. In one embodiment, a library of coding sequence fragments can be generated by treating a double stranded PCR fragment of a RAC3 coding sequence with a nuclease under conditions wherein nicking occurs only about once per molecule, denaturing the double stranded DNA, renaturing the DNA to form double stranded DNA which can include sense/antisense pairs from different nicked products, removing single stranded portions from reformed duplexes by treatment with S1 nuclease, and ligating the resulting fragment library into an expression vector. By this method, an expression library can be derived which encodes N-terminal, C-terminal and internal fragments of various sizes of the RAC3 protein.

Several techniques are known in the art for screening gene products of combinatorial libraries made by point mutations or truncation, and for screening cDNA libraries for gene products having a selected property. Such techniques are adaptable for rapid screening of the gene libraries generated by the combinatorial mutagenesis of RAC3 proteins. The most widely used techniques, which are amenable to high throughput analysis, for screening large gene libraries typically include cloning the gene library into replicable expression vectors, transforming appropriate cells with the resulting library of vectors, and expressing the combinatorial genes under conditions in which detection of a desired activity facilitates isolation of the vector encoding the gene whose product was detected. Recrusive ensemble mutagenesis (REM), a new technique which enhances the frequency of functional mutants in the libraries, can be used in combination with the screening assays to identify RAC3 variants (Arkin and Yourvan (1992) *PNAS* 89:7811-7815; Delgrave et al. (1993) *Protein Engineering* 6(3):327-331).

In one embodiment, cell based assays can be exploited to analyze a variegated RAC3 library. For example, a library of expression vectors can be transfected into a cell line which ordinarily responds to a particular ligand in a RAC3-dependent manner. The transfected cells are then contacted with the ligand and the effect of expression of the mutant on signaling by the ligand can be detected, e.g., by measuring any of a number of inflammatory or angiogenic responses. Plasmid DNA can then be recovered from the cells which score for inhibition, or alternatively, potentiation of ligand induction, and the individual clones further characterized.

An isolated RAC3 protein, or a portion or fragment thereof, can be used as an immunogen to generate antibodies that bind RAC3 using standard techniques for polyclonal and monoclonal antibody preparation. A full-length RAC3 protein can be used or, alternatively, the invention provides antigenic peptide fragments of RAC3 for use as immunogens. The antigenic peptide of RAC3 comprises at least 8 amino acid residues of the amino acid sequence shown in SEQ ID NO:2, and encompasses an

epitope of RAC3 such that an antibody raised against the peptide forms a specific immune complex with RAC3. Preferably, the antigenic peptide comprises at least 10 amino acid residues, more preferably at least 15 amino acid residues, even more preferably at least 20 amino acid residues, and most preferably at least 30 amino acid residues.

Preferred epitopes encompassed by the antigenic peptide are regions of RAC3 that are located on the surface of the protein, e.g., hydrophilic regions.

A RAC3 immunogen typically is used to prepare antibodies by immunizing a suitable subject, (e.g., rabbit, goat, mouse or other mammal) with the immunogen. An appropriate immunogenic preparation can contain, for example, recombinantly expressed RAC3 protein or a chemically synthesized RAC3 polypeptide. The preparation can further include an adjuvant, such as Freund's complete or incomplete adjuvant, or similar immunostimulatory agent. Immunization of a suitable subject with an immunogenic RAC3 preparation induces a polyclonal anti-RAC3 antibody response.

Accordingly, another aspect of the invention pertains to anti-RAC3 antibodies. The term "antibody" as used herein refers to immunoglobulin molecules and immunologically active portions of immunoglobulin molecules, i.e., molecules that contain an antigen binding site which specifically binds (immunoreacts with) an antigen, such as RAC3. Examples of immunologically active portions of immunoglobulin molecules include F(ab) and F(ab')<sub>2</sub> fragments which can be generated by treating the antibody with an enzyme such as pepsin. The invention provides polyclonal and monoclonal antibodies that bind RAC3. The term "monoclonal antibody" or "monoclonal antibody composition", as used herein, refers to a population of antibody molecules that contain only one species of an antigen binding site capable of immunoreacting with a particular epitope of RAC3. A monoclonal antibody composition thus typically displays a single binding affinity for a particular RAC3 protein with which it immunoreacts.

Polyclonal anti-RAC3 antibodies can be prepared as described above by immunizing a suitable subject with a RAC3 immunogen. The anti-RAC3 antibody titer in the immunized subject can be monitored over time by standard techniques, such as with an enzyme linked immunosorbent assay (ELISA) using immobilized RAC3. If desired, the antibody molecules directed against RAC3 can be isolated from the mammal (e.g., from the blood) and further purified by well known techniques, such as protein A chromatography to obtain the IgG fraction. At an appropriate time after immunization, e.g., when the anti-RAC3 antibody titers are highest, antibody-producing cells can be obtained from the subject and used to prepare monoclonal antibodies by standard techniques, such as the hybridoma technique originally described by Kohler and Milstein (1975) *Nature* 256:495-497) (see also, Brown et al. (1981) *J. Immunol*.

30

35

5

30

35

10

127:539-46; Brown et al. (1980) *J. Biol. Chem* .255:4980-83; Yeh et al. (1976) *PNAS* 76:2927-31; and Yeh et al. (1982) *Int. J. Cancer* 29:269-75), the more recent human B cell hybridoma technique (Kozbor et al. (1983) *Immunol Today* 4:72), the EBV-hybridoma technique (Cole et al. (1985), *Monoclonal Antibodies and Cancer Therapy*, Alan R. Liss, Inc., pp. 77-96) or trioma techniques. The technology for producing monoclonal antibody hybridomas is well known (see generally R. H. Kenneth, in *Monoclonal Antibodies: A New Dimension In Biological Analyses*, Plenum Publishing Corp., New York, New York (1980); E. A. Lerner (1981) *Yale J. Biol. Med.*, 54:387-402; M. L. Gefter et al. (1977) *Somatic Cell Genet.* 3:231-36). Briefly, an immortal cell line (typically a myeloma) is fused to lymphocytes (typically splenocytes) from a mammal immunized with a RAC3 immunogen as described above, and the culture supernatants of the resulting hybridoma cells are screened to identify a hybridoma producing a monoclonal antibody that binds RAC3.

Any of the many well known protocols used for fusing lymphocytes and immortalized cell lines can be applied for the purpose of generating an anti-RAC3 monoclonal antibody (see, e.g., G. Galfre et al. (1977) Nature 266:55052; Gefter et al. Somatic Cell Genet., cited supra; Lerner, Yale J. Biol. Med., cited supra; Kenneth, Monoclonal Antibodies, cited supra). Moreover, the ordinarily skilled worker will appreciate that there are many variations of such methods which also would be useful. Typically, the immortal cell line (e.g., a myeloma cell line) is derived from the same mammalian species as the lymphocytes. For example, murine hybridomas can be made by fusing lymphocytes from a mouse immunized with an immunogenic preparation of the present invention with an immortalized mouse cell line. Preferred immortal cell lines are mouse myeloma cell lines that are sensitive to culture medium containing hypoxanthine, aminopterin and thymidine ("HAT medium"). Any of a number of myeloma cell lines can be used as a fusion partner according to standard techniques, e.g., the P3-NS1/1-Ag4-1, P3-x63-Ag8.653 or Sp2/O-Ag14 myeloma lines. These myeloma lines are available from ATCC. Typically, HAT-sensitive mouse myeloma cells are fused to mouse splenocytes using polyethylene glycol ("PEG"). Hybridoma cells resulting from the fusion are then selected using HAT medium, which kills unfused and unproductively fused myeloma cells (unfused splenocytes die after several days because they are not transformed). Hybridoma cells producing a monoclonal antibody of the invention are detected by screening the hybridoma culture supernatants for antibodies that bind RAC3, e.g., using a standard ELISA assay.

Alternative to preparing monoclonal antibody-secreting hybridomas, a monoclonal anti-RAC3 antibody can be identified and isolated by screening a recombinant combinatorial immunoglobulin library (e.g., an antibody phage display library) with RAC3 to thereby isolate immunoglobulin library members that bind RAC3.

Kits for generating and screening phage display libraries are commercially available (e.g., the Pharmacia *Recombinant Phage Antibody System*, Catalog No. 27-9400-01; and the Stratagene *SurfZAP*<sup>TM</sup> *Phage Display Kit*, Catalog No. 240612). Additionally, examples of methods and reagents particularly amenable for use in generating and screening antibody display library can be found in, for example, Ladner et al. U.S. Patent No. 5,223,409; Kang et al. PCT International Publication No. WO 92/18619; Dower et al. PCT International Publication No. WO 91/17271; Winter et al. PCT International Publication No. WO 92/15679; Breitling et al. PCT International Publication WO 93/01288;

International Publication No. WO 92/01047; Garrard et al. PCT International Publication No. WO 92/09690; Ladner et al. PCT International Publication No. WO 90/02809; Fuchs et al. (1991) Bio/Technology 9:1370-1372; Hay et al. (1992) Hum. Antibod. Hybridomas 3:81-85; Huse et al. (1989) Science 246:1275-1281; Griffiths et al. (1993) EMBO J 12:725-734; Hawkins et al. (1992) J. Mol. Biol. 226:889-896; Clarkson et al. (1991) Nature 352:624-628; Gram et al. (1992) PNAS 89:3576-3580; Garrad et al. (1991) Bio/Technology 9:1373-1377; Hoogenboom et al. (1991) Nuc. Acid Res. 19:4133-4137; Barbas et al. (1991) PNAS 88:7978-7982; and McCafferty et al. Nature (1990) 348:552-554.

Additionally, recombinant anti-RAC3 antibodies, such as chimeric and humanized monoclonal antibodies, comprising both human and non-human portions, which can be made using standard recombinant DNA techniques, are within the scope of the invention. Such chimeric and humanized monoclonal antibodies can be produced by recombinant DNA techniques known in the art, for example using methods described in Robinson et al. International Application No. PCT/US86/02269; Akira, et al. European

Additionally, recombinant anti-RAC3 antibodies, such as chimeric and humanized monoclonal antibodies, comprising both human and non-human portions, which can be made using standard recombinant DNA techniques, are within the scope of the invention. Such chimeric and humanized monoclonal antibodies can be produced by recombinant DNA techniques known in the art, for example using methods described in Robinson et al. International Application No. PCT/US86/02269; Akira, et al. European Patent Application 184,187; Taniguchi, M., European Patent Application 171,496; Morrison et al. European Patent Application 173,494; Neuberger et al. PCT International Publication No. WO 86/01533; Cabilly et al. U.S. Patent No. 4,816,567; Cabilly et al. European Patent Application 125,023; Better et al. (1988) Science 240:1041-1043; Liu et al. (1987) PNAS 84:3439-3443; Liu et al. (1987) J. Immunol. 139:3521-3526; Sun et al. (1987) PNAS 84:214-218; Nishimura et al. (1987) Canc. Res. 47:999-1005; Wood et al. (1985) Nature 314:446-449; and Shaw et al. (1988) J. Natl. Cancer Inst. 80:1553-1559); Morrison, S. L. (1985) Science 229:1202-1207; Oi et al. (1986) BioTechniques 4:214; Winter U.S. Patent 5,225,539; Jones et al. (1986) Nature 321:552-525: Verhoeyan et al. (1988) Science 239:1534; and Beidler et al. (1988) J. Immunol. 141:4053-4060.

30

35

An anti-RAC3 antibody (e.g., monoclonal antibody) can be used to isolate RAC3 by standard techniques, such as affinity chromatography or immunoprecipitation. An anti-RAC3 antibody can facilitate the purification of natural RAC3 from cells and of

recombinantly produced RAC3 expressed in host cells. Moreover, an anti-RAC3 antibody can be used to detect RAC3 protein (e.g., in a cellular lysate) in order to evaluate the abundance and pattern of expression of the RAC3 protein. Anti-RAC3 antibodies can be used diagnostically to monitor protein levels in tissue as part of a clinical testing procedure, e.g., to, for example, determine the efficacy of a given treatment regimen. Detection can be facilitated by coupling (i.e., physically linking) the antibody to a detectable substance. Examples of detectable substances include various enzymes, prosthetic groups, fluorescent materials, luminescent materials, bioluminescent materials, and radioactive materials. Examples of suitable enzymes include horseradish peroxidase, alkaline phosphatase, β-galactosidase, or 10 acetylcholinesterase; examples of suitable prosthetic group complexes include streptavidin/biotin and avidin/biotin; examples of suitable fluorescent materials include umbelliferone, fluorescein, fluorescein isothiocyanate, rhodamine, dichlorotriazinylamine fluorescein, dansyl chloride or phycoerythrin; an example of a 715 721 720 725 725 luminescent material includes luminol; examples of bioluminescent materials include luciferase, luciferin, and aequorin, and examples of suitable radioactive material include <sup>125</sup>I, <sup>131</sup>I, <sup>35</sup>S or <sup>3</sup>H.

#### III. Recombinant Expression Vectors and Host Cells

30

35

Another aspect of the invention pertains to vectors, preferably expression vectors, containing a nucleic acid encoding a RAC3 protein (or a portion thereof). As used herein, the term "vector" refers to a nucleic acid molecule capable of transporting another nucleic acid to which it has been linked. One type of vector is a "plasmid", which refers to a circular double stranded DNA loop into which additional DNA segments can be ligated. Another type of vector is a viral vector, wherein additional DNA segments can be ligated into the viral genome. Certain vectors are capable of autonomous replication in a host cell into which they are introduced (e.g., bacterial vectors having a bacterial origin of replication and episomal mammalian vectors). Other vectors (e.g., non-episomal mammalian vectors) are integrated into the genome of a host cell upon introduction into the host cell, and thereby are replicated along with the host genome. Moreover, certain vectors are capable of directing the expression of genes to which they are operatively linked. Such vectors are referred to herein as "expression vectors". In general, expression vectors of utility in recombinant DNA techniques are often in the form of plasmids. In the present specification, "plasmid" and "vector" can be used interchangeably as the plasmid is the most commonly used form of vector. However, the invention is intended to include such other forms of expression vectors, such as viral vectors (e.g., replication defective retroviruses, adenoviruses and adenoassociated viruses), which serve equivalent functions.

The recombinant expression vectors of the invention comprise a nucleic acid of the invention in a form suitable for expression of the nucleic acid in a host cell, which means that the recombinant expression vectors include one or more regulatory sequences, selected on the basis of the host cells to be used for expression, which is operatively linked to the nucleic acid sequence to be expressed. Within a recombinant expression vector, "operably linked" is intended to mean that the nucleotide sequence of interest is linked to the regulatory sequence(s) in a manner which allows for expression of the nucleotide sequence (e.g., in an in vitro transcription/translation system or in a host cell when the vector is introduced into the host cell). The term "regulatory sequence" is intended to includes promoters, enhancers and other expression control elements (e.g., polyadenylation signals). Such regulatory sequences are described, for example, in Goeddel; Gene Expression Technology: Methods in Enzymology 185, Academic Press, San Diego, CA (1990). Regulatory sequences include those which direct constitutive expression of a nucleotide sequence in many types of host cell and those which direct expression of the nucleotide sequence only in certain host cells (e.g., tissue-specific regulatory sequences). It will be appreciated by those skilled in the art that the design of the expression vector can depend on such factors as the choice of the host cell to be transformed, the level of expression of protein desired, etc. The expression vectors of the invention can be introduced into host cells to thereby produce proteins or peptides, including fusion proteins or peptides, encoded by nucleic acids as described herein (e.g., RAC3 proteins, mutant forms of RAC3 proteins, fusion proteins, etc.).

10

30

35

The recombinant expression vectors of the invention can be designed for expression of RAC3 proetins in prokaryotic or eukaryotic cells. For example, RAC3 proteins can be expressed in bacterial cells such as *E. coli*, insect cells (using baculovirus expression vectors) yeast cells or mammalian cells. Suitable host cells are discussed further in Goeddel, *Gene Expression Technology: Methods in Enzymology* 185, Academic Press, San Diego, CA (1990). Alternatively, the recombinant expression vector can be transcribed and translated *in vitro*, for example using T7 promoter regulatory sequences and T7 polymerase.

Expression of proteins in prokaryotes is most often carried out in E. coli with vectors containing constitutive or inducible promotors directing the expression of either fusion or non-fusion proteins. Fusion vectors add a number of amino acids to a protein encoded therein, usually to the amino terminus of the recombinant protein. Such fusion vectors typically serve three purposes: 1) to increase expression of recombinant protein; 2) to increase the solubility of the recombinant protein; and 3) to aid in the purification of the recombinant protein by acting as a ligand in affinity purification. Often, in fusion expression vectors, a proteolytic cleavage site is introduced at the junction of the fusion

moiety and the recombinant protein to enable separation of the recombinant protein from the fusion moiety subsequent to purification of the fusion protein. Such enzymes, and their cognate recognition sequences, include Factor Xa, thrombin and enterokinase. Typical fusion expression vectors include pGEX (Pharmacia Biotech Inc; Smith, D.B. and Johnson, K.S. (1988) *Gene* 67:31-40), pMAL (New England Biolabs, Beverly, MA) and pRIT5 (Pharmacia, Piscataway, NJ) which fuse glutathione S-transferase (GST), maltose E binding protein, or protein A, respectively, to the target recombinant protein.

Purified fusion proteins can be utilized in RAC3 activity assays, in RAC3 ligand binding (e.g., direct assays or competitive assays described in detail below), to generate antibodies specific for RAC3 proteins, as examples. In a preferred embodiment, a RAC3 fusion protein expressed in a retroviral expression vector of the present invention can be utilized to infect bone marrow cells which are subsequently transplanted into irradiated recipients. The pathology of the subject recipient is then examined after sufficient time has passed (e.g six (6) weeks).

Examples of suitable inducible non-fusion  $E.\ coli$  expression vectors include pTrc (Amann et al., (1988) Gene 69:301-315) and pET 11d (Studier et al., Gene Expression Technology: Methods in Enzymology 185, Academic Press, San Diego, California (1990) 60-89). Target gene expression from the pTrc vector relies on host RNA polymerase transcription from a hybrid trp-lac fusion promoter. Target gene expression from the pET 11d vector relies on transcription from a T7 gn10-lac fusion promoter mediated by a coexpressed viral RNA polymerase (T7 gn1). This viral polymerase is supplied by host strains BL21(DE3) or HMS174(DE3) from a resident  $\lambda$  prophage harboring a T7 gn1 gene under the transcriptional control of the lacUV 5 promoter.

One strategy to maximize recombinant protein expression in *E. coli* is to express the protein in a host bacteria with an impaired capacity to proteolytically cleave the recombinant protein (Gottesman, S., *Gene Expression Technology: Methods in Enzymology* 185, Academic Press, San Diego, California (1990) 119-128). Another strategy is to alter the nucleic acid sequence of the nucleic acid to be inserted into an expression vector so that the individual codons for each amino acid are those preferentially utilized in *E. coli* (Wada et al., (1992) *Nucleic Acids Res.* 20:2111-2118). Such alteration of nucleic acid sequences of the invention can be carried out by standard DNA synthesis techniques.

In another embodiment, the RAC3 expression vector is a yeast expression vector.

Examples of vectors for expression in yeast *S. cerivisae* include pYepSec1 (Baldari, et al., (1987) *Embo J.* 6:229-234), pMFa (Kurjan and Herskowitz, (1982) *Cell* 30:933-943), pJRY88 (Schultz et al., (1987) *Gene* 54:113-123), pYES2 (Invitrogen Corporation, San Diego, CA), and picZ (InVitrogen Corp, San Diego, CA).

30

Alternatively, RAC3 proteins can be expressed in insect cells using baculovirus expression vectors. Baculovirus vectors available for expression of proteins in cultured insect cells (e.g., Sf 9 cells) include the pAc series (Smith et al. (1983) *Mol. Cell Biol.* 3:2156-2165) and the pVL series (Lucklow and Summers (1989) *Virology* 170:31-39).

In yet another embodiment, a nucleic acid of the invention is expressed in mammalian cells using a mammalian expression vector. Examples of mammalian expression vectors include pCDM8 (Seed, B. (1987) *Nature* 329:840) and pMT2PC (Kaufman et al. (1987) *EMBO J.* 6:187-195). When used in mammalian cells, the expression vector's control functions are often provided by viral regulatory elements. For example, commonly used promoters are derived from polyoma, Adenovirus 2, cytomegalovirus and Simian Virus 40. For other suitable expression systems for both prokaryotic and eukaryotic cells see chapters 16 and 17 of Sambrook, J., Fritsh, E. F., and Maniatis, T. *Molecular Cloning: A Laboratory Manual. 2nd, ed., Cold Spring Harbor Laboratory*, Cold Spring Harbor Laboratory, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1989.

In another embodiment, the recombinant mammalian expression vector is capable of directing expression of the nucleic acid preferentially in a particular cell type (e.g., tissue-specific regulatory elements are used to express the nucleic acid). Tissuespecific regulatory elements are known in the art. Non-limiting examples of suitable tissue-specific promoters include the albumin promoter (liver-specific; Pinkert et al. (1987) Genes Dev. 1:268-277), lymphoid-specific promoters (Calame and Eaton (1988) Adv. Immunol. 43:235-275), in particular promoters of T cell receptors (Winoto and Baltimore (1989) EMBO J. 8:729-733) and immunoglobulins (Banerji et al. (1983) Cell 33:729-740; Queen and Baltimore (1983) Cell 33:741-748), neuron-specific promoters (e.g., the neurofilament promoter; Byrne and Ruddle (1989) PNAS 86:5473-5477), pancreas-specific promoters (Edlund et al. (1985) Science 230:912-916), and mammary gland-specific promoters (e.g., milk whey promoter; U.S. Patent No. 4,873,316 and European Application Publication No. 264,166). Developmentally-regulated promoters are also encompassed, for example the murine hox promoters (Kessel and Gruss (1990) Science 249:374-379) and the α-fetoprotein promoter (Campes and Tilghman (1989) Genes Dev. 3:537-546).

If desired, recombinant protein expression can be increased by DHFR-mediated amplification of the transfected gene. Methods for selecting cell lines bearing gene amplifications are described in Ausubel et al. (*supra*); such methods generally involve extended culture in medium containing gradually increasing levels of methotrexate. DHFR-containing expression vectors commonly used for this purpose include pCVSEII-DHFR and pAdD26SV(A) (described in Ausubel et al., *supra*). A DHFR-deficient CHO cell line (e.g., CHO DHFR- cells, ATCC Accession No. CRL 9096) is among the

30

35

5

host cells preferred for DHFR selection of a stably-transfected cell line or DHFR-mediated gene amplification.

The invention further provides a recombinant expression vector comprising a DNA molecule of the invention cloned into the expression vector in an antisense orientation. That is, the DNA molecule is operatively linked to a regulatory sequence in a manner which allows for expression (by transcription of the DNA molecule) of an RNA molecule which is antisense to RAC3 mRNA. Regulatory sequences operatively linked to a nucleic acid cloned in the antisense orientation can be chosen which direct the continuous expression of the antisense RNA molecule in a variety of cell types, for instance viral promoters and/or enhancers, or regulatory sequences can be chosen which direct constitutive, tissue specific or cell type specific expression of antisense RNA. The antisense expression vector can be in the form of a recombinant plasmid, phagemid or attenuated virus in which antisense nucleic acids are produced under the control of a high efficiency regulatory region, the activity of which can be determined by the cell type into which the vector is introduced. For a discussion of the regulation of gene expression using antisense genes see Weintraub, H. et al., Antisense RNA as a molecular tool for genetic analysis, *Reviews - Trends in Genetics*, Vol. 1(1) 1986.

10

30

35

Another aspect of the invention pertains to host cells into which a recombinant expression vector of the invention has been introduced. The terms "host cell" and "recombinant host cell" are used interchangeably herein. It is understood that such terms refer not only to the particular subject cell but to the progeny or potential progeny of such a cell. Because certain modifications may occur in succeeding generations due to either mutation or environmental influences, such progeny may not, in fact, be identical to the parent cell, but are still included within the scope of the term as used herein.

A host cell can be any prokaryotic or eukaryotic cell. For example, a RAC3 protein can be expressed in bacterial cells such as *E. coli*, insect cells, yeast or mammalian cells (such as Chinese hamster ovary cells (CHO) or COS cells). Other suitable host cells are known to those skilled in the art.

Vector DNA can be introduced into prokaryotic or eukaryotic cells via conventional transformation or transfection techniques. As used herein, the terms "transformation" and "transfection" are intended to refer to a variety of art-recognized techniques for introducing foreign nucleic acid (e.g., DNA) into a host cell, including calcium phosphate or calcium chloride co-precipitation, DEAE-dextran-mediated transfection, lipofection, or electroporation. Suitable methods for transforming or transfecting host cells can be found in Sambrook, et al. (*Molecular Cloning: A Laboratory Manual. 2nd, ed., Cold Spring Harbor Laboratory,* Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1989), and other laboratory manuals.

30

35

10

For stable transfection of mammalian cells, it is known that, depending upon the expression vector and transfection technique used, only a small fraction of cells may integrate the foreign DNA into their genome. In order to identify and select these integrants, a gene that encodes a selectable marker (e.g., resistance to antibiotics) is generally introduced into the host cells along with the gene of interest. Preferred selectable markers include those which confer resistance to drugs, such as G418, hygromycin and methotrexate. Nucleic acid encoding a selectable marker can be introduced into a host cell on the same vector as that encoding a RAC3 protein or can be introduced on a separate vector. Cells stably transfected with the introduced nucleic acid can be identified by drug selection (e.g., cells that have incorporated the selectable marker gene will survive, while the other cells die).

A host cell of the invention, such as a prokaryotic or eukaryotic host cell in culture, can be used to produce (i.e., express) a RAC3 protein. Accordingly, the invention further provides methods for producing a RAC3 protein using the host cells of the invention. In one embodiment, the method comprises culturing the host cell of invention (into which a recombinant expression vector encoding a RAC3 protein has been introduced) in a suitable medium such that a RAC3 protein is produced. In another embodiment, the method further comprises isolating a RAC3 protein from the medium or the host cell.

Once a recombinant RAC3 polypeptide is expressed, it is isolated, e.g., using affinity chromatography. In one example, isolation is facilitated by inclusion in the RAC3 polypeptide of a leader sequence or "tag" that allows RAC3 polypeptide capture (for example, the GST sequence described herein). In another example, the RAC3 polypeptide product is isolated using an anti-RAC3 polypeptide antibody (e.g., produced as described herein). This antibody can be attached to a solid support (e.g., to cyanogen bromide-activated Sepharose) or can be used in immunoprecipitation methods to bind and isolate the RAC3 polypeptide of interest (see, e.g., J.E. Coligan et al., Current Protocols in Immunology, 1994, John Wiley and Sons, Inc). Lysis and fractionation of RAC3 polypeptide-harboring cells prior to affinity chromatography can be performed by any standard method (see, e.g., Ausubel et al., supra). Once isolated, the recombinant protein can, if desired, be further purified, e.g., by high performance liquid chromatography (HPLC; see, e.g., Fisher, Laboratory Techniques in Biochemistry and Molecular Biology, eds., Work and Burdon, Elsevier, 1980). These general techniques of polypeptide expression and purification can also be used to produce and isolate useful RAC3 polypeptide fragments or analogs (as described herein).

The host cells of the invention can also be used to produce nonhuman transgenic animals. For example, in one embodiment, a host cell of the invention is a fertilized oocyte or an embryonic stem cell into which RAC3-coding sequences have been

30

35

10

introduced. Such host cells can then be used to create non-human transgenic animals in which exogenous RAC3 sequences have been introduced into their genome or homologous recombinant animals in which endogenous RAC3 sequences have been altered. Such animals are useful for studying the function and/or activity of a RAC3 and for identifying and/or evaluating modulators of RAC3 activity. As used herein, a "transgenic animal" is a non-human animal, preferably a mammal, more preferably a rodent such as a rat or mouse, in which one or more of the cells of the animal includes a transgene. Other examples of transgenic animals include non-human primates, sheep, dogs, cows, goats, chickens, amphibians, etc. A transgene is exogenous DNA which is integrated into the genome of a cell from which a transgenic animal develops and which remains in the genome of the mature animal, thereby directing the expression of an encoded gene product in one or more cell types or tissues of the transgenic animal. As used herein, a "homologous recombinant animal" is a non-human animal, preferably a mammal, more preferably a mouse, in which an endogenous RAC3 gene has been altered by homologous recombination between the endogenous gene and an exogenous DNA molecule introduced into a cell of the animal, e.g., an embryonic cell of the animal, prior to development of the animal.

A transgenic animal of the invention can be created by introducing a RAC3encoding nucleic acid into the male pronuclei of a fertilized oocyte, e.g., by microinjection, retroviral infection, and allowing the oocyte to develop in a pseudopregnant female foster animal. The RAC3 cDNA sequence of SEQ ID NO:1 can be introduced as a transgene into the genome of a non-human animal. Intronic sequences and polyadenylation signals can also be included in the transgene to increase the efficiency of expression of the transgene. A tissue-specific regulatory sequence(s) can be operably linked to a RAC3 transgene to direct expression of a RAC3 protein to particular cells. Methods for generating transgenic animals via embryo manipulation and microinjection, particularly animals such as mice, have become conventional in the art and are described, for example, in U.S. Patent Nos. 4,736,866 and 4,870,009, both by Leder et al., U.S. Patent No. 4,873,191 by Wagner et al. and in Hogan, B., Manipulating the Mouse Embryo, (Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y., 1986). Similar methods are used for production of other transgenic animals. A transgenic founder animal can be identified based upon the presence of a RAC3 transgene in its genome and/or expression of RAC3 mRNA in tissues or cells of the animals. A transgenic founder animal can then be used to breed additional animals carrying the transgene. Moreover, transgenic animals carrying a transgene encoding a RAC3 protein can further be bred to other transgenic animals carrying other transgenes.

To create a homologous recombinant animal, a vector is prepared which contains at least a portion of a RAC3 gene into which a deletion, addition or substitution has been

introduced to thereby alter, e.g., functionally disrupt, the RAC3 gene. The RAC3 gene can be a human gene (e.g., the cDNA of SEQ ID NO:1). In a preferred embodiment, the vector is designed such that, upon homologous recombination, the endogenous RAC3 gene is functionally disrupted (i.e., no longer encodes a functional protein; also referred to as a "knock out" vector). Alternatively, the vector can be designed such that, upon homologous recombination, the endogenous RAC3 gene is mutated or otherwise altered but still encodes functional protein (e.g., the upstream regulatory region can be altered to thereby alter the expression of the endogenous RAC3 protein). In the homologous recombination vector, the altered portion of the RAC3 gene is flanked at its 5' and 3' ends by additional nucleic acid sequene of the RAC3 gene to allow for homologous recombination to occur between the exogenous RAC3 gene carried by the vector and an endogenous RAC3 gene in an embryonic stem cell. The additional flanking RAC3 nucleic acid sequence is of sufficient length for successful homologous recombination with the endogenous gene. Typically, several kilobases of flanking DNA (both at the 5' and 3' ends) are included in the vector (see e.g., Thomas, K.R. and Capecchi, M. R. (1987) Cell 51:503 for a description of homologous recombination vectors). The vector is introduced into an embryonic stem cell line (e.g., by electroporation) and cells in which the introduced RAC3 gene has homologously recombined with the endogenous RAC3 gene are selected (see e.g., Li, E. et al. (1992) Cell 69:915). The selected cells are then injected into a blastocyst of an animal (e.g., a mouse) to form aggregation chimeras (see e.g., Bradley, A. in Teratocarcinomas and Embryonic Stem Cells: A Practical Approach, E.J. Robertson, ed. (IRL, Oxford, 1987) pp. 113-152). A chimeric embryo can then be implanted into a suitable pseudopregnant female foster animal and the embryo brought to term. Progeny harboring the homologously recombined DNA in their germ cells can be used to breed animals in which all cells of the animal contain the homologously recombined DNA by germline transmission of the transgene. Methods for constructing homologous recombination vectors and homologous recombinant animals are described further in Bradley, A. (1991) Current Opinion in Biotechnology 2:823-829 and in PCT International Publication Nos.: WO 90/11354 by Le Mouellec et al.; WO 91/01140 by Smithies et al.; WO 92/0968 by Zijlstra et al.; and WO 93/04169 by Berns et al.

10

30

35

In another embodiment, transgenic non-humans animals can be produced which contain selected systems which allow for regulated expression of the transgene. One example of such a system is the *cre/loxP* recombinase system of bacteriophage P1. For a description of the *cre/loxP* recombinase system, see, e.g., Lakso et al. (1992) *PNAS* 89:6232-6236. Another example of a recombinase system is the FLP recombinase system of *Saccharomyces cerevisiae* (O'Gorman et al. (1991) *Science* 251:1351-1355. If a *cre/loxP* recombinase system is used to regulate expression of the transgene, animals

containing transgenes encoding both the *Cre* recombinase and a selected protein are required. Such animals can be provided through the construction of "double" transgenic animals, e.g., by mating two transgenic animals, one containing a transgene encoding a selected protein and the other containing a transgene encoding a recombinase.

Clones of the non-human transgenic animals described herein can also be produced according to the methods described in Wilmut, I. et al. (1997) *Nature* 385:810-813. In brief, a cell, e.g., a somatic cell, from the transgenic animal can be isolated and induced to exit the growth cycle and enter  $G_o$  phase. The quiescent cell can then be fused, e.g., through the use of electrical pulses, to an enucleated oocyte from an animal of the same species from which the quiescent cell is isolated. The recontructed oocyte is then cultured such that it develops to morula or blastocyte and then transferred to pseudopregnant female foster animal. The offspring borne of this female foster animal will be a clone of the animal from which the cell, e.g., the somatic cell, is isolated.

#### IV. Pharmaceutical Compositions

The RAC3 nucleic acid molecules, RAC3 proteins, and anti-RAC3 antibodies (also referred to herein as "active compounds") of the invention can be incorporated into pharmaceutical compositions suitable for administration. Such compositions typically comprise the nucleic acid molecule, protein, or antibody and a pharmaceutically acceptable carrier. As used herein the language "pharmaceutically acceptable carrier" is intended to include any and all solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents, and the like, compatible with pharmaceutical administration. The use of such media and agents for pharmaceutically active substances is well known in the art. Except insofar as any conventional media or agent is incompatible with the active compound, use thereof in the compositions is contemplated. Supplementary active compounds can also be incorporated into the compositions.

A pharmaceutical composition of the invention is formulated to be compatible with its intended route of administration. Examples of routes of administration include parenteral, e.g., intravenous, intradermal, subcutaneous, oral (e.g., inhalation), transdermal (topical), transmucosal, and rectal administration. Solutions or suspensions used for parenteral, intradermal, or subcutaneous application can include the following components: a sterile diluent such as water for injection, saline solution, fixed oils, polyethylene glycols, glycerine, propylene glycol or other synthetic solvents; antibacterial agents such as benzyl alcohol or methyl parabens; antioxidants such as ascorbic acid or sodium bisulfite; chelating agents such as ethylenediaminetetraacetic acid; buffers such as acetates, citrates or phosphates and agents for the adjustment of tonicity such as sodium chloride or dextrose. pH can be adjusted with acids or bases,

30

35

5

such as hydrochloric acid or sodium hydroxide. The parenteral preparation can be enclosed in ampoules, disposable syringes or multiple dose vials made of glass or plastic.

Pharmaceutical compositions suitable for injectable use include sterile aqueous solutions (where water soluble) or dispersions and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersion. For intravenous administration, suitable carriers include physiological saline, bacteriostatic water, Cremophor EL<sup>TM</sup> (BASF, Parsippany, NJ) or phosphate buffered saline (PBS). In all cases, the composition must be sterile and should be fluid to the extent that easy syringability exists. It must be stable under the conditions of manufacture and storage and must be preserved against the contaminating action of microorganisms such as bacteria and fungi. The carrier can be a solvent or dispersion medium containing, for example, water, ethanol, polyol (for example, glycerol, propylene glycol, and liquid polyetheylene glycol, and the like), and suitable mixtures thereof. The proper fluidity can be maintained, for example, by the use of a coating such as lecithin, by the maintenance of the required particle size in the case of dispersion and by the use of surfactants. Prevention of the action of microorganisms can be achieved by various antibacterial and antifungal agents, for example, parabens, chlorobutanol, phenol, ascorbic acid, thimerosal, and the like. In many cases, it will be preferable to include isotonic agents, for example, sugars, polyalcohols such as manitol, sorbitol, sodium chloride in the composition. Prolonged absorption of the injectable compositions can be brought about by including in the composition an agent which delays absorption, for example, aluminum monostearate and gelatin.

Sterile injectable solutions can be prepared by incorporating the active compound (e.g., a RAC3 protein or anti-RAC3 antibody) in the required amount in an appropriate solvent with one or a combination of ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the active compound into a sterile vehicle which contains a basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum drying and freeze-drying which yields a powder of the active ingredient plus any additional desired ingredient from a previously sterile-filtered solution thereof.

Oral compositions generally include an inert diluent or an edible carrier. They can be enclosed in gelatin capsules or compressed into tablets. For the purpose of oral therapeutic administration, the active compound can be incorporated with excipients and used in the form of tablets, troches, or capsules. Oral compositions can also be prepared using a fluid carrier for use as a mouthwash, wherein the compound in the fluid carrier is

30

35

5

composition compos

applied orally and swished and expectorated or swallowed. Pharmaceutically compatible binding agents, and/or adjuvant materials can be included as part of the composition. The tablets, pills, capsules, troches and the like can contain any of the following ingredients, or compounds of a similar nature: a binder such as microcrystalline cellulose, gum tragacanth or gelatin; an excipient such as starch or lactose, a disintegrating agent such as alginic acid, Primogel, or corn starch; a lubricant such as magnesium stearate or Sterotes; a glidant such as colloidal silicon dioxide; a sweetening agent such as sucrose or saccharin; or a flavoring agent such as peppermint, methyl salicylate, or orange flavoring.

For administration by inhalation, the compounds are delivered in the form of an aerosol spray from pressured container or dispenser which contains a suitable propellant, e.g., a gas such as carbon dioxide, or a nebulizer.

Systemic administration can also be by transmucosal or transdermal means. For transmucosal or transdermal administration, penetrants appropriate to the barrier to be permeated are used in the formulation. Such penetrants are generally known in the art, and include, for example, for transmucosal administration, detergents, bile salts, and fusidic acid derivatives. Transmucosal administration can be accomplished through the use of nasal sprays or suppositories. For transdermal administration, the active compounds are formulated into ointments, salves, gels, or creams as generally known in the art.

The compounds can also be prepared in the form of suppositories (e.g., with conventional suppository bases such as cocoa butter and other glycerides) or retention enemas for rectal delivery.

In one embodiment, the active compounds are prepared with carriers that will protect the compound against rapid elimination from the body, such as a controlled release formulation, including implants and microencapsulated delivery systems. Biodegradable, biocompatible polymers can be used, such as ethylene vinyl acetate, polyanhydrides, polyglycolic acid, collagen, polyorthoesters, and polylactic acid. Methods for preparation of such formulations will be apparent to those skilled in the art. The materials can also be obtained commercially from Alza Corporation and Nova Pharmaceuticals, Inc. Liposomal suspensions (including liposomes targeted to infected cells with monoclonal antibodies to viral antigens) can also be used as pharmaceutically acceptable carriers. These can be prepared according to methods known to those skilled in the art, for example, as described in U.S. Patent No. 4,522,811.

It is especially advantageous to formulate oral or parenteral compositions in dosage unit form for ease of administration and uniformity of dosage. Dosage unit form as used herein refers to physically discrete units suited as unitary dosages for the subject to be treated; each unit containing a predetermined quantity of active compound

15 20 25

35

30

35

5

calculated to produce the desired therapeutic effect in association with the required pharmaceutical carrier. The specification for the dosage unit forms of the invention are dictated by and directly dependent on the unique characteristics of the active compound and the particular therapeutic effect to be achieved, and the limitations inherent in the art of compounding such an active compound for the treatment of individuals.

Toxicity and therapeutic efficacy of such compounds can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, *e.g.*, for determining the LD50 (the dose lethal to 50% of the population) and the ED50 (the dose therapeutically effective in 50% of the population). The dose ratio between toxic and therapeutic effects is the therapeutic index and it can be expressed as the ratio LD50/ED50. Compounds which exhibit large therapeutic indices are preferred. While compounds that exhibit toxic side effects may be used, care should be taken to design a delivery system that targets such compounds to the site of affected tissue in order to minimize potential damage to uninfected cells and, thereby, reduce side effects.

The data obtained from the cell culture assays and animal studies can be used in formulating a range of dosage for use in humans. The dosage of such compounds lies preferably within a range of circulating concentrations that include the ED50 with little or no toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized. For any compound used in the method of the invention, the therapeutically effective dose can be estimated initially from cell culture assays. A dose may be formulated in animal models to achieve a circulating plasma concentration range that includes the IC50 (i.e., the concentration of the test compound which achieves a half-maximal inhibition of symptoms) as determined in cell culture. Such information can be used to more accurately determine useful doses in humans. Levels in plasma may be measured, for example, by high performance liquid chromatography.

The nucleic acid molecules of the invention can be inserted into vectors and used as gene therapy vectors. Gene therapy vectors can be delivered to a subject by, for example, intravenous injection, local administration (see U.S. Patent 5,328,470) or by stereotactic injection (see e.g., Chen et al. (1994) *PNAS* 91:3054-3057). The pharmaceutical preparation of the gene therapy vector can include the gene therapy vector in an acceptable diluent, or can comprise a slow release matrix in which the gene delivery vehicle is imbedded. Alternatively, where the complete gene delivery vector can be produced intact from recombinant cells, e.g., retroviral vectors, the pharmaceutical preparation can include one or more cells which produce the gene delivery system.

The pharmaceutical compositions can be included in a container, pack, or dispenser together with instructions for administration.

### V. Uses and Methods of the Invention

5

10

30

35

The nucleic acid molecules, proteins, protein homologues, and antibodies described herein can be used in one or more of the following methods: a) screening assays; b) predictive medicine (e.g., diagnostic assays, prognostic assays, monitoring clinical trials, and pharmacogenetics); and c) methods of treatment (e.g., therapeutic and prophylactic). As described herein, a RAC3 protein of the invention has one or more of the following activities: binding to a RAC3 target molecule, the activation of steroid hormone-mediated transcription, and listone acetyltransferase activity. For example, modulation of RAC3 can be useful in anti-cancer gene therapy and disorders involving steroid/nuclear hormones. For example, to treat a malignancy involving RAC3. A functional RAC3 gene can be introduced into cells at the site of a tumor. The sensitivity of trumors to hormone-based treatment regimens can be increased by introducing a functional RAC3 gene into tumor cells. In the case of disorders of steroid/nuclear hormone systems, it is desirable to target RAC3 to hormone target tissues. In other embodiments, antisense nucleic acid could be used ot decrease RAC3 expression or a dominant negative mutant of RAC3 could be introduced to block hormonal stimulation, e.g. in a tumor.

The isolated nucleic acid molecules of the invention can be used, for example, to express RAC3 protein (e.g., via a recombinant expression vector in a host cell in gene therapy applications), to detect RAC3 mRNA (e.g., in a biological sample) or a genetic alteration in a RAC3 gene, and to modulate RAC3 activity, as described further below. The RAC3 proteins can be used to treat disorders characterized by insufficient or excessive activation of steroid/nuclear hormone receptors. In addition, the RAC3 proteins can be used to screen drugs or compounds which modulate the RAC3 activity as well as to treat disorders characterized by insufficient or excessive production of RAC3 protein or production of RAC3 protein forms which have decreased or aberrant activity compared to RAC3 wild type protein. Moreover, the anti-RAC3 antibodies of the invention can be used to detect and isolate RAC3 proteins, regulate the bioavailability of RAC3 proteins, and modulate RAC3 activity.

#### A. Screening Assays:

The invention provides a method (also referred to herein as a "screening assay") for identifying modulators, i.e., candidate or test compounds or agents (e.g., peptides, peptidomimetics, small molecules or other drugs) which bind to RAC3 proteins, have a stimulatory or inhibitory effect on, for example, RAC3 expression or RAC3 activity, or have a stimulatory or inhibitory effect on, for example, the expression or activity of a non-RAC3 transcriptional coactivator of steroid nuclear receptors.

30

35

10

In one embodiment, the invention provides assays for screening candidate or test compounds which bind to or modulate the activity of a RAC3 protein or polypeptide or biologically active portion thereof. In another embodiment, the invention provides assays for screening candidate or test compounds which bind to or modulate the activity of a RAC3 target molecule. The test compounds of the present invention can be obtained using any of the numerous approaches in combinatorial library methods known in the art, including: biological libraries; spatially addressable parallel solid phase or solution phase libraries; synthetic library methods requiring deconvolution; the 'one-bead one-compound' library method; and synthetic library methods using affinity chromatography selection.

Candidate modulators can be purified (or substantially purified) molecules or can be one component of a mixture of compounds (e.g., an extract or supernatant obtained from cells; Ausubel et al., *supra*). In a mixed compound assay, RAC3 expression is tested against progressively smaller subsets of the candidate compound pool (e.g., produced by standard purification techniques, e.g., HPLC or FPLC) until a single compound or minimal compound mixture is demonstrated to modulate RAC3 expression.

Candidate RAC3 modulators include peptide as well as non-peptide molecules (e.g., peptide or non-peptide molecules found, e.g., in a cell extract, mammalian serum, or growth medium on which mammalian cells have been cultured).

The biological library approach is limited to peptide libraries, while the other four approaches are applicable to peptide, non-peptide oligomer or small molecule libraries of compounds (Lam, K.S. (1997) *Anticancer Drug Des.* 12:145).

Examples of methods for the synthesis of molecular libraries can be found in the art, for example in: DeWitt et al. (1993) Proc. Natl. Acad. Sci. U.S.A. 90:6909; Erb et al. (1994) Proc. Natl. Acad. Sci. USA 91:11422; Zuckermann et al. (1994). J. Med. Chem. 37:2678; Cho et al. (1993) Science 261:1303; Carrell et al. (1994) Angew. Chem. Int. Ed. Engl. 33:2059; Carell et al. (1994) Angew. Chem. Int. Ed. Engl. 33:2061; and in Gallop et al. (1994) J. Med. Chem. 37:1233.

Libraries of compounds may be presented in solution (e.g., Houghten (1992) Biotechniques 13:412-421), or on beads (Lam (1991) Nature 354:82-84), chips (Fodor (1993) Nature 364:555-556), bacteria (Ladner USP 5,223,409), spores (Ladner USP '409), plasmids (Cull et al. (1992) Proc Natl Acad Sci USA 89:1865-1869) or on phage (Scott and Smith (1990) Science 249:386-390); (Devlin (1990) Science 249:404-406); (Cwirla et al. (1990) Proc. Natl. Acad. Sci. 87:6378-6382); (Felici (1991) J. Mol. Biol. 222:301-310); (Ladner supra.).

Determining the ability of the RAC3 protein to bind to or interact with a RAC3 target molecule can be accomplished by one of numerous methods, for example, by

coupling the test compound with a radioisotope or enzymatic label such that binding of the test compound to the RAC3 can be determined by detecting the labeled compound in a complex. For example, test compounds can be labeled with <sup>125</sup>I, <sup>35</sup>S, <sup>14</sup>C, or <sup>3</sup>H, either directly or indirectly, and the radioisotope detected by direct counting of radioemmission or by scintillation counting. Alternatively, test compounds can be enzymatically labeled with, for example, horseradish peroxidase, alkaline phosphatase, or luciferase, and the enzymatic label detected by determination of conversion of an appropriate substrate to product.

In a preferred embodiment, the assay comprises contacting a cell which expresses RAC3 with a RAC3 target molecule or a biologically-active portion thereof, to form an assay mixture, contacting the assay mixture with a test compound, and determining the ability of the test compound to modulate the interaction between RAC3 and the target molecule, wherein determining the ability of the test compound to modulate the interaction comprises determining the ability of the test compound to preferentially bind to RAC3 as compared to the ability of the target molecule to bind RAC3, or a biologically active portion thereof, to bind to the receptor.

In another embodiment, an assay is a cell-based assay comprising contacting a cell expressing a RAC3 target molecule with a test compound and determining the ability of the test compound to modulate (e.g. stimulate or inhibit) the activity of the RAC3 target molecule. Determining the ability of the test compound to modulate the activity of the RAC3 target molecule can be accomplished, for example, by determining the effect of the compound on the ability of RAC3 to bind to or interact with the RAC3 target molecule. As used herein, a "target molecule" is a molecule with which RAC3 protein binds or interacts in nature, for example, a steriod/nuclear hormone receptor.

Determining the ability of the RAC3 protein to bind to or interact with a RAC3 target molecule can be accomplished by one of the methods described above for determining direct binding. In a preferred embodiment, determining the ability of the RAC3 protein to bind to or interact with a RAC3 target molecule can be accomplished by determining the activity of the target molecule. For example, the activity of the target molecule can be determined by detecting changes in steroid hormone receptor-mediated transcription.

In certain embodiments of the above assay methods of the present invention, it may be desirable to immobilize either RAC3 or its target molecule to facilitate separation of complexed from uncomplexed forms of one or both of the proteins, as well as to accommodate automation of the assay. Binding of a test compound to RAC3, or interaction of RAC3 with a target molecule in the presence and absence of a candidate compound, can be accomplished in any vessel suitable for containing the reactants. Examples of such vessels include microtitre plates, test tubes, and micro-centrifuge

30

35

tubes. In one embodiment, a fusion protein can be provided which adds a domain that allows one or both of the proteins to be bound to a matrix. For example, glutathione-S-transferase/ RAC3 fusion proteins or glutathione-S-transferase/target fusion proteins can be adsorbed onto glutathione sepharose beads (Sigma Chemical, St. Louis, MO) or glutathione derivatized microtitre plates, which are then combined with the test compound or the test compound and either the non-adsorbed target protein or RAC3 protein, and the mixture incubated under conditions conducive to complex formation (e.g., at physiological conditions for salt and pH). Following incubation, the beads or microtitre plate wells are washed to remove any unbound components, the matrix immobilized in the case of beads, complex determined either directly or indirectly, for example, as described above. Alternatively, the complexes can be dissociated from the matrix, and the level of RAC3 binding or activity determined using standard techniques.

Other techniques for immobilizing proteins on matrices can also be used in the screening assays of the invention. For example, either RAC3 or its target molecule can be immobilized utilizing conjugation of biotin and streptavidin. Biotinylated RAC3 or target molecules can be prepared from biotin-NHS (N-hydroxy-succinimide) using techniques well known in the art (e.g., biotinylation kit, Pierce Chemicals, Rockford, IL), and immobilized in the wells of streptavidin-coated 96 well plates (Pierce Chemical). Alternatively, antibodies reactive with RAC3 or target molecules but which do not interfere with binding of the RAC3 protein to its target molecule can be derivatized to the wells of the plate, and unbound target or RAC3 trapped in the wells by antibody conjugation. Methods for detecting such complexes, in addition to those described above for the GST-immobilized complexes, include immunodetection of complexes using antibodies reactive with the RAC3 or target molecule, as well as enzyme-linked assays which rely on detecting an enzymatic activity associated with the RAC3 or target molecule.

In another embodiment, modulators of RAC3 expression are identified in a method wherein a cell is contacted with a candidate compound and the expression of RAC3 mRNA or protein in the cell is determined. The level of expression of RAC3 mRNA or protein in the presence of the candidate compound is compared to the level of expression of RAC3 mRNA or protein in the absence of the candidate compound. The candidate compound can then be identified as a modulator of RAC3 expression based on this comparison. For example, when expression of RAC3 mRNA or protein is greater (statistically significantly greater) in the presence of the candidate compound than in its absence, the candidate compound is identified as a stimulator of RAC3 mRNA or protein expression. Alternatively, when expression of RAC3 mRNA or protein is less (statistically significantly less) in the presence of the candidate compound than in its absence, the candidate compound is identified as an inhibitor of RAC3 mRNA or protein

expression. The level of RAC3 mRNA or protein expression in the cells can be determined by methods described herein for detecting RAC3 mRNA or protein..

In yet another aspect of the invention, the RAC3 proteins can be used as "bait proteins" in a two-hybrid assay or three-hybrid assay (see, e.g., U.S. Patent No. 5,283,317; Zervos *et al.* (1993) *Cell* 72:223-232; Madura *et al.* (1993) *J. Biol. Chem.* 268:12046-12054; Bartel et al. (1993) *Biotechniques* 14:920-924; Iwabuchi *et al.* (1993) *Oncogene* 8:1693-1696; and Brent WO94/10300), to identify other proteins, which bind to or interact with RAC3 ("RAC3-binding proteins" or "RAC3-bp" or "target molecules) and are involved in RAC3 activity as described in the appended example.

The two-hybrid system is based on the modular nature of most transcription factors, which consist of separable DNA-binding and activation domains. Briefly, the assay utilizes two different DNA constructs. In one construct, the gene that codes for a RAC3 protein or a protion of a RAC3 protein, e.g. a receptor interacting domain is fused to a gene encoding the DNA binding domain of a known transcription factor (e.g., GAL-4). In the other construct, a DNA sequence, from a library of DNA sequences, that encodes an unidentified protein ("prey" or "sample") is fused to a gene that codes for the activation domain of the known transcription factor. If the "bait" and the "prey" proteins are able to interact, in vivo, forming a RAC3-dependent complex, the DNA-binding and activation domains of the transcription factor are brought into close proximity. This proximity allows transcription of a reporter gene (e.g., LacZ or  $\beta$  gal) which is operably linked to a transcriptional regulatory site responsive to the transcription factor. Expression of the reporter gene can be detected and cell colonies containing the functional transcription factor can be isolated and used to obtain the cloned gene which encodes the protein which interacts with the RAC3 protein. In preferred embodiments a ligand for the steroid/nuclear receptor can be added to the assay to enhance the binding of RAC3 to the steroid/nuclear receptor. In these embodiments compounds that inhibit or downmodulate the interaction among RAC3, the ligand, and the receptor can be identified by reduction in reporter gene readout when compared to the reporter gene readout in the absence of compound.

In other preferred embodiments the ligand-enhanced binding of RAC3 to steroid/nuclear hormone receptors can be exploited to discover novel compounds which can act have a steroid hormone activity. In such embodiments, ligand is omitted from the assay and compounds which enhance the interaction among RAC3 and the receptor can be identified by enhancing the reporter gene readout when compared to the reporter gene readout in the absence of compound.

This invention further pertains to novel agents identified by the above-described screening assays. A molecule that modulates RAC3 expression or activity is considered

10

5

30

useful in the invention; such a molecule can be used, for example, as a therapeutic to modulate cellular levels of RAC3 or to modulate a RAC3 activity.

Furthermore, a molecule that promotes an increase in RAC3 expression or activity is useful for increasing the efficacy of steroid/lipid soluble hormone treatments of disorders, for example, inflammatory disorders treated with glucocorticoids. RAC3 agonists should also be helpful for treatment of disorders due to hormone deficiencies including those due to decreased ligand uptake or receptor variants which do not bind ligands efficiently (e.g., certain forms of night blindness).

A molecule that promotes a decrease in RAC3 expression or activity is also considered useful in the invention. Such a molecule can be used, for example, as a therapeutic to decrease cellular levels of RAC3 or to decrease RAC3 binding activity and thereby decrease the activity of certain nuclear/steroid receptors. Since a decrease in RAC3 expression or activity results in lower activity of steroid/nuclear receptors, a molecule that decreases steroid/nuclear receptor activity by modulating RAC3 activity or binding is useful for down regulating steroid/nuclear receptor activity and gene expression. Thus, a molecule that promotes a decrease in RAC3 activity is useful in a variety of situations for treating a variety of hormone-induced and hormone-related disorders, e.g. cancer.

Accordingly, it is within the scope of this invention to further use an agent identified as described herein in an appropriate animal model. For example, an agent identified as described herein (e.g., a RAC3 modulating agent, an antisense RAC3 nucleic acid molecule, a RAC3-specific antibody, a RAC3-binding partner or a novel compound which has steroid activity or inhibits a steroid activity) can be used in an animal model to determine the efficacy, toxicity, or side effects of treatment with such an agent. Alternatively, an agent identified as described herein can be used in an animal model to determine the mechanism of action of such an agent. Furthermore, this invention pertains to uses of novel agents identified by the above-described screening assays for treatments as described herein.

#### Diagnostic Assays

5

10

30

35

An exemplary method for detecting the presence or absence of RAC3 protein or nucleic acid in a biological sample involves obtaining a biological sample from a test subject and contacting the biological sample with a compound or an agent capable of detecting RAC3 protein or nucleic acid (e.g., mRNA, genomic DNA) that encodes RAC3 protein such that the presence of RAC3 protein or nucleic acid is detected in the biological sample. A preferred agent for detecting RAC3 mRNA or genomic DNA is a labeled nucleic acid probe capable of hybridizing to RAC3 mRNA or genomic DNA. The nucleic acid probe can be, for example, a full-length RAC3 nucleic acid, such as the

nucleic acid of SEQ ID NO: 1 or a portion thereof, such as an oligonucleotide of at least 15, 30, 50, 100, 250 or 500 nucleotides in length and sufficient to specifically hybridize under stringent conditions to RAC3 mRNA or genomic DNA. Other suitable probes for use in the diagnostic assays of the invention are described herein.

A preferred agent for detecting RAC3 protein is an antibody capable of binding to RAC3 protein, preferably an antibody with a detectable label. Antibodies can be polyclonal, or more preferably, monoclonal. An intact antibody, or a fragment thereof (e.g., Fab or F(ab')2) can be used. The term "labeled", with regard to the probe or antibody, is intended to encompass direct labeling of the probe or antibody by coupling (i.e., physically linking) a detectable substance to the probe or antibody, as well as indirect labeling of the probe or antibody by reactivity with another reagent that is directly labeled. Examples of indirect labeling include detection of a primary antibody using a fluorescently labeled secondary antibody and end-labeling of a DNA probe with biotin such that it can be detected with fluorescently labeled streptavidin. The term "biological sample" is intended to include tissues, cells and biological fluids isolated from a subject, as well as tissues, cells and fluids present within a subject. That is, the detection method of the invention can be used to detect RAC3 mRNA, protein, or genomic DNA in a biological sample in vitro as well as in vivo. For example, in vitro techniques for detection of RAC3 mRNA include Northern hybridizations and in situ hybridizations. In vitro techniques for detection of RAC3 protein include enzyme linked immunosorbent assays (ELISAs), Western blots, immunoprecipitations and immunofluorescence. In vitro techniques for detection of RAC3 genomic DNA include Southern hybridizations. Furthermore, in vivo techniques for detection of RAC3 protein include introducing into a subject a labeled anti-RAC3 antibody. For example, the antibody can be labeled with a radioactive marker whose presence and location in a subject can be detected by standard imaging techniques.

In one embodiment, the biological sample contains protein molecules from the test subject. Alternatively, the biological sample can contain mRNA molecules from the test subject or genomic DNA molecules from the test subject. A preferred biological sample is a serum sample isolated by conventional means from a subject.

In another embodiment, the methods further involve obtaining a control biological sample from a control subject, contacting the control sample with a compound or agent capable of detecting RAC3 protein, mRNA, or genomic DNA, such that the presence of RAC3 protein, mRNA or genomic DNA is detected in the biological sample, and comparing the presence of RAC3 protein, mRNA or genomic DNA in the control sample with the presence of RAC3 protein, mRNA or genomic DNA in the test sample.

30

35

5

The invention also encompasses kits for detecting the presence of RAC3 in a biological sample. For example, the kit can comprise a labeled compound or agent capable of detecting RAC3 protein or mRNA in a biological sample; means for determining the amount of RAC3 in the sample; and means for comparing the amount of RAC3 in the sample with a standard. The compound or agent can be packaged in a suitable container. The kit can further comprise instructions for using the kit to detect RAC3 protein or nucleic acid.

#### Prognostic Assays

The diagnostic methods described herein can furthermore be utilized to identify subjects having or at risk of developing a disease or disorder associated with aberrant RAC3 expression or activity. For example, the assays described herein, such as the preceding diagnostic assays or the following assays, can be utilized to identify a subject having or at risk of developing a disorder associated with RAC3 protein, nucleic acid expression or activity. For example, any disorder known to be associated with steroid hormones could be treated (See, e.g., Harrison's Principles of Internal Medicine, 13th Edition. McGraw-Hill 1996). For example, certain types of cancer can be diagnosed. Alternatively, the prognostic assays can be utilized to identify a subject having or at risk for developing cancer. Thus, the present invention provides a method for identifying a disease or disorder associated with aberrant RAC3 expression or activity in which a test sample is obtained from a subject and RAC3 protein or nucleic acid (e.g, mRNA, genomic DNA) is detected, wherein the presence of RAC3 protein or nucleic acid is diagnostic for a subject having or at risk of developing a disease or disorder associated with aberrant RAC3 expression or activity. As used herein, a "test sample" refers to a biological sample obtained from a subject of interest. For example, a test sample can be a biological fluid (e.g., serum), cell sample, or tissue.

Furthermore, the prognostic assays described herein can be used to determine whether a subject can be administered an agent (e.g., an agonist, antagonist, peptidomimetic, protein, peptide, nucleic acid, small molecule, or other drug candidate) to treat a disease or disorder associated with aberrant RAC3 expression or activity. For example, such methods can be used to determine whether a subject can be effectively treated with an agent for a disorder, such as an inflammatory disorder (e.g., kidney inflammation). Alternatively, such methods can be used to determine whether a subject can be effectively treated with an agent for an inflammatory disease. Thus, the present invention provides methods for determining whether a subject can be effectively treated with an agent for a disorder associated with aberrant RAC3 expression or activity in which a test sample is obtained and RAC3 protein or nucleic acid expression or

30

35

activity is diagnostic for a subject that can be administered the agent to treat a disorder associated with aberrant RAC3 expression or activity.)

The methods of the invention can also be used to detect genetic alterations in a RAC3 gene, thereby determining if a subject with the altered gene is at risk for a disorder. In preferred embodiments, the methods include detecting, in a sample of cells from the subject, the presence or absence of a genetic alteration characterized by at least one of an alteration affecting the integrity of a gene encoding a RAC3-protein, or the mis-expression of the RAC3 gene. For example, such genetic alterations can be detected by ascertaining the existence of at least one of 1) a deletion of one or more nucleotides from a RAC3 gene; 2) an addition of one or more nucleotides to a RAC3 gene; 3) a substitution of one or more nucleotides of a RAC3 gene, 4) a chromosomal rearrangement of a RAC3 gene; 5) an alteration in the level of a messenger RNA transcript of a RAC3 gene, 6) aberrant modification of a RAC3 gene, such as of the methylation pattern of the genomic DNA, 7) the presence of a non-wild type splicing pattern of a messenger RNA transcript of a RAC3 gene, 8) a non-wild type level of a RAC3-protein, 9) allelic loss of a RAC3 gene, and 10) inappropriate post-translational modification of a RAC3-protein. As described herein, there are a large number of assay techniques known in the art which can be used for detecting alterations in a RAC3 gene. A preferred biological sample is a tissue or serum sample isolated by conventional means from a subject.

In certain embodiments, detection of the alteration involves the use of a probe/primer in a polymerase chain reaction (PCR) (see, e.g., U.S. Patent Nos. 4,683,195 and 4,683,202), such as anchor PCR or RACE PCR, or, alternatively, in a ligation chain reaction (LCR) (see, e.g., Landegran *et al.* (1988) *Science* 241:1077-1080; and Nakazawa *et al.* (1994) *PNAS* 91:360-364), the latter of which can be particularly useful for detecting point mutations in the RAC3-gene (see Abravaya *et al.* (1995) *Nucleic Acids Res* .23:675-682). This method can include the steps of collecting a sample of cells from a patient, isolating nucleic acid (e.g., genomic, mRNA or both) from the cells of the sample, contacting the nucleic acid sample with one or more primers which specifically hybridize to a RAC3 gene under conditions such that hybridization and amplification of the RAC3-gene (if present) occurs, and detecting the presence or absence of an amplification product, or detecting the size of the amplification product and comparing the length to a control sample. It is anticipated that PCR and/or LCR may be desirable to use as a preliminary amplification step in conjunction with any of the techniques used for detecting mutations described herein.

Alternative amplification methods include: self sustained sequence replication (Guatelli, J.C. *et al.*, 1990, Proc. Natl. Acad. Sci. USA 87:1874-1878), transcriptional amplification system (Kwoh, D.Y. *et al.*, 1989, Proc. Natl. Acad. Sci. USA 86:1173-

30

35

1177), Q-Beta Replicase (Lizardi, P.M. et all, 1988, Bio/Technology 6:1197), or any other nucleic acid amplification method, followed by the detection of the amplified molecules using techniques well known to those of skill in the art. These detection schemes are especially useful for the detection of nucleic acid molecules if such molecules are present in very low numbers.

In an alternative embodiment, mutations in a RAC3 gene from a sample cell can be identified by alterations in restriction enzyme cleavage patterns. For example, sample and control DNA is isolated, amplified (optionally), digested with one or more restriction endonucleases, and fragment length sizes are determined by gel electrophoresis and compared. Differences in fragment length sizes between sample and control DNA indicates mutations in the sample DNA. Moreover, the use of sequence specific ribozymes (see, for example, U.S. Patent No. 5,498,531) can be used to score for the presence of specific mutations by development or loss of a ribozyme cleavage site.

In other embodiments, genetic mutations in RAC3 can be identified by hybridizing a sample and control nucleic acids, e.g., DNA or RNA, to high density arrays containing hundreds or thousands of oligonucleotides probes (Cronin, M.T. et al. (1996) *Human Mutation* 7: 244-255; Kozal, M.J. et al. (1996) *Nature Medicine* 2: 753-759). For example, genetic mutations in RAC3 can be identified in two dimensional arrays containing light-generated DNA probes as described in Cronin, M.T. et al. supra. Briefly, a first hybridization array of probes can be used to scan through long stretches of DNA in a sample and control to identify base changes between the sequences by making linear arrays of sequential ovelapping probes. This step allows the identification of point mutations. This step is followed by a second hybridization array that allows the characterization of specific mutations by using smaller, specialized probe arrays complementary to all variants or mutations detected. Each mutation array is composed of parallel probe sets, one complementary to the wild-type gene and the other complementary to the mutant gene.

In yet another embodiment, any of a variety of sequencing reactions known in the art can be used to directly sequence the RAC3 gene and detect mutations by comparing the sequence of the sample RAC3 with the corresponding wild-type (control) sequence. Examples of sequencing reactions include those based on techniques developed by Maxim and Gilbert ((1977) PNAS 74:560) or Sanger ((1977) PNAS 74:5463). It is also contemplated that any of a variety of automated sequencing procedures can be utilized when performing the diagnostic assays ((1995) Biotechniques 19:448), including sequencing by mass spectrometry (see, e.g., PCT International Publication No. WO 94/16101; Cohen et al. (1996) Adv. Chromatogr. 36:127-162; and Griffin et al. (1993) Appl. Biochem. Biotechnol. 38:147-159).

15 - 15 - 20

30

35

5

Other methods for detecting mutations in the RAC3 gene include methods in which protection from cleavage agents is used to detect mismatched bases in RNA/RNA or RNA/DNA heteroduplexes (Myers et al. (1985) Science 230:1242). In general, the art technique of "mismatch cleavage" starts by providing heteroduplexes of formed by hybridizing (labeled) RNA or DNA containing the wild-type RAC3 sequence with potentially mutant RNA or DNA obtained from a tissue sample. The double-stranded duplexes are treated with an agent which cleaves single-stranded regions of the duplex such as which will exist due to basepair mismatches between the control and sample strands. For instance, RNA/DNA duplexes can be treated with RNase and DNA/DNA hybrids treated with S1 nuclease to enzymatically digesting the mismatched regions. In other embodiments, either DNA/DNA or RNA/DNA duplexes can be treated with hydroxylamine or osmium tetroxide and with piperidine in order to digest mismatched regions. After digestion of the mismatched regions, the resulting material is then separated by size on denaturing polyacrylamide gels to determine the site of mutation. See, for example, Cotton et al. (1988) Proc. Natl Acad Sci USA 85:4397; Saleeba et al. (1992) Methods Enzymol. 217:286-295. In a preferred embodiment, the control DNA or RNA can be labeled for detection.

10

30

35

In still another embodiment, the mismatch cleavage reaction employs one or more proteins that recognize mismatched base pairs in double-stranded DNA (so called "DNA mismatch repair" enzymes) in defined systems for detecting and mapping point mutations in RAC3 cDNAs obtained from samples of cells. For example, the mutY enzyme of E. coli cleaves A at G/A mismatches and the thymidine DNA glycosylase from HeLa cells cleaves T at G/T mismatches (Hsu *et al.* (1994) *Carcinogenesis* 15:1657-1662). According to an exemplary embodiment, a probe based on a RAC3 sequence, e.g., a wild-type RAC3 sequence, is hybridized to a cDNA or other DNA product from a test cell(s). The duplex is treated with a DNA mismatch repair enzyme, and the cleavage products, if any, can be detected from electrophoresis protocols or the like. See, for example, U.S. Patent No. 5,459,039.

In other embodiments, alterations in electrophoretic mobility will be used to identify mutations in RAC3 genes. For example, single strand conformation polymorphism (SSCP) may be used to detect differences in electrophoretic mobility between mutant and wild type nucleic acids (Orita et al. (1989) Proc Natl. Acad. Sci USA: 86:2766, see also Cotton (1993) Mutat Res 285:125-144; and Hayashi (1992) Genet Anal Tech Appl 9:73-79). Single-stranded DNA fragments of sample and control RAC3 nucleic acids will be denatured and allowed to renature. The secondary structure of single-stranded nucleic acids varies according to sequence, the resulting alteration in electrophoretic mobility enables the detection of even a single base change. The DNA fragments may be labeled or detected with labeled probes. The sensitivity of the assay

30

35

5

may be enhanced by using RNA (rather than DNA), in which the secondary structure is more sensitive to a change in sequence. In a preferred embodiment, the subject method utilizes heteroduplex analysis to separate double stranded heteroduplex molecules on the basis of changes in electrophoretic mobility (Keen *et al.* (1991) *Trends Genet* 7:5).

In yet another embodiment the movement of mutant or wild-type fragments in polyacrylamide gels containing a gradient of denaturant is assayed using denaturing gradient gel electrophoresis (DGGE) (Myers *et al.* (1985) *Nature* 313:495). When DGGE is used as the method of analysis, DNA will be modified to insure that it does not completely denature, for example by adding a GC clamp of approximately 40 bp of high-melting GC-rich DNA by PCR. In a further embodiment, a temperature gradient is used in place of a denaturing gradient to identify differences in the mobility of control and sample DNA (Rosenbaum and Reissner (1987) *Biophys Chem* 265:12753).

Examples of other techniques for detecting point mutations include, but are not limited to, selective oligonucleotide hybridization, selective amplification, or selective primer extension. For example, oligonucleotide primers may be prepared in which the known mutation is placed centrally and then hybridized to target DNA under conditions which permit hybridization only if a perfect match is found (Saiki *et al.* (1986) *Nature* 324:163); Saiki *et al.* (1989) *Proc. Natl Acad. Sci USA* 86:6230). Such allele specific oligonucleotides are hybridized to PCR amplified target DNA or a number of different mutations when the oligonucleotides are attached to the hybridizing membrane and hybridized with labeled target DNA.

Alternatively, allele specific amplification technology which depends on selective PCR amplification may be used in conjunction with the instant invention.

Oligonucleotides used as primers for specific amplification may carry the mutation of interest in the center of the molecule (so that amplification depends on differential hybridization) (Gibbs et al. (1989) Nucleic Acids Res. 17:2437-2448) or at the extreme 3' end of one primer where, under appropriate conditions, mismatch can prevent, or reduce polymerase extension (Prossner (1993) Tibtech 11:238). In addition it may be desirable to introduce a novel restriction site in the region of the mutation to create cleavage-based detection (Gasparini et al. (1992) Mol. Cell Probes 6:1). It is anticipated that in certain embodiments amplification may also be performed using Taq ligase for amplification (Barany (1991) Proc. Natl. Acad. Sci USA 88:189). In such cases, ligation will occur only if there is a perfect match at the 3' end of the 5' sequence making it possible to detect the presence of a known mutation at a specific site by looking for the presence or absence of amplification.

The methods described herein may be performed, for example, by utilizing prepackaged diagnostic kits comprising at least one probe nucleic acid or antibody reagent described herein, which may be conveniently used, *e.g.*, in clinical settings to diagnose patients exhibiting symptoms or family history of a disease or illness involving a RAC3 gene.

Furthermore, any cell type or tissue in which RAC3 is expressed may be utilized in the prognostic assays described herein.

5

10

#### Methods of Treatment:

The present invention provides for both prophylactic and therapeutic methods of treating a subject at risk of (or susceptible to) a disorder or having a disorder associated with aberrant RAC3 expression or activity. Furthermore, the invention provides for both prophylactic and therapeutic methods of treating a subject at risk of (or susceptible to) a disorder or having a disorder associated with aberrant steroid/nuclear hormone expression or activity.

### Therapeutic Methods

Another aspect of the invention pertains to methods of modulating RAC3 expression or activity for therapeutic purposes. It has been determined that RAC3-1 is strongly expressed in the heart, placenta, skeletal muscle, and pancreas.

Accordingly, in an exemplary embodiment, the modulatory method of the invention involves contacting a cell with a RAC3 such that the steroid/nuclear hormone mediated transcription is modulated. Alternatively, the modulatory method of the invention involves contacting a cell with a RAC3 or agent that modulates one or more of the activities of RAC3 protein activity associated with the cell. An agent that modulates RAC3 protein activity can be an agent as described herein, such as a nucleic acid or a protein, a naturally-occurring target molecule of a RAC3 protein (e.g., a carbohydrate), a RAC3 antibody, a RAC3 agonist or antagonist, a peptidomimetic of a RAC3 agonist or antagonist, or other small molecule. In one embodiment, the agent stimulates one or more RAC3 activities. Examples of such stimulatory agents include active RAC3 protein and a nucleic acid molecule encoding RAC3 that has been introduced into the cell. In another embodiment, the agent inhibits one or more RAC3 activites. Examples of such inhibitory agents include antisense RAC3 nucleic acid molecules and anti-RAC3 antibodies. These modulatory methods can be performed in vitro (e.g., by culturing the cell with the agent) or, alternatively, in vivo (e.g., by administering the agent to a subject). As such, the present invention provides methods of treating an individual afflicted with a disease or disorder characterized by aberrant expression or activity of a RAC3 protein or nucleic acid molecule. Alternatively, the present invention provides methods of treating an individual afflicted with a disease or disorder characterized by

30

aberrant expression or activity of a relating to steroid/nuclear hormone mediated transcription. In one embodiment, the method involves administering an agent (e.g., an agent identified by a screening assay described herein), or combination of agents that modulates (e.g., upregulates or downregulates) RAC3 expression or activity or the expression or activity of a steroid/nuclear hormone receptor. In another embodiment, the method involves administering a RAC3 protein or nucleic acid molecule as therapy to compensate for reduced or aberrant RAC3 expression or activity. In another embodiment, the method involves administering a RAC3 protein or nucleic acid molecule as therapy to compensate for reduced or aberrant expression or activity.

Stimulation of RAC3 activity is desirable in situations in which RAC3 is abnormally downregulated and/or in which increased RAC3 activity is likely to have a beneficial effect. Likewise, inhibition of RAC3 activity is desirable in situations in which RAC3 is abnormally upregulated and/or in which decreased RAC3 activity is likely to have a beneficial effect.

This invention is further illustrated by the following examples which should not be construed as limiting. The contents of all references, patents and published patent applications cited throughout this application are hereby incorporated by reference.

**EXAMPLES** 

5

10

A new transcriptional activator that interacts with steroid/nuclear hormone receptors was identified by a screening human brain cDNA library using a yeast twohybrid system (Durfee et al., Genes Dev. 7:555-69, 1993) and the retinoic acid receptor. The protein, designated RAC3, is an AF2-dependent co-factor that enhances transcriptional activation by steroid/nuclear receptors. The experiments described below document the isolation and characterization of RAC3 as well as providing examples useful for the invention.

#### Example 1 - Identification of a RAC3 Sequence

To perform a yeast two-hybrid screen, a plasmid vector pGBT-hRARαexpressing Gal4 DBD fusion of full-length human RARa in yeast cells was constructed and used as bait. A human brain cDNA library in pGAD10 vector (Clontech) was screened for RAR-interacting proteins as previously described in Durfee et al. (Genes Dev. 7: 555-569, 1993). After primary selection on synthetic dropout plates lacking tryptophan, leucine, and histidine, but supplemented with 0 50 mM of 3-aminotriazole, 20 colonies were isolated that were further tested for expression of β-galactosidase by in a liquid assay (ONPG assay; Chen et al., Proc. Nat. Acad. Sci. 93:7567-71, 1996) The positive clones that expressed both HIS3 and LacZ reporter genes were rescued and retransformed into yeast cells together with the original bait and other constructs. These analyses led to the identification of a single specific clone, RAC3.1.

This method is useful for the identification of additional steroid/nuclear receptor binding proteins. The method is also useful for analyzing the ability of RAC3 polypeptides to functionally interact with steroid/nuclear receptors, for example, by changing the receptor sequence used as bait.

#### 30 Example 2 - Isolation of Full-Length RAC3

The cDNA insert of the RAC3.1 clone was labeled with <sup>32</sup>P-dATP using the DECAprime II DNA labeling kit (Ambion). The labeled DNA was used to screen a Agt11 human HeLa cDNA library (Clontech). Three cDNA clones covering full-length RAC3 coding region were identified and their sequences determined by dideoxynucleotide sequencing using the T7 Sequenase sequencing kit (Amersham). The sequence analysis and comparison were carried out with the GCG package from the University of Wisconsin (supra). A full-length RAC3 expression vector (pCMX.F.RAC3) was constructed in the pCMX expression vector (Umesono et al., Cell

35

5

10

<u>65</u>:1255-1266, 1991), containing a FLAG and an HA epitope linked to the N-terminus of RAC3.

This method is useful, for example, for obtaining full-length RAC3 nucleic acid sequences from other organisms.

### Example 3 - Sequence Analysis of RAC3

Sequence analysis revealed that the yeast two-hybrid clone RAC3.1 encodes a polypeptide of 804 amino acids (see Fig. 2, amino acids 401-1204). A database search revealed a weak similarity of RAC3 and the central domains of two recently identified steroid receptor coactivators, SRC-1 (Takeshita et al., Endocrinology 137:3594-7, 1996) and TIF2/GRIP1 (Voegel et al., EMBO J. 15:3667-75, 1996; Hong et al., Proc. Natl. Acad. Sci. USA 93:4948-52, 1996). Full-length RAC3 cDNA was then cloned and the nucleotide sequence determined (see Example 2; Fig. 1; SEQ ID NO:1). The deduced amino acid sequence indicated that the RAC3 gene encodes a polypeptide of about 1417 residues (Figs. 1 and 2; SEQ ID NO:2). Sequence alignment of full-length RAC3, TIF2, and human SRC-1 revealed a striking homology in the N-terminal region of about 350 amino acids (about 50% identity among all three proteins). This region contains a potential basic-helix-loop-helix (bHLH) domain similar to many transcriptional regulators (Murre et al., Cell 56:777-83, 1989), and two Per-AhR-Sim (PAS) domains found in several nuclear proteins including Period (Per), aryl hydrocarbon receptor (AhR) and its heterodimeric partner ARNT, the Single Minded (Sim) sequence and the hypoxia inducible factor HIF1α (Swanson and Bradfield, Phanmacogenetics 3:213-30,1993). Sequence comparison among these bHLH/PAS containing proteins revealed that the bHLH and PAS domains in RAC3, TIF2, and SRC-1 share a greater homology among themselves than to the other proteins (Fig. 3). Thus, these three receptor-associated coactivators constitute a unique bHLH/PAS subfamily.

Within the RAC3.1 there are six repeated motifs sharing a consensus sequence of LXXLL or LLXXL (at about amino acids 621-625, 684-689, 737-742, 803-807, 1053-1057, and 1178-1182; Fig. 4), wherein L is leucine and X is any amino acid. These motifs and their neighboring residues are highly charged and well conserved among RAC3, TIF2, and SRC-1 (Fig. 4, i to vi). Based on predictions of secondary structure, all of the regions containing these motifs have the potential to form helices, especially with motifs iv, v, and vi. Since the region containing motifs iv, v, and vi contains both transcriptional activation and receptor-interaction activities, it is possible that these conserved regions play a role in either function. In addition, a similar motif was found at the C-terminus of SRC-1, located within the originally identified receptor-interacting domain (about amino acids 1424-1440; Fig. 4, vii). At the C-terminal domain of RAC3, a glutamine-rich (Q-rich) domain at about amino acids 1169-

1313 was identified (Fig. 2). This Q-rich domain is also conserved among RAC3, TIF2, and SRC-1 and, interestingly, a stretch of 26 consecutive glutamine residues was found only in RAC3. A schematic domain structure comparison among these three proteins is shown in Fig. 5.

5

10

30

35

#### Example 4 - Expression of RAC3

The expression of RAC3 was examined with Northern blot analysis using standard methods. A single message of about 7.5 kb was detected in human HL60 cells as well as in mouse embryonic tissues. RAC3 was up-regulated in HL60 cells treated with all-trans retinoic acid (atRA). This stimulation by atRA is a direct effect on transcription and does not require protein synthesis, since addition of cycloheximide does not have an effect on stimulation by atRA. This suggests that RAC3 not only functions as an RAR coactivator, its expression level may be directly regulated by RA. Thus, a positive feedback regulatory network may operate in which RAC3 binding to RA-RAR complex activates transcription which, in turn, increases transcription of RAC3.

In addition to providing an example of measurement of RAC3 expression, the above exemplifies a model system for studying mechanisms by which gene expression is

controlled by exogenous stimuli, e.g. steroid hormones.

Expression of RAC3 in various tissues was examined by Northern analysis using known methods. RAC3 was expressed in many but not all tissues. The greatest levels of expression were seen in heart, placenta, skeletal muscle, and pancreas.

#### Example 5 - RAC3 Interaction with Nuclear Receptors

The protein-protein interactions between RAC3 and several steroid/nuclear receptors were analyzed by the yeast two-hybrid system. The original two-hybrid clone, RAC3.1 in pGAD10 vector, was retransformed together with yeast expression vectors for Gal4 DBD fusion of selected hormone receptor genes into Y190 cells. The receptors used include RAR (full-length hRAR $\alpha$ ), RXR (full-length hRXR $\alpha$ ), VDR (LBD of human Vitamin D receptor), PPAR (LBD of mPPAR $\alpha$ ), TR (LBD) of hTR $\beta$ ), COUP (LBD of COUP-TFI). Three independent colonies from each transformation were selected and analyzed for expression of  $\beta$ -galactosidase activities by liquid ONPG assay (Fig. 6) after treatment with 1  $\mu$ M of corresponding ligands (closed bars), or with equal concentration of vehicle alone (open bars). Under the conditions used in these experiments, ligand treatment did not produce detectable  $\beta$ -galactosidase activity from the Gal4 DBD-receptor fusion alone. The ligands used in these experiments were atRA, VitD3 (1,25-dihydroxyvitamin D3), and Wy (Wy 14,642). In addition to RAR $\alpha$ , RAC3 also interacted with RXR, VDR, PPAR, and TR, in a ligand-dependent manner. In the absence of ligand, RAC3 can interact with RAR andPPAR. Ligand treatment enhanced

these interactions. RAC3 did not interact with COUP-TFI or other non-receptor proteins such as p53 and SNF1. These results identify RAC3 as a specific receptor-interacting protein which preferentially associates with selected ligand-bound nuclear receptors.

# 5 Example 6 - RAC3 is an AF-2 Dependent Co-Factor of Nuclear Receptors

The ligand dependency of the interactions of RAC3 with the above selected receptors suggests that a ligand-induced conformational change in the receptors can be important for mediating protein-protein interaction with RAC3. Since the AF2-AD domain of the receptors may undergo a dramatic conformational change upon ligand-binding (Renaud et al., *Nature* 378:681-9, 1995), and since this domain is absolutely required for ligand-dependent transcriptional activation by nuclear receptors, three AF2-AD deletion mutants were tested for their abilities to interact with RAC3 in the presence or absence of hormones. The three deletion mutatants were the C-terminal AF2-AD domain of RAR truncated at residue 403 (403\*), full-length hRXRα truncated at amino acid 443 (RXR443\*), and LBD of hVDR truncated at amino acid 364 (VDR364\*).

The Gal4 AD fusion (RAC3.1) and Gal4 DBD fusion were cotransformed into yeast Y190 cells, and the  $\beta$ -galactosidase activities from three independent colonies were determined (Fig. 7). The yeast cells were treated with 1  $\mu$ M of indicated ligands (closed bars), or with an equal concentration of vehicle alone (open bars).

Truncation of the C-terminal AF2-AD domain of RAR at residue 403 created a dominant negative mutant RAR403\*, which acted as a constitutive repressor in mammalian cells (Damm et al., Proc. Natl. Acad. Sci. USA 90:2989-93, 1993; Tsai and Collins, Proc. Natl. Acad. Sci. USA 90: 7153-7, 1993), but in yeast cells acted as a strong ligand-dependent transcriptional activator (Fig. 7). The mechanism underlying this difference between yeast and mammalian cells is unclear. However, a similar ligand-dependent transcriptional activation effect in yeast cells was observed with v-erbA (Privalsky et al., Cell 63:1277-86, 1990), a constitutive oncogenic repressor of TR in higher eukaryotic cells. Coexpression of RAR403\* fusion with RAC3 did not further stimulate the reporter gene activity in either absence or presence of ligand, indicating that the interaction between intact RAR and RAC3 was abolished by deletion of the AF2-AD domain. A similar conclusion was obtained using mammalian culture cells, in which Gal4 DBD-RAR403\* fusion did not activate transcription in response to ligand treatment. Truncation of the AF2-AD domains of RXR and VDR, unlike that of RAR, did not create ligand-dependent transcriptional activators in yeast cells, while the abilities to interact with RAC3 were totally eliminated (Fig. 7). These results demonstrate that the AF2-AD domains of RAR, RXR, and VDR are all absolutely required for interactions with RAC3; thus, RAC3 is an AF-2 dependent cofactor for nuclear receptors.

30

35

35

5

10

#### Example 7: RAC3 Contains a Transcriptionally Active Domain

The above results suggested that RAC3 is one of the components of a transcriptionally active complex of liganded receptors. Whether RAC3 can directly stimulate transcription when recruited to a specific promoter was tested by linking it with a heterologous DBD.

Monkey kidney-derived CV-1 cells and human lung carcinoma A549 cells were grown in phenol-free DMEM medium supplemented with 10% charcoal-stripped fetal bovine serum (Gibco). One day before transfection, cells were seeded in 12-well plates at a density of 50,000 cells per well. A mixture of DNAs was prepared that contained 0.5 μg of expression vector, 0.5 μg of pCMX-βGal used as internal control for transfection efficiency, 1 μg of luciferase reporter, and 1.5 μg of carrier DNA (pGEM) in a final volume of 30 μ1. The DNA solution was mixed dropwise with one volume of 0.5 M CaCl<sub>2</sub> and two volumes of 2x BBS (50 mM N,N-bis(2-hydroxyethyl)-2-aminoethanesulfonic acid (BES; Calbiochem), 280 mM NaCl, 1.5 mM Na<sub>2</sub>HPO<sub>4</sub>, pH 6.95). DNA precipitates were allowed to form at room temperature for 10 minutes and applied evenly to the cell cultures. Cells were allowed to transfect for 12 hours, then the precipitates were removed by washing transfected cells twice with phosphate buffered saline (PBS). Transfected cells were refed fresh media containing either vehicle alone or vehicle plus ligands, and were harvested 24 hours after treatment.

Luciferase and  $\beta$ -galactosidase assays were used to analyze the cultures. Transfected cells in each well of the 12-well plate were lysed in 120  $\mu$ l of cell lysis solution, then processed for luciferase and  $\beta$ -galactosidase assays. Fifty  $\mu$ 1 of lysed cells were transferred into 96-well microlite plates for luciferase assay and 96-well microtiter plates for  $\beta$ -galactosidase assay as described. The luciferase activities were determined with MLX microtiter plate luminometer (Dynex) using 100  $\mu$ l of assay solution (0.1 M KPO<sub>4</sub>, 5 mM ATP, 10 mM MgCl<sub>2</sub>) and 100  $\mu$ l of luciferin solution (0.01 M D-luciferin in 0.1 M KPO<sub>4</sub>, pH 7.8). The luciferase activities were normalized to the  $\beta$ -galactosidase activity expressed from the cotransfected pCMX- $\beta$ Gal plasmid.

Transient transfection of a Gal4 DBD-RAC3 fusion into mammalian (CV-1) cells revealed strong stimulation of gene expression from a luciferase reporter containing five copies of Gal4-binding sites, but not from a parental reporter without the Gal4-binding sites (Fig. 8A of paper). The Gal4 DBD alone did not activate the reporter, while a Gal4 DBD fusion of VP16 activation domain strongly stimulated reporter gene expression. Similar experiments in yeast cells also demonstrated a RAC3-dependent transcription stimulation from a *lacZ* reporter in this organism (Fig. 8B). Thus, RAC3 itself contains a transcriptional activation domain that is functional in both mammalian and yeast cells.

The ability of RAC3 to interact with liganded receptors in an AF2-dependent manner, to directly stimulate transcription when linked to a heterologous DBD, and its sequence similarity to two potent receptor coactivators strongly suggests that RAC3 functions as a steroid/nuclear receptor coactivator. To test the effect of overexpression of RAC3 on transcriptional activation by steroid/nuclear receptors a mammalian expression vector for full length RAC3 which was transiently transfected into human lung carcinoma A549 and/or CV-1 cells.

The pCMX-F.RAC3 construct that expresses full-length RAC3 was transfected into A549 cells together with a construct expressing Gal4 DBD fusion of the LBD of hRARα (Gal-RAR) and a Gal4-tk-Luc reporter. The relative luciferase activities (shown in Fig. 9) are averages from three independent experiments after normalization to β-galactosidase activity which was used as an internal control for transfection efficiency. Transfected cells were treated with vehicle alone (-) or with 100nM of atRA for 24 hours after transfection. Overexpression of RAC3 does not have an effect on the luciferase reporter lacking Gal4-binding sites (not shown). Overexpression of RAC3 enhanced ligand-dependent transcriptional activation by Gal4 DBD fusion of RAR on a Gal4-dependent luciferase reporter about two-fold in A549 cells (Fig. 9). Similar effects were observed in CV-1 cells, but not in HeLa cells (data not shown).

In a similar experiment, RAC3 enhanced transcriptional activation by PR on the MMTV-LTR promoter. In this experiment, transfected cells were treated with or without 100 nM of progesterone at presence or absence of coexpressed RAC3 or SRC-1 in CV-1 cells.

The effect of overexpression of RAC3 on the transcriptional activation of a MMTV-LTR luciferase reporter by the human progesterone receptor (hPR) indicated that RAC3 also enhanced transcriptional activation by wild type hPR on a natural promoter, similar to the effect observed by full length human SRC-1 under the tested conditions. Thus, RAC3 is a bona fide transcriptional coactivator for mammalian steroid/nuclear hormone receptors.

#### Example 9- Identification Of The Fragments Of Rac3 Which Mediate Interactions

Nuclear receptor coactivators and corepressors are involved in transcriptional regulation by steroid and nonsteroid hormone receptors. The nuclear receptor-associated coactivator 3 (RAC3) was recently cloned and found highly related to the steroid receptor coactivator-1 (SRC1) and the transcriptional intermediate factor 2 (TIF2). These three genes establish a novel family of nuclear receptor cofactors which are

30

35

5

25

30

35

5

directly involved in ligand-dependent transcriptional activation of the receptors. In this study, the receptor interaction and transcriptional activation functions of RAC3 are characterized. A 140-amino acid fragment of RAC3 was found sufficient to mediate interactions with several liganded receptors in vivo and in vitro. Point mutations that disrupt AF2-AD function of RXR inhibited the interaction with RAC3. A 162-amino acid fragment of RAC3 is sufficient to confer transcriptional activation as well as recruitment of the CREB/E1A binding protein (CBP). The nuclear receptor interacting domain of RAC3 contains the N terminal three of the six motifs highly conserved among the central domains of RAC3, TIF2 and SRC1, while the transcriptional activation domain of RAC3 contains the C terminal conserved motifs. Interestingly, the three C terminal motifs, but not the N terminal ones, are sufficient to activate transcription individually. These results suggest that these six conserved motifs might contribute to the two key but distinct functions of RAC3. In addition, RAC3, TIF2 and SRC-1 are highly expressed in certain tissues and cancer cells, and that the expression of RAC3 is directly upregulated by retinoid treatment. These results demonstrate that RAC3 may contribute to amplified transcriptional responses through both recruitment of additional coactivators and autoregulation by the receptor-coactivator complex.

#### INTRODUCTION

Transcriptional regulation by nuclear receptors for steroids, thyroid hormones (TR), retinoids (RAR) and vitamin D3 (VDR) controls key aspects of animal development, reproduction, homeostasis and adult organ physiology (for reviews see Mangelsdorf, D. J., et al. (1995) Cell 83, 835-839; Mangelsdorf, D. J. & Evans, R. M. (1995) Cell 83, 841-850; Kastner, P., et al. (1995) Cell 83, 859-869; Beato, M., et al. (1995) Cell 83, 851-857; Thurnmel, C. S. (1995) Cell 83, 871-877). The nuclear receptors are characterized by a common domain structure, including an N terminal A/B region which contains the first activation function (AF-1), a DNA-binding domain (DBD) responsible for recognition of specific DNA response elements, and a C terminal ligand binding domain (LBD) which also mediates dimerization with auxiliary nuclear receptor and transcriptional activation and repression. The TR and RAR form heterodimeric complexes with retinoid-X receptor (RXR) (for review, see (Mangelsdorf, D. J. & Evans, R. M. (1995) Cell 83, 841-850.) and such complexes are capable of repressing transcription in the absence of ligand and activating transcription upon ligand treatment (Baniahmad, A., et al. (1992) Cell 11, 1015-23.). The mechanisms of such dual repression and activation functions are not fully understood. Recently, nonreceptor proteins that can interact with nuclear receptors continue to be elucidated, and many of which are thought to play important roles in regulating transcriptional repression and activation functions of nuclear receptors (for review see Horwitz, K.B., et al. (1996)

Mol. Endocrinology 10, 1167-1177; Glass, C. K., et al. (1997) Curr Opin Cell Biol 9, 222-32. Chen, J. D. & Li, H. L. (1997) Critical Reviews in Gene Expression in press).

5

10

15 20 20

25

30

35

The involvement of non-receptor cofactors in nuclear receptor signaling was first postulated when members of the nuclear receptor superfamily were found to cross-react with each other functionally (Meyer, M., et al. (1989) Cell 57, 433-442.) and with other transcription factors (Schule, R., et al. (1990) Cell 61, 497-504.), and currently several such cofactors have been identified biochemically Halachmi, S., et al. (1994) Science **264**, 1455-8. Cavailles, V., et al. (1994) *Proc Natl Acad Sci US A* **91**, 10009-13; Kurokawa, R., et al. (1995) Nature 377, 451-4; Eggert, M., et al. (1995) J. Biol. Chem. 270, 30755-30759. Fondell, J. D., et al. (1996) Proceedings of the National Academy of Sciences 93, 8329-8333) as well as genetically (Seol, W., et al. (1995) Mol Endocrinol 9, 72-85; Chen, J. D. & Evans, R.M. (1995) *Nature* 377, 454-7). In particular, two related proteins known as the silencing mediator for RAR and TR (SMRT) and the nuclear receptor corepressor (N-CoR) were identified as both TR and RAR-interacting proteins which can assist transcriptional repression by the unliganded receptors (Seol, W., et al. (1995) Mol Endocrinol 9, 72-85; Chen, J. D. et al. (1995) Nature 377, 454-7; Horlein, et al. (1995) Nature 377, 397-404; Sande, S. & Privalsky, M. L. (1996) Molecular Endocrinology 10, 813-825; Zarnir, I., et al. (1996) Mol Cell Biol 16, 5458-65.). Recently, SMRT and N-CoR were found to form complexes with the transcriptional corepressor mSin3 and the histone deacetylases HDAC1 or mRPD3 (Heinzel, T., et al. (1997) Nature 387, 43-8; Nagy, L., et al. (1997) Cell 89, 373-80; Li, H., et al. (1997) Molecular Endocrinology In-press.), suggesting that transcriptional repression by SMRT and N-CoR might involve histone deacetylation (Wolffe, A. P. (1997) Nature 387, 16-17; Pazin, M. J. & Kadonaga, J. T. (1997) Cell 89, 325-328.

Hormone binding is thought to dissociate the corepressor complex from nuclear receptors, allowing the receptors to recruit coactivators, such as the CREB/ElA-binding proteins (CBP/p300) (for review see ( Janknecht, R. & Hunter, T. (1996) *Nature* 383, 22-3.) and references therein), the p300/CBP-associated factor (P/CAF) (28), and the steroid/nuclear receptor coactivator (SRC) family proteins (for review see (Chen, J. D. & Li, H. L. (1997) *Critical Reviews in Gene Expression* in press,). In contrast to the nuclear receptor corepressor complexes, all these putative nuclear receptor coactivators contain intrinsic histone acetyltransferase activity (HAT) (Bannister, A. J. & Kouzarides, T. (1996) *Nature* 384, 641-3; Ogryzko, V. V., et al. (1996) *Cell* 87, 953-9; Spencer, T. E., et al. (1997) *Nature* 389, 194-98), suggesting that transcriptional activation or repression by nuclear receptors might be determined by the relative level of acetylation of the perhaps common targets of nuclear receptor coactivators and corepressors. Recent finding also suggest that, in addition to histones, CBP/p300 may

also acetylate the activator proteins to modulate DNA binding ability (Gu, W. & Roeder, R. G. (1997) *Cell* **90**, 595-606), suggesting the involvement of multiple targets and pathways in transcriptional regulation.

The steroid/nuclear receptor coactivator gene family contains the steroid receptor coactivator-1 (SRC-1; also known as NCoA-1) (Onate, S. A., et al. (1995) Science 270, 1354-1357; Takeshita, A., et al. (1996) Endocrinology 137, 3594-7; Kamei, Y., et al. (1996) Cell 85, 403-14; Yao, T. P., et al. (1996) Proc Natl Acad Sci US A 93, 10626-31; Zhu, Y., et al. (1996) Gene Expr 6, 185-95.), the transcriptional intermediate factor 2 (TIF2; also known as GRIP1) (Voegel, J. J., et al. (1996) EMBO J. 15, 3667-3675; Hong, H., et al. (1996) Proc Natl Acad Sci USA 93, 4948-52; Hong, H., et al. (1997) Mol. Cell. Biol. 17, 2735-2744), and the receptor-associated coactivator 3 (RAC3) (41, 42, 43). Sequence comparison of these proteins reveals that they share an overall identity of about 40% but with striking similarity at the N terminal basic-helix-loop-helix (bHLH) and Period-Aryl hydrocarbon receptor-Single minded (PAS) "A" and "B" domains. The bHLH-PAS domain function in protein-protein interactions, heterodimerization and target gene selection in many members of this family (Swanson, H. I., et al. (1995) J Biol Chem 270, 26292-302; Lindebro, M. C., et al. (1995) EMBO J 14, 3528-39; Zelzer, E., et al. (1997) Genes & Development 11, 2065-2079), but the role of this domain in the SRC remains unclear. In addition to the bHLH-PAS domain, seven highly conserved regions containing a ØXXØ (Ø indicates hydrophobic residue) core consensus sequence flanked by highly charged and conserved residues are found in a central domain that mediates both nuclear receptor interaction and transcriptional activation functions of all three SRC proteins (Li, H., Gomes, P. J. & Chen, J. D. (1997) Proc. Natl. Acad. Sci. USA 94, 8479-8484; Torchia, J., et al. (1997) Nature (article) 387, 677-684; Heery, D. M., et al. (1997) *Nature* **387**, 733-736.). These motifs are known as the LXXLL motifs or the leucine charged domains (LCD). Three of these motifs in SRC-1 have been shown to mediate direct protein-protein interaction with liganded receptors (Torchia, J., et al. (1997) Nature (article) 387, 677-684; Torchia, J., et al. (1997) Nature (article) 387, 677-684), suggesting that these conserved motifs might play an important role in the functions of these coactivators.

30

35

In this study, the nuclear receptor interaction and transcriptional activation domains of RAC3 have been further characterized and different LCD motifs are differentially have been found to be involved in either nuclear receptor interaction or transcriptional activation, suggesting the importance of the flanking sequences in determining the function of these LCD motifs. RAC3 interacts directly with CBP through its activation domain and that RAC3 can form a bridge for the interaction between CBP and nuclear receptors, suggesting that one mechanism of transcriptional

30

10

activation by these coactivators is the recruitment of additional coactivators. In addition, the RAC3 transcript is directly upregulated by retinoic acid, demonstrating a new and perhaps independent mechanism of transcriptional coactivation by the nuclear receptor coactivators. Therefore, RAC3 plays an important role in nuclear receptor activation through utilization of multiple mechanisms.

#### MATERIALS AND METHODS

#### **Plasmids**

The individual RAC3 fragments were obtained by either restriction enzyme digestion of the full-length RAC3 clone or by PCR amplification with synthetic primers harboring suitable cloning sites. The LXXLL motif constructs were generated by subcloning annealed double-stranded oligonucleotides encoding the specified amino acids into the yeast expression vector pAS1 (Durfee, T., et al.(1993) *Genes Dev* 7, 555-69.) The other constructs have been previously published (Chen, J. D. & Evans, R. M. (1995) *Nature* 377, 454-7; Yang, X. J., et al. (1996) *Nature* 382, 319-24.Voegel, J. J., et al. (1996) *EMBO* J. 15, 3667-3675; Li, H., et al. (1997) *Proc. Natl. Acad. Sci. USA* 94, 8479-8484; Umesono, et al. (1991) *Cell* 65, 1255-1266. Schulman, I. G., et al. (1995) *Proc Natl Acad Sci USA* 92, 8288-92.)

### Far-Western Analysis

GST fusion proteins were overexpressed and purified from DH5α cells on glutathione agarose beads. The fusion proteins were separated on denaturing protein gels (SDS-PAGE) and electroblotted onto nitrocellulose filters in a transfer buffer (25 mM Tris-HCl, pH 8.3; 192 mM glycine; 0.01% SDS). After denaturation in 6 M guanidine hydrochloride (GnHCl), the proteins were renatured by stepwise dilution of GnHC1 to 0.187 M in HB buffer (25 mM Hepes, pH 7.7; 25 mM NaCl; 5 mM MgC12; 1 mM DTT). The filters were then saturated with blocking buffer (5% non-fat milk, then 1% milk in HB buffer plus 0.05% NP40) at 4°C overnight. In vitro translated 35S-labeled proteins were generated in reticulocyte lysate (Promega) and were diluted into a hybridization buffer (20 mM Hepes, pH 7.7; 75 mM KCl; 0.1 mM EDTA; 2.5 mM MgC12; 0.05% NP40; 1% milk; 1 mM DTT). The filters were allowed to hybridize overnight at 4°C followed by three washes with hybridization buffer. The bound 35S-labeled proteins were detected by autoradiography.

30

10

#### Yeast two-hybrid assay

The yeast two-hybrid assay was carried out in the yeast Y190 strain (Durfee, T., et al. (1993) *Genes Dev* 7, 555-69. )The GAL4 DBD fusion constructs were generated in the pGBT9 vector, and the GAL4 AD fusion constructs were made in the pGAD424 or pACTII vector (CLONTECH). The β-galactosidase activities were determined with the ONPG liquid assay as previously described (Chen, J. D., et al. (1996) *Proc Natl Acad Sci USA* 93, 7567-71.).

#### Cell culture and transient transfection

African green monkey kidney CV-1 cells were grown in Dulbecco's Modified Eagle's medium (DMEM) supplemented with 10% resin-charcoal stripped fetal bovine serum, 50 U/ml penicillin G and 50  $\mu$ g/ml streptomycin sulfate at 37°C in 5% CO2. One day prior to transfection, cells were plated in 24-well culture dishes at a density of 50,000 cells per well. Transfection was performed by standard calcium phosphate precipitation. All transfection experiments were performed in triplicate, and were replicated at least once. Twelve hours after transfection, cells were washed with PBS and refed fresh medium containing the indicated amounts of ligand. After 30 hours, cells were harvested and  $\beta$ -galactosidase and luciferase activities were assayed as described previously (Chen, J. D., Umesono, K. & Evans, R. M. (1996) *Proc Natl Acad Sci USA* 93, 7567-71.) The relative luciferase activities are normalized to the  $\beta$ -galactosidase activities.

#### Luciferase and β-galactosidase assay

Transfected cells in each well were lysed as described (Chen, J. D., et al. (1996) *Proc Natl Acad Sci USA* **93**, 7567-71.), and processed for the luciferase and the β-galactosidase assays. The lysates were transferred into 96-well microlite plates for luciferase assay and 96-well microtiter plates for β-galactosidase assay as described (Chen, J. D., Umesono, K. & Evans, R. M. (1996) *Proc Natl Acad Sci USA* **93**, 7567-71.). The luciferase activities were determined with a MLX microtiter plate luminometer (Dynex) using 100 μl of assay solution (0.1 M KPO4, 5 mM ATP, 10 mM MgC12) and 100 μl of luciferin solution (0.01 M D-luciferin in 0.1 M KPO4, pH 7.8). The luciferase activities were normalized to the β-galactosidase activity expressed from the cotransfected pCMX-βGal plasmid.

30

35

10

#### Northern blot analysis

Cells were treated with different concentration of RA (0, 10, 100, 1000 nM) for a period of 12 hours. In the control group, cells were treated with equal volume of solvent (80% EtOH plus 20% DMSO). Total RNAs were isolated using an RNA isolation kit (RNAzol) and separated on a 1 % agarose gel with 2.2 M formaldehyde and blotted onto nylon filters. Filters were hybridized with random-primed <sup>32</sup>P-labeled DNA probes specific for RAC3, Tw2, and SRC1 in hybridization buffer (50% formamide, SX SSPE [1X SSPE is 0.18 M NaCl, 10 mM NaPO4, and 1 mM EDTA at pH 7.7], 2X Denhardt solution, 0.1% SDS, 0.1 mg of sheared herring sperm DNA per ml) at 42°C overnight. The final wash was in 1X SSC (0.15 M NaC1 plus 0.015 M sodium citrate)-0.1 % SDS at 65°C. Filters were exposed to X-ray film at -70°C for about 24 hours.

#### **RESULTS**

### **RAC3-nuclear receptor interaction**

The nuclear receptor interaction and transcriptional activation functions of RAC3 have been previously located within a central fragment between amino acids 401 and 1204 (Li, H., et al. (1997) Proc. Natl. Acad. Sci. USA 94, 8479-8484.). In these experiments, RAC3 fragments were expressed as GAL4 AD fusions in the yeast pGAD424 vector. Individual pGAD-RAC3 constructs were cotransformed with a pGBT-F.RAR construct which expresses a GAL4 DBD-full-length hRARα fusion. Relative β-galactosidase activities were determined from three independent transformants in the absence or presence of 1 IµM atRA. RAC3 fragments were expressed as GAL4 DBD fusions in the pCMX-Gal vector (Chen, J. D., et al. (1996) Proc Natl Acad Sci USA 93, 7567-71.) and cotransfected together with a VP16-RAR fusion contruct which expresses a VP16 AD-full-length hRARα fusion. Relative luciferase activities were determined from three independent transfections in the absence or presence of 1 µM atRA. Interaction of RAC3 with nuclear receptors was tested in vitro. The purified GST module (GST) and GST-RAC3-RID (RID) fusion were separated by SDS-PAGE and analyzed by far-Western analyses for interaction with 35Slabeled hRARa, hTRp and hERa in the absence or presence of 1 µM cognate ligands. The positions of the intact GST-RAC3-RID fusion proteins are marked with asterisks. T3, 3,5,3'-triiodo-L-thyronine; E2, 17β-estradiol; RA, all-trans retinoic acid. Receptor AF2-AD point mutations disrupt RAC3 interaction. The interactions between RAC3 and AF2-AD point mutants were determined in the yeast two-hybrid system. The RAC3. 1 fragment was expressed as a GAL4 AD fusion while RXR mutants were expressed as GAL4 DBD fusions and the β-galactosidase activities were determined from three

25

30

35

5

10

transformants in the absence or presence of lµM 9-cis RA. Deletion of amino acids 507 to 1017 eliminates the receptor interaction and that the rest of the N terminal and C terminal sequences can not interact with liganded RAR and other class II receptors, suggesting that amino acids 507-1017 contains the interacting domain. The interaction was then confirmed in mammalian cells, where amino acids 401-800 and 613-752 fragments both interact with RAR in a ligand-enhanced manner, while the 401-695 and 401-624 fragments do not interact with the receptor significantly, suggesting that the 140-amino acids fragment (between 613 and 752) is the nuclear receptor interacting domain (RID). Consistently with previous studies (Torchia, J., et al. (1997) Nature 387, 677-684., 47; Torchia, J., et al. (1997) Nature (article) 387, 677-684.), this RID domain of RAC3 contains three LCD motifs which have been implicated in mediating direct interaction between SRC-1 and nuclear receptors (Torchia, J., et al. (1997) Nature 387, 677-684., 47; Torchia, J., et al. (1997) Nature (article) 387, 677-684.). To further confirm the interactions between RAC3-RID and nuclear receptors, a GST-RID fusion and analyzed its interaction with three nuclear receptors in vitro by far-Western blot analyses. Significant interactions were observed with liganded RAR, TR and ER, demonstrating that this RID domain indeed mediates ligand-dependent interactions between RAC3 and nuclear receptors.

## Point mutations of the AF2-AD that abolish RAC3 interaction

The interaction between RAC3 and nuclear receptor has been shown to depend on the presence of an intact AF2-AD domain (Li, H., et al. (1997) *Proc. Natl. Acad. Sci. USA* **94**, 8479-8484.). Here, whether the AF-2 function per se is required for such interaction was tested. Several point mutations within the AF2-AD helix of the RXR (Schulman, I. G., et al. (1995) *Proc Natl Acad Sci USA* **92**, 8288-92.) were analyzed for their effects on RAC3 interaction. Disruption of the AF-2 function by three independent AF2-AD point mutations (F450A, F450P and ML454A) abolishes the interaction between RAC3 and RXR. In contrast, a neutral AF2-AD mutation (M452A) permits a strong ligand dependent interaction. These results suggest that the activation function of the AF2-AD helix correlates with its ability to interact with RAC3, further supporting the hypothesis that RAC3 is an AF-2 dependent nuclear receptor coactivator.

# Three conserved LCD motifs activate transcription

The transcriptional activation domain of RAC3 was previously located within amino acids 401-1204 (Li, H., et al. (1997) *Proc. Natl. Acad. Sci. USA* **94**, 8479-8484.). Transcriptional activation by RAC3 was assayed in mammalian cells. RAC3 fragments were expressed as GAL4 DBD fusions in the pCMX-Gal vector. The relative fold

30

35

10

induction was determined by comparing with the pCMX-Gal vector. RAC3 fragments or individual motifs were expressed as GAL4 DBD fusions in the Yl90 cells, and the  $\beta$ -galactosidase units were determined from three independent transformants. Deletional analyses reveal that the AD of RAC3 is located at the C terminal region of this central fragment, in contrast to the receptor interacting domain found at the N terminal. In particular, amino acids 401 to 800 and its further deletion mutants can not activate reporter gene expression, while the C terminal fragments 982-1204, 982-1056 and 1017-1179 strongly stimulate reporter gene expression. Like the N terminal receptor interacting domain, these C terminal transactivation domains also contain several highly conserved LCD motifs. Since these motifs are not required for nuclear receptor interaction, they might be involved in transcriptional activation. The transcriptional activation function of each of these motifs was analyed. The three C terminal motifs (iv, v and vi) can activate transcription significantly, but not any of the N terminal motifs. These results indicate that the C terminal motifs are directly involved in transcriptional activation function of RAC3.

# RAC3 interacts with CBP and nuclear receptor simultaneously

Since SRC-1 can interact with the general transcriptional coactivator CBP and p300 (Yao, T. P., et al. (1996) *Proc Natl Acad Sci U S A* **93**, 10626-31.), it was determined whether RAC3 can also interact with CBP by far-Western analyses. Serial GST-CBP fusions covering the SRC-1 interacting domain were analyzed for interactions with <sup>35</sup>S-labeled full-length RAC3, SRC-1 and RAC3-AD (figure 3A, B and C). RAC3 interacts strongly with the CBP fragments C and D, but not with other fragments. Similarly, SRC-1 interacts with CBP fragments C and D, consistent with previous observations (Kamei, Y., et al. (1996) *Cell* **85**, 403-14; Yao, T. P., et al. (1996) *Proc Natl Acad Sci U S A* **93**, 10626-31.). RAC3-AD alone is sufficient for CBP interaction, suggesting that one function of the RAC3-AD might be the recruitment of CBP or the related protein p300.

The ability of RAC3 to interact with both CBP and nuclear receptors prompted us to test whether each pair of interactions are stable enough to mediate the formation of a ternary complex. A trimeric far-Western protocol was developed to test this possibility. Briefly, the CBP fragments C and D were probed first with unlabeled full-length RAC3 and then with <sup>35</sup>S-labeled nuclear receptor. The results demonstrate that RAC3 can bridge the interaction between CBP and RAR in the presence of ligand, but not in the absence of ligand. As controls, the CBP fragments C and D were probed parallely with <sup>35</sup>S-RAC3 and <sup>35</sup>S-RAR individually. These results suggest that the strength of interactions between CBP-RAC3 and RAC3-RAR are sufficient to link

together a ternary complex. These results also confirm that RAC3 utilizes distinct domains for interaction with CBP and RAR.

### RAC3 is expressed in a tissue- and cancer cell-specific manner

5

10

15

25

30

35

The expression patterns of RAC3 in different human tissues and cancer cells were examined and compared with that of SRC-1 and TIF2. Human multiple tissue (left) and cancer cell Northern blots were first hybridized with a <sup>32</sup>P-RAC3 DNA probe, then rehybridized with <sup>32</sup>P-SRC-1 and then with <sup>32</sup>P-TIF2 probes. Between each rehybridization, the membranes were exposed to X-ray films to ensure appropriate stripping of the earlier probe. SRC-1 transcripts showed different patterns from that of RAC3 and TIF2, indicating the absence of cross-contamination from each hybridization. Total RNA (20µg) of HL-60 cells treated with indicated concentrations of atRA for 12 hours or with 1 µM tRA plus 10 µM cycloheximide (CHX) were analyzed for RAC3 message by Northem blot analysis. Ethidium bromide staining of the gel confirmed that each lane contained an equal amount of RNA. RAC3 is expressed at high levels in the heart, placenta, skeletal muscle and pancreas, but at vey low levels in the brain, lung, liver and kidney. The SRC-1 message is expressed at high levels in the heart, brain, placenta, skeletal muscle and pancreas, and again at extreme low levels in the lung, liver and kidney. Two distinct SRC-1 messages are clearly detectable and the larger messages appear to be expressed at higher levels than the smaller form. The expression pattern of TIF2 is very similar to that of RAC3 with the highest expression in the heart instead of placenta. In the human cancer cells, highest expression of RAC3 message in the Burkitt's lymphoma Raji cells as well as in the epitheloid carcinoma HeLa cells, the chronic myelogenous leukemia K-562 cells, the colorectal adenocarcinoma SW480 cells, and the melanoma G361 cells. Extreme low levels of RAC3 are found in promyelocytic leukemia HL-60 cells, the lymphoblastic leukemia MOLT4 cells, and the lung carcinoma A549 cells. These results indicate that RAC3 expression variates greatly in different tissues and cancer cells. In contrast, the SRC-1 transcript is highly expressed only in chronic myelogenous leukemia K-562 cells and the colorectal adenocarcinoma SW480 cells, but not in the Burkitt's lymphoma Raji cells. The expression pattern of TIF2 is very similar to that of RAC3 with the highest expression level in the Raji cells. Together, these results suggest that the expression of the SRC family genes are highly variable in different tissues and cell types.

# RAC3 expression is upregulated by retinoic acid

The expression of nuclear receptor genes are frequently regulated by cognate hormones and, in particular, autoregulation of the RAR gene expression by atRA has

25

30

35

10

been thoroughly characterized (de The, H., et al. (1989) EMBO J. 8, 429-433.). Since RAC3 is involved in transcriptional activation of RAR, the coactivator gene expression might also be regulated by the receptor-coactivator complex, forming a complete autoregulatory pathway. atRA significantly enhances the expression of RAC3 in a concentration-dependent manner. After ligand binding, the RXR-RAR heterodimer recruits a coactivator complex that contains members of the SRC family proteins, CBP/p300 and P/CAF. This coactivator complex functions as an acetylator machinery that acetylate histones and disrupt nucleosome structure, allowing the access of basal transcriptional machinery to the core promoter. Since both RAR and RAC3 transcripts are elevated by RA treatment, the increased concentration of the two key proteins should further amplify the transcriptional responses, leading highly level of gene induction. The induction of RAC3 transcript is most obvious at a concentration between 10-8 and 10-7 M of atRA and that such atRA-induced RAC3 gene expression is not sensitive to inhibition of de novo protein synthesis. Similar upregulation of RAC3 expression is also found in the acute promyelocytic leukemic NB4 cells. Together, these results suggest that RAC3 not only functions as an RAR coactivator, its expression is also autoregulated by the receptor-coactivator complex activated by retinoid treatment.

#### **DISCUSSION**

The nuclear receptor coactivator RAC3 utilizes a 14hRAR\*amino acid domain to interact with liganded nuclear receptors, a C terminal 162-amino acid domain to activate transcription and interact with CBP, and that three C terminal LCD motifs are sufficient independently for transcriptional activation. RAC3, SRC-1 and TIF2 are all expressed in a tissue-specific manner and the expression of RAC3 can be directly upregulated by retinoic acid. These findings have further extented previous observations (Li, H., et al. (1997) *Proc. Natl. Acad. Sci. USA* 94, 8479-8484; Torchia, J., et al. (1997) *Nature* (article) 387, 677-684; Chen, H., et al. (1997) *Cell* 90, 569-580.), and suggest that different LCD motifs might contribute differently for receptor interaction and transcriptional activation, and that RAC3 may potentiate transcriptional activation of nuclear receptors through a combination of recruitment of additional coactivators and autoregulation of its own gene expression.

Our data suggests that the three N terminal LCD motifs are likely involved in nuclear receptor interaction, consistent with recent studies of the SRC-1 and p/ClP (Torchia, J., et al. (1997) *Nature* **387**, 677-684; Torchia, J., et al. (1997) *Nature* **387**, 677-684.) where the individual motifs were found to be sufficient to medate nuclear receptor interaction. In contrast, the three C terminal LCD motifs are sufficient individually to activate transcription. These results suggest the conserved ØXXØ

consensus per se is not enough to determine the function of these motifs and that specific structural constraints of these motifs, perhaps defined by neighboring residues, are also important in determining the function of these motifs.

It was recently shown that ACTR and SRC-1 both possess intrinsic histone acetyltransferase (HAT) activity (Spencer, T. E., et al. (1997) Nature 389, 194-98; Chen, H., et al. (1997) Cell 90, 569-580.). Such HAT activity is also observed in RAC3, albeit very weakly compared with the p/CAF (our unpublished data). ACTR and SRC-1 preferentially acetylate histones H3 and H4, both in the free form and in the mononucleosome (Spencer, T. E., et al. (1997) Nature 389, 194-98.; Chen, H., et al. (1997) Cell 90, 569-580.). Comparison of the diacetyl H3 peptides suggests that Lys 9 and Lys 14 of histone H3 are the preferred sites for SRC-1 acetylation (Spencer, T. E., et al. (1997) Nature 389, 194-98.). Similarly, H3 is also a preferred substrate for ACTR (Chen, H., et al. (1997) Cell 90, 569-580.), but the acetylated lysine residues remain unknown. Since histone acetylation has been correlated with gene activation (Wolffe, A. P. & Pruss, D. (1996) Cell 86, 817-819; Brownell, J. E. & Allis, C. D. (1996) Curr Opin Genet Dev 6, 176-84.), these findings suggest that histone acetylation might be critical for gene activation by nuclear receptors. It remains unclear whether individual acetylases in the putative coactivator complex could modify histones in a redundant manner or whether different HAT enzymes are used for modification of distinct residues. In the latter case, such differential acetylation events might result in synergistic transcriptional activation. Alternatively, it was shown recently that the general coactivator CBP/p300 can also acetylate the sequence-specific tumor suppressor p53, and that such acetylation event promotes DNA binding by pS3 (Gu, W. & Roeder, R. G. (1997) Cell 90, 595-606.).

10

CJCTFJGT CUFFJ

m

25

30

35

The expression patterns of RAC3, TIF2 and SRC-1 appear to be tissue and cancer cell-specific, suggesting that the normal function of these coactivators might be limited to certain tissues and cells. The expression patterns of SRC family genes are different from earlier studies using mouse tissues (Yao, T. P., et al. (1996) *Proc Natl Acad Sci U S A* 93, 10626-31, Zhu, Y., et al. (1996) *Gene Expr* 6, 185-95; Hong, H., et al. (1997) *Mol. Cell. Biol.* 17, 2735-2744.42; Torchia, J., et al. (1997) *Nature (article)* 387, 677-684.). These differences might reflect a more selective expression of RAC3 in human than in mouse. The expression of RAC3 and SRC-1 is largely overlapped in normal tissues, while the expression in cancer cells shows high levels of variation. The lung carcinoma A549 cells appear to express none of the three coactivators, which is consistent with the lack of expression of these three genes in the normal lung tissue and correlate with resistant phenotype of lung carcinoma to retinoid treatment (Moghal, N. & Neel, B. G. (1995) *Mol Cell Biol* 15, 3945-59; Lee, H.Y., et al. (1997) *Cell Growth* 

10

Differ **8**, 283-91.). Interestingly, RAC3 and TIF2, but not SRC-1, are highly overexpressed in the Burkitt's lymphoma Raji cells, and all three SRC genes are highly expressed in the colorectal carcinoma SW480 cells. One of these coactivators is amplified in these cells, anologous to the previous observation in the breast cancer cells (Anzick, S. L., et al. (1997) *Science* **277**, 965-968).

Autoregulation has long been established as a mechanism that contributes significantly to the high level of gene induction by retinoids (de The, H., Marchio, A., Tiollais, P. & Dejean, A. (1989) EMBO J. 8, 429-433.). For instance, atRA treatment induces RARβ gene expression, and a RAR response element was subsequently identified in the promoter region of this gene (Sucov, H. M., Murakami, K. K. & Evans, R. M. (1990) Proc Natl Acad Sci USA 87, 5392-6.1.). Therefore, the binding of atRA to endogenous RAR is expected to activate expression of the receptor gene itself, thereby raising the level of the receptor and amplifying the effect of the ligand. This form of autoregulation is expected to play an important role in RA-dependent pattern formation and morphogenesis. It is now known that RAR is not the only factor responsible for such feedback control of gene activation. This study demonstrates that expression of the coactivator RAC3 is also increased by atRA treatment in at least two different RA responsive cancer cells, suggesting that a simultaneously enhancement of the receptor and the coactivator may force the formation an activator complex that stimulate gene expression upon ligand treatment. Transcriptional activation by nuclear receptors might be the result of a combined effect of coactivator recruitment and autoinduction of multiple effector gene expression.

### 25 Equivalents

Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. Such equivalents are intended to be encompassed by the following claims.

## SEQUENCE LISTING

5	(1) GENE	RAL INFORMATION:
J	(i)	APPLICANT: Chen, J. Don Li, Hui
10	(ii)	TITLE OF INVENTION: Transcriptional Coactivator for Nuclear Hormone Receptors
	(iii)	NUMBER OF SEQUENCES: 2
15	(iv)	CORRESPONDENCE ADDRESS:  (A) ADDRESSEE: Lahive and Cockfield  (B) STREET: 28 State Street  (C) CITY: Boston  (D) STATE: MA  (E) COUNTRY: USA
20		(F) ZIP: 02109
125 125 130 135 135	(v)	COMPUTER READABLE FORM:  (A) MEDIUM TYPE: Floppy disk  (B) COMPUTER: IBM PC compatible  (C) OPERATING SYSTEM: PC-DOS/MS-DOS  (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
30	(vi)	CURRENT APPLICATION DATA:  (A) APPLICATION NUMBER:  (B) FILING DATE:  (C) CLASSIFICATION:
135 135	(viii)	ATTORNEY/AGENT INFORMATION:  (A) NAME: Liepmann, W. Hugo  (B) REGISTRATION NUMBER: 20,407  (C) REFERENCE/DOCKET NUMBER: UMM-026
TOTAL STATE OF THE	(ix)	TELECOMMUNICATION INFORMATION:
40		(A) TELEPHONE: 617-227-7400 (B) TELEFAX: 617-742-4214
	(2) INFO	RMATION FOR SEQ ID NO:1:
45	(i)	SEQUENCE CHARACTERISTICS:  (A) LENGTH: 4496 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear
50	(ii)	MOLECULE TYPE: cDNA
55	(ix)	FEATURE: (A) NAME/KEY: CDS (B) LOCATION: 86.4338

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

5	GCT	GAT	∃GT (	GAC'	rcag?	AG AC	CCAAT	TAAAI	A ATA	AAACT	GCT	TGAZ	CATO	CT T	rtgac	CTGGTT	60	
J	AGC	CAGT	rgc 1	rgato	STAT	T TC	CAAG					GGA Gly 5					112	
10				AGT Ser													160	
15				CTT Leu													208	
20				ATT Ile 45													256	
11 12 125		_		AAT Asn	_		_							_			304	
25 				AGA Arg													352	
				GAT Asp													400	
1135 1135			_	GAT Asp					_					_	_		448	
40				CTA Leu 125													496	
45				GTC Val													544	
				GTT Val													592	
50				TTA Leu													640	
55				AGA Arg													688	

		_				ATT Ile											736
5						ACA Thr											784
10						GGG Gly											832
15						ACA Thr 255											880
20						CAT His											928
						TCC Ser											976
25 125						AGA Arg											1024
1 1 2 30						TAT Tyr	_	_	_				_		_	_	1072
11 11 12 13 15						TTC Phe 335											1120
40	_	_				CTC Leu											1168
10						CAC His											1216
45						GTT Val											1264
50						GGC Gly											1312
55						AGG Arg 415											1360
	GGG	CAG	ATG	AGT	GGA	GCT	AGG	TAT	GGG	GGT	TCC	AGT	AAC	ATA	GCT	TCA	1408

	Gly	Gln	Met	Ser	Gly 430	Ala	Arg	Tyr	Gly	Gly 435	Ser	Ser	Asn	Ile	Ala 440	Ser		
5		ACC Thr																1456
10		TAT Tyr																1504
15		CCA Pro 475																1552
10		AAG Lys																1600
20		ATG Met																1648
		CTC Leu																1696
30		TCT Ser																1744
□ ₩ ₩		AAT Asn 555																1792
		CTG Leu																1840
40		TCA Ser													GAG Glu 600	AGC Ser		1888
45		GTT Val																1936
50		AAA Lys															:	1984
55		TCC Ser 635															;	2032
55		GTT Val															:	2080

	650			655			660			665	
5		TCC Ser									2128
10		ATT Ile									2176
		AAG Lys 700									2224
15		TGT Cys									2272
20		AAG Lys									2320
<u> </u>		AGT Ser									2368
₩ ₩ ₩ ₩ ₩ ₩		AAT Asn									2416
		GAG Glu 780									2464
1135 11		GAC Asp									2512
40		TTT Phe									2560
45		CAA Gln									2608
50		TCT Ser									2656
		AGC Ser 860				-		 _			2704
55		TTC Phe									2752

5					CAG Gln 895											2800
J					GTG Val											2848
10					GCC Ala											2896
15					GGA Gly											2944
20					CCC Pro											2992
					TTG Leu 975											3040
30					ATG Met										Ala	3088
				Gln	CTG Leu				Pro					Ser		3136
1435 1435			Ser		GGC Gly			Asn					Arg			3184
40		Asp			GGG Gly		Pro					Gly				3232
45	Arg				GAC Asp 1055	Gln					Leu					3280
					GAA Glu )					Leu					Leu	3328
50				Gln	GCA Ala				Lys					Gln		3376
55			Ala		ATG Met			Gln					Tyr			3424

	ACA T Thr T		Pro					Pro					Phe				3472
5	GGA C Gly G 1130						Asn					Gln					3520
10	GGC A					Gln					Arg					Arg	3568
15	CCC C				Thr					Arg					Gln		3616
20	CTG C Leu G			Gln					Gln					Leu			3664
ACCOUNTS OF THE PROPERTY OF T	AAA A Lys M 1		Glu					Gly					Met				3712
	ATG C Met G 1210						Phe					Met					3760
₹30	AGC A Ser A					Ser					Gln					Met	3808
□ □ □ □ □ 35 □	ATG A Met M				Gln					Gln					Gln		3856
40	CAG C			Gln					Gln					Phe			3904
	CCT C Pro P		Asn					Pro					Leu				3952
45	CCC A Pro T 1290						Pro					Pro					4000
50	TAT G Tyr G					Gln					Phe					Ser	4048
55	CCT C				Met					Met					Asn		4096
	ATG A	TG	CAA	CAC	CCG	CAG	GCT	GCA	TCC	ATC	TAT	CAG	TCC	TCA	GAA	ATG	4144

	Met Met	134		Pro	GIN	Ата	134		тте	Tyr	GIN	ser 135		GIU	Met	
5	AAG GGG Lys Gly 135	7 Trp					Leu					Ser				4192
10	CAG CAG Gln Glr 1370					Gly					Tyr					4240
1.5	ATG AAT Met Asr				Gly					Met					Met	4288
15	CCC ATO			Met					Asp					*	CAT CT	4338
20	CTGCACC	AGG .	ACCT	CTTA	AG GZ	AAAC	CACTO	G TA	CAAA'	IGAC	ACT	GCAC'	TAG (	GATT	ATTGGG	4398
STATE TO STATE OF STA	AAGGAAT	CAT	TGTT	CCAG	GC A	rcca'	CTT	G GA	AGAA	AGGA	CCA	GCTT"	rga (	3CTC	CATCAA	4458
2000	GGGTATT	TTA .	AGTG	ATGT	CA T	TTGA(	GCAG	G AA	PTCT	AG						4496
	(2) INF	'ORMA	TION	FOR	SEQ	ID 1	NO:2	:								
125 130 130 14 135		(i)	(B	) LEI ) TYI	CHAI NGTH: PE: &	: 14: amin	17 ar o ac:	mino id		ds						
<u>↓↓</u> <u>↓</u> 35	(	ii)	MOLE	CULE	TYPI	E: p	rote	in								
	(	xi)	SEQU	ENCE	DESC	CRIP	rion:	: SE	Q ID	NO:2	2:					
40	Met Ser 1	Gly	Leu	Gly 5	Glu	Asn	Leu	Asp	Pro 10	Leu	Ala	Ser	Asp	Ser 15	Arg	
	Lys Arg	Lys	Leu 20	Pro	Cys	Asp	Thr	Pro 25	Gly	Gln	Gly	Leu	Thr 30	Cys	Ser	
45	Gly Glu	1 Lys 35	Arg	Arg	Arg	Glu	Gln 40	Glu	Ser	Lys	Tyr	Ile 45	Glu	Glu	Leu	
	Ala Glu 50		Ile	Ser	Ala.	Asn 55	Leu	Ser	Asp	Ile	Asp 60	Asn	Phe	Asn	Val	
50	Lys Pro 65	Asp	Lys	Cys	Ala 70	Ile	Leu	Lys	Glu	Thr 75	Val	Arg	Gln	Ile	Arg 80	
55	Gln Ile	Lys	Glu	Gln 85	Gly	Lys	Thr	Ile	Ser 90	Asn	Asp	Asp	Asp	Val 95	Gln	
	Lys Ala	Asp	Val 100	Ser	Ser	Thr	Gly	Gln 105	Gly	Val	Ile	Asp	Lys 110	Asp	Ser	

	Leu	Gly	Pro 115	Leu	Leu	Leu	Gln	Ala 120	Leu	Asp	Gly	Phe	Leu 125	Phe	Val	Val
5	Asn	Arg 130	Glu	Ala	Asn	Ile	Val 135	Phe	Val	Ser	Glu	Asn 140	Val	Thr	Gln	Tyr
10	Leu 145	Gln	Tyr	Lys	Gln	Glu 150	Asp	Leu	Val	Asn	Thr 155	Ser	Val	Tyr	Asn	Ile 160
	Leu	His	Glu	Glu	Asp 165	Arg	Lys	Asp	Phe	Leu 170	Lys	Asn	Leu	Pro	Lys 175	Ser
15	Thr	Val	Asn	Gly 180	Val	Ser	Trp	Thr	Asn 185	Glu	Thr	Gln	Arg	Gln 190	Lys	Ser
	His	Thr	Phe 195	Asn	Cys	Arg	Met	Leu 200	Met	Lys	Thr	Pro	His 205	Asp	Ile	Leu
20	Glu	Asp 210	Ile	Asn	Ala	Ser	Pro 215	Glu	Met	Arg	Gln	Arg 220	Tyr	Glu	Thr	Met
	Gln 225	Cys	Phe	Ala	Leu	Ser 230	Gln	Pro	Arg	Ala	Met 235	Met	Glu	Glu	Gly	Glu 240
2 a a a a a a a a a a a a a a a a a a a	Asp	Leu	Gln	Ser	Cys 245	Met.	Ile	Cys	Val	Ala 250	Arg	Arg	Ile	Thr	Thr 255	Gly
<b>=</b> 30	Glu	Arg	Thr	Phe 260	Pro	Ser	Asn	Pro	Glu 265	Ser	Phe	Ile	Thr	Arg 270	His	Asp
	Leu	Ser	Gly 275	Lys	Val	Val.	Asn	Ile 280	Asp	Thr	Asn	Ser	Leu 285	Arg	Ser	Ser
<b>L</b> 35	Met	Arg 290	Pro	Gly	Phe	Glu	Asp 295	Ile	Ile	Arg	Arg	300	Ile	Gln	Arg	Phe
40	Phe 305	Ser	Leu	Asn	Asp	Gly 310	Gln	Ser	Trp	Ser	Gln 315	Lys	Arg	His	Tyr	Gln 320
	Glu	Ala	Tyr	Leu	Asn 325	Gly	His	Ala	Glu	Thr 330	Pro	Val	Tyr	Arg	Phe 335	Ser
45	Leu	Ala	Asp	Gly 340	Thr	Ile	Val	Thr	Ala 345	Gln	Thr	Lys	Ser	Lys 350	Leu	Phe
	Arg	Asn	Pro 355	Val	Thr	Asn	Asp	Arg 360	His	Gly	Phe	Val	Ser 365	Thr	His	Phe
50	Leu	Gln 370	Arg	Glu	Gln	Asn	Gly 375	Tyr	Arg	Pro	Asn	Pro 380	Asn	Pro	Val	Gly
55	Gln 385	Gly	Ile	Arg	Pro	Pro 390	Met	Ala	Gly	Cys	Asn 395	Ser	Ser	Val	Gly	Gly 400
	Met	Ser	Met	Ser	Pro	Asn	Gln	Gly	Leu	Gln 410	Met	Pro	Ser	Ser	Arg 415	Ala

	Tyr	Gly	Leu	Ala 420	Asp	Pro	Ser	Thr	Thr 425	Gly	Gln	Met	Ser	Gly 430	Ala	Arg
5	Tyr	Gly	Gly 435	Ser	Ser	Asn	Ile	Ala 440	Ser	Leu	Thr	Pro	Gly 445	Pro	Gly	Met
10	Gln	Ser 450	Pro	Ser	Ser	Tyr	Gln 455	Asn	Asn	Asn	Tyr	Gly 460	Leu	Asn	Met	Ser
10	Ser 465	Pro	Pro	His	Gly	Ser 470	Pro	Gly	Leu	Ala	Pro 475	Asn	Gln	Gln	Asn	Ile 480
15	Met	Ile	Ser	Pro	Arg 485	Asn	Arg	Gly	Ser	Pro 490	Lys	Ile	Ala	Ser	His 495	Gln
	Phe	Ser	Pro	Val 500	Ala	Gly	Val	His	Ser 505	Pro	Met	Ala	Ser	Ser 510	Gly	Asn
20	Thr	Gly	Asn 515	His	Ser	Phe	Ser	Ser 520	Ser	Ser	Leu	Ser	Ala 525	Leu	Gln	Ala
☐ ☐ <b>⊆</b> 25	Ile	Ser 530	Glu	Gly	Val	Gly	Thr 535	Ser	Leu	Leu	Ser	Thr 540	Leu	Ser	Ser	Pro
Action 1	Gly 545	Pro	Lys	Leu	Asp	Asn 550	Ser	Pro	Asn	Met	Asn 555	Ile	Thr	Gln	Pro	Ser 560
30	Lys	Val	Ser	Asn	Gln 565	Asp	Ser	Lys	Ser	Pro 570	Leu	Gly	Phe	Tyr	Cys 575	Asp
	Gln	Asn	Pro	Val 580	Glu	Ser	Ser	Met	Cys 585	Gln	Ser	Asn	Ser	Arg 590	Asp	His
U35 Q	Leu	Ser	Asp 595	Lys	Glu	Ser	Lys	Glu 600	Ser	Ser	Val	Glu	Gly 605	Ala	Glu	Asn
40	Gln	Arg 610	Gly	Pro	Leu	Glu	Ser 615	Lys	Gly	His	Lys	Lys 620	Leu	Leu	Gln	Leu
	Leu 625	Thr	Cys	Ser	Ser	Asp 630	Asp	Arg	Gly	His	Ser 635	Ser	Leu	Thr	Asn	Ser 640
45	Pro	Leu	Asp	Ser	Ser 645	Сув	Lys	Glu	Ser	Ser 650	Val	Ser	Val	Thr	Ser 655	Pro
	Ser	Gly	Val	Ser 660	Ser	Ser	Thr	Ser	Gly 665	Gly	Val	Ser	Ser	Thr 670	Ser	Asn
50	Met	His	Gly 675	Ser	Leu	Leu	Gln	Glu 680	Lys	His	Arg	Ile	Leu 685	His	Lys	Leu
55	Leu	Gln 690	Asn	Gly	Asn	Ser	Pro 695	Ala	Glu	Val	Ala	Lys 700	Ile	Thr	Ala	Gln
	Ala 705	Thr	Gly	Lys	Asp	Thr 710	Ser	Ser	Ile	Thr	Ser 715	Cys	Gly	Asp	Gly	Asn 720

	Val	Val	Lys	Gln	Glu 725	Gln	Leu	Ser	Pro	Lys 730	Lys	Lys	Glu	Asn	Asn 735	Ala
5	Leu	Leu	Arg	Tyr 740	Leu	Leu	Asp	Arg	Asp 745	Asp	Pro	Ser	Asp	Ala 750	Leu	Ser
10	Lys	Glu	Leu 755	Gln	Pro	Gln	Val	Glu 760	Gly	Val	Asp	Asn	Lys 765	Met	Ser	Glr
10	Cys	Thr 770	Ser	Ser	Thr	Ile	Pro 775	Ser	Ser	Ser	Gln	Glu 780	Lys	Asp	Pro	Lys
15	Ile 785	Lys	Thr	Glu	Thr	Ser 790	Glu	Glu	Gly	Ser	Gly 795	Asp	Leu	Asp	Asn	Le:
	Asp	Ala	Ile	Leu	Gly 805	Asp	Leu	Thr	Ser	Ser 810	Asp	Phe	Tyr	Asn	Asn 815	Ser
20	Ile	Ser	Ser	Asn 820	Gly	Ser	His	Leu	Gly 825	Thr	Lys	Gln	Gln	Val 830	Phe	Glr
	Gly	Thr	Asn 835	Ser	Leu	Gly	Leu	Lys 840	Ser	Ser	Gln	Ser	Val 845	Gln	Ser	Il€
A CONTRACTOR OF THE PERSON OF	Arg	Pro 850	Pro	Tyr	Asn	Arg	Ala 855	Val	Ser	Leu	Asp	Ser 860	Pro	Val	Ser	Va]
<b>3</b> 0	Gly 865	Ser	Ser	Pro	Pro	Val 870	Lys	Asn	Ile	Ser	Ala 875	Phe	Pro	Met	Leu	Pro 880
	Lys	Gln	Pro	Met	Leu 885	Gly	Gly	Asn	Pro	Arg 890	Met	Met	Asp	Ser	Gln 895	Gli
1135 1135	Asn	Tyr	Gly	Ser 900	Ser	Met	Gly	Gly	Pro 905	Asn	Arg	Asn	Val	Thr 910	Val	Thi
40	Gln	Thr	Pro 915	Ser	Ser	Gly	Asp	Trp 920	Gly	Leu	Pro	Asn	Ser 925	Lys	Ala	Gly
	Arg	Met 930	Glu	Pro	Met	Asn	Ser 935	Asn	Ser	Met	Gly	Arg 940	Pro	Gly	Gly	Asr
45	Tyr 945	Asn	Thr	Ser	Leu	Pro 950	Arg	Pro	Ala	Leu	Gly 955	Gly	Ser	Ile	Pro	Th:
	Leu	Pro	Leu	Arg	Ser 965	Asn	Ser	Ile	Pro	Gly 970	Ala	Arg	Pro	Val	Leu 975	Glr
50	Gln	Gln	Gln	Gln 980	Met	Leu	Gln	Met	Arg 985	Pro	Gly	Glu	Ile	Pro 990	Met	GlΣ
55	Met	Gly	Ala 995	Asn	Pro	Tyr	Gly	Gln 1000		Ala	Ala	Ser	Asn 100		Leu	GlΣ
22	Ser	Trp		Asp	Gly	Met	Leu 101!		Met	Glu	Gln	Val		His	Gly	Thi

	Gln As 1025	n Arg	Pro	Leu	Leu 1030		Asn	Ser	Leu	Asp 103!		Leu	Val	Gly	Pro 1040
5	Pro Se	r Asn	Leu	Glu 104		Gln	Ser	Asp	Glu 105		Ala	Leu	Leu	Asp 105!	
10	Leu Hi	s Thr	Leu 106		Ser	Asn	Thr	Asp 106!		Thr	Gly	Leu	Glu 107		Ile
	Asp Ar	g Ala 107		Gly	Ile	Pro	Glu 108		Val	Asn	Gln	Gly 108		Ala	Leu
15	Glu Pr 10		Gln	Asp	Ala	Phe 109		Gly	Gln	Glu	Ala 110		Val	Met	Met
	Asp Gl	n Lys	Ala	Gly	Leu 1110		Gly	Gln	Thr	Tyr 1115		Ala	Gln	Gly	Pro 1120
20	Pro Me	t Gln	Gly	Gly 112		His	Leu	Gln	Gly 1130		Ser	Pro	Ser	Phe 1135	
25	Ser Me	t Met	Asn 114		Met	Asn	Gln	Gln 1145	_	Asn	Phe	Pro	Leu 1150		Gly
25 	Met Hi	s Pro 115		Ala	Asn	Ile	Met 1160		Pro	Arg	Thr	Asn 116		Pro	Lys
5	Gln Le 11		Met	Gln	Leu	Gln 1179		Arg	Leu	Gln	Gly 1180		Gln	Phe	Leu
	Asn Gl:	n Ser	Arg	Gln	Ala 1190		Glu	Leu	Lys	Met 1195		Asn	Pro	Thr	Ala 1200
1135 11	Gly Gl	y Ala	Ala	Val 1205		Arg	Pro	Met	Met 1210		Pro	Gln	Gln	Gly 1215	
40	Leu As:	n Ala	Gln 1220		Val	Ala	Gln	Arg 1225		Arg	Glu	Leu	Leu 1230		His
	His Ph	e Arg 123.		Gln	Arg	Val	Ala 1240		Met	Met	Gln	Gln 1245		Gln	Gln
45	Gln Gla		Gln	Gln	Gln	Gln 1259		Gln	Gln	Gln	Gln 1260		Gln	Gln	Gln
	Gln Gli 1265	n Gln	Thr	Gln	Ala 1270		Ser	Pro	Pro	Pro 1275		Val	Thr	Ala	Ser 1280
50	Pro Se	Met	Asp	Gly 1285		Leu	Ala	Gly	Pro 1290		Met	Pro	Gln	Ala 1295	
55	Pro Gli	n Gln	Phe 1300		Tyr	Gln	Pro	Asn 1305		Gly	Met	Gly	Gln 1310		Pro
	Asp Pro	Ala 131!		Gly	Arg	Val	Ser 1320		Pro	Pro	Asn	Ala 1325		Met	Ser

- Ser Arg Met Gly Pro Ser Gln Asn Pro Met Met Gln His Pro Gln Ala 1330 1335 1340
- 5 Ala Ser Ile Tyr Gln Ser Ser Glu Met Lys Gly Trp Pro Ser Gly Asn 1345 1350 1355 1360
  - Leu Ala Arg Asn Ser Ser Phe Ser Gln Gln Gln Phe Ala His Gln Gly
    1365 1370 1375
  - Asn Pro Ala Val Tyr Ser Met Val His Met Asn Gly Ser Ser Gly His
    1380 1385 1390
- Met Gly Gln Met Asn Met Asn Pro Met Pro Met Ser Gly Met Pro Met 15 1395 1400 1405
  - Gly Pro Asp Gln Lys Tyr Cys \* 1410 1415

#### What is claimed:

- An isolated nucleic acid molecule which encodes a RAC3 protein,
   comprising a nucleotide sequence at least about 70% homologous to a nucleotide sequence of SEQ ID NO:1, or a complement thereof.
  - 2. The isolated nucleic acid molecule of claim 1 comprising the coding sequence of SEQ ID NO:1, or a complement thereof.
  - 3. The isolated nucleic acid molecule of claim 2, further comprising about nucleotides 1922-2341of SEQ ID NO:1.
  - 4. The isolated nucleic acid molecule of claim 2, further comprising about nucleotides 3136-3622 of SEQ ID NO:1.
  - 5. The isolated nucleic acid molecule of claim 1 comprising the nucleotide sequence of SEQ ID NO:1 or a complement thereof.
  - 6. The isolated nuclei acid molecule of claim 1, further comprising about nucleotides 1922-2341 and 3136-3622 of SEQ ID NO:1.
    - 7. The isolated nucleic acid molecule of claim 1, having a RAC3 activity.
  - 8. An isolated nucleic acid molecule comprising a nucleotide sequence encoding a protein which comprises an amino acid sequence at least about 70% homologous to the amino acid sequence of SEQ ID NO:2.
- 9. An isolated nucleic acid molecule comprising a nucleotide sequence encoding a protein which comprises the amino acid sequence of SEQ ID NO:2.
  - 10. An isolated nucleic acid molecule encoding a RAC3 protein comprising a nucleotide sequence which hybridizes under stringent hybridization conditions to a nucleic acid molecule comprising the nucleotide sequence of SEQ ID NO:1.
  - 11. An isolated nucleic acid molecule at least 500 nucleotides in length which hybridizes under stringent hybridization conditions to a nucleic acid molecule comprising the nucleotide sequence of SEQ ID NO:1.

T15
T4
T20
T20
T25

10

35

The isolated nucleic acid molecule of claim 1, which specifically detects 12. a RAC3 nucleic acid molecule relative to a nucleic acid molecule encoding a non-RAC3 protein.

5

13. An isolated nucleic acid molecule which is antisense to the nucleic acid molecule of claim 1.

10

- 14. A vector comprising the nucleic acid molecule of claim 1.
- 15. The vector of claim 14, which is a recombinant expression vector.
- 16. A host cell containing the vector of claim 15.

17. A method for producing RAC3 protein comprising culturing the host cell of claim 16 in a suitable medium until RAC3 protein is produced.

18. The method of claim 17, further comprising isolating RAC3 protein from the medium or the host cell.

19. A nonhuman transgenic animal which contains cells carrying a transgene encoding RAC3 protein.

20. A nonhuman homologous recombinant animal which contains cells having an altered RAC3 gene.

21. An isolated RAC3 protein comprising an amino acid sequence at least

about 60% homologous to the amino acid sequence of SEQ ID NO:2.

30

22. An isolated RAC3 protein which is encoded by a nucleic acid molecule comprising a nucleotide sequence at least about 60% homologous to a nucleotide sequence of SEQ ID NO:1, or a complement thereof.

23. An isolated RAC3 protein which is encoded by a nucleic acid molecule comprising a nucleotide sequence which hybridizes under stringent hybridization 35 conditions to a nucleic acid molecule comprising the nucleotide sequence of SEQ ID NO:1 or the coding region of SEQ ID NO:1.

25. An isolated protein comprising an amino acid sequence sufficiently homologous to the amino acid sequence of SEQ ID NO:2, wherein the protein retains a RAC3 biological activity.

10

26. The isolated protein of claim 25, comprising an amino acid sequence 60% homologous to an amino acid sequence of SEQ ID NO:2.

27. The isolated protein of any of claim 26, comprising at least one RAC3 domain.

28. An isolated protein comprising the amino acid sequence of SEQ ID NO:2.

29. A fusion protein comprising a RAC3 polypeptide operatively linked to a non-RAC3 polypeptide.

- 30. The fusion protein of claim 29, wherein the RAC3 polypeptide comprises a RAC3 domain.
  - 31. An antibody that specifically binds a RAC3 protein.
  - 32. The antibody of claim 31, which is monoclonal.

30

33. The antibody of claim 32, which is labeled with a detectable substance.

A pharmaceutical composition comprising the protein of claim 27 and a 34. pharmaceutically acceptable carrier.

35

35. A pharmaceutical composition comprising the antibody of claim 31 and a pharmaceutically acceptable carrier.

36. A method for modulating a cell-associated activity comprising contacting a cell with an agent which modulates RAC3 protein activity or RAC3 nucleic acid

10

expression such that the cell-associated activity is altered relative to the cell-associated activity of the cell in the absence of the agent.

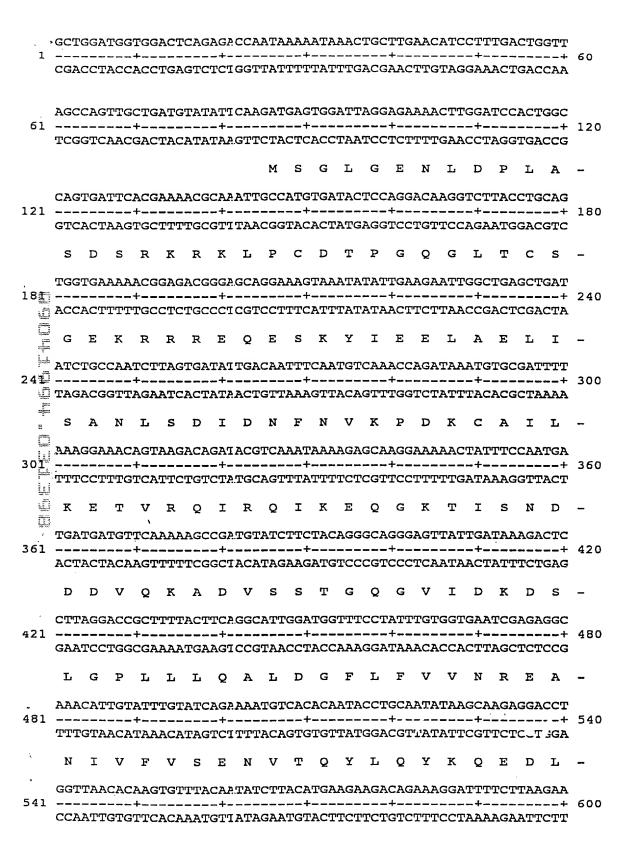
- 37. A method for treating a subject having a disorder characterized by
   aberrant RAC3 protein activity or nucleic acid expression comprising administering to the subject a RAC3 modulator such that treatment of the subject occurs.
  - 38. A method for detecting the presence of RAC3 activity in a biological sample comprising contacting a biological sample with an agent capable of detecting an indicator of RAC3 activity such that the presence of RAC3 activity is detected in the biological sample.
  - 39. A kit for detecting the presence of RAC3 activity in a biological sample comprising an agent capable of detecting an indicator of RAC3 activity in a biological sample.
  - 40. A diagnostic assay for identifying a genetic alteration in a cell sample, the presence or absence of the genetic alteration characterized by at least one of (i) aberrant modification or mutation of a gene encoding a RAC3 protein, and (ii) misregulation of said gene or (iii) aberrant post-translational modification of a RAC3 protein.
  - 41. A method for identifying a compound that modulates the activity of a RAC3 protein, comprising:
  - a. providing an indicator composition comprising a protein having RAC3 activity;
    - b. contacting the indicator composition with a test compound; and
  - c. determining the effect of the test compound on RAC3 activity in the indicator composition to thereby identify a compound that modulates the activity of a RAC3 protein.

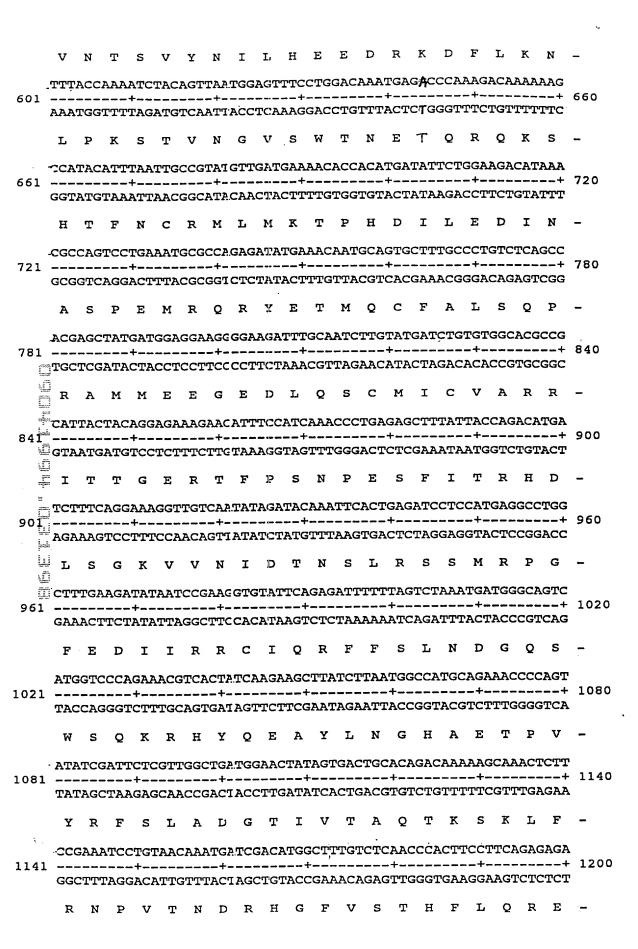
# <u>A TRANSCRIPTIONAL COACTIVATOR OF STEROID/NUCLEAR</u> <u>RECEPTORS</u>

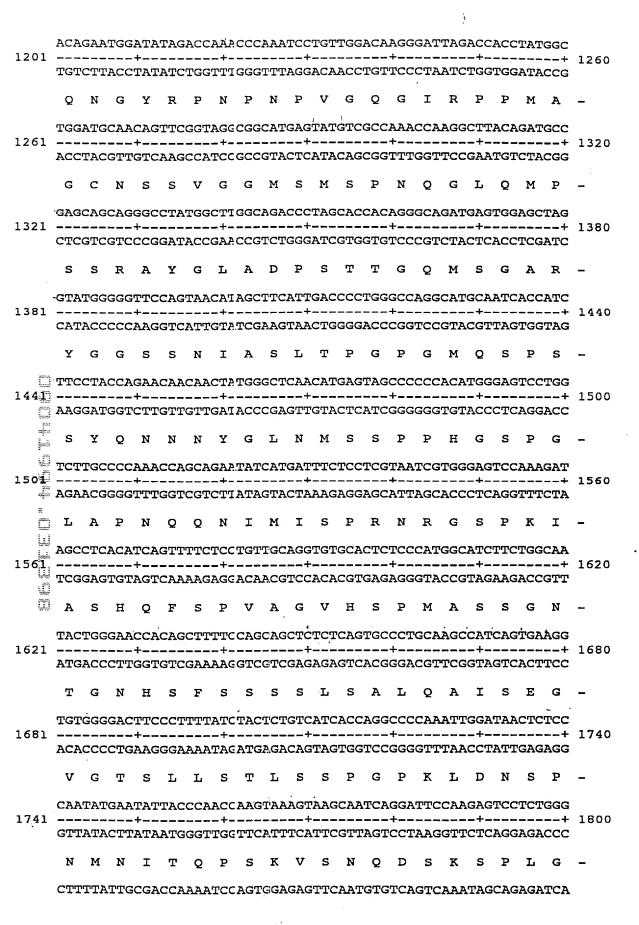
## Abstract of the Disclosure

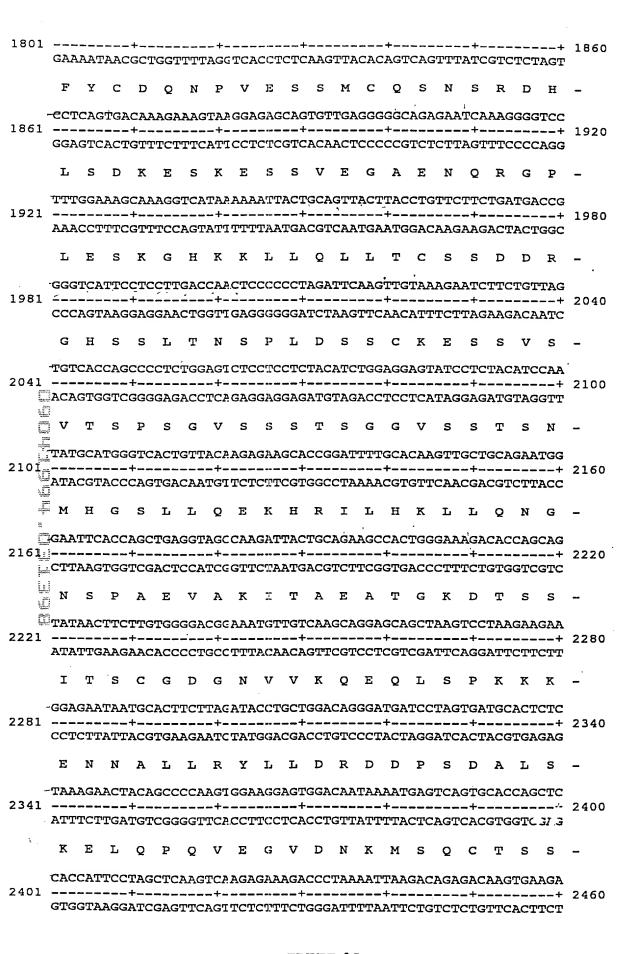
A new transcriptional co-activator that interacts with steroid/nuclear receptors

bas been identified. The peptide and its nucleic acid sequences are useful for modulating the activity of steroid/nuclear receptors and biochemical processes in which steroid/nuclear receptors play a role.

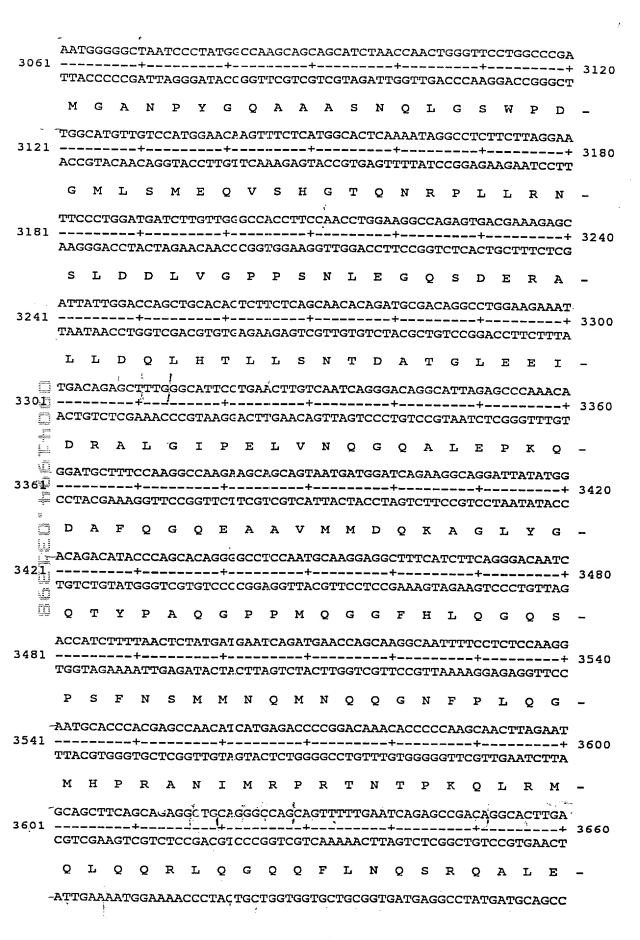


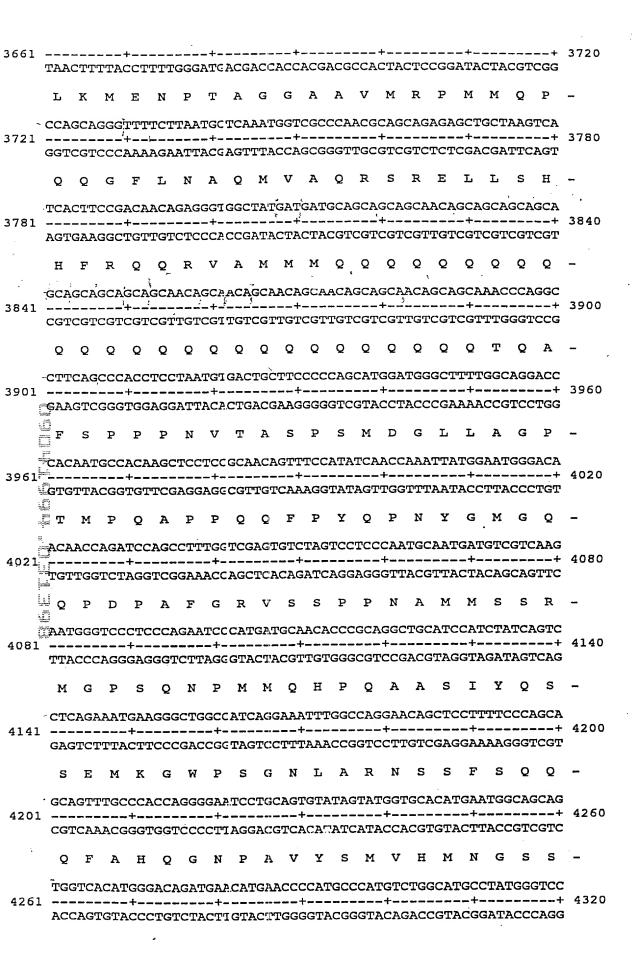






	T	I	P	s	s	s	Q	E	K'	D	P	K	I	ĸ	T	E	T	s	E	E	-			
2461	GGG																				2520			
2401				TCT																	2320			
	G	s	G	D	L	D	N	L	D)	A	I	L	G	D	L	T	s	s	D	F	_			
2521	TTA	CAA	TAA	TTC	CAT				TGG						TAA	GCA/	ACA	jgt	GTT:		0500			
2321	AAT	GTT.	ATT	AAG	GTA:										ATT	CGT	rgr	CCA	CAA		2580			
	Y	N	N	s	I	s	s	N	G	s	H	L	G	T	K	Q	Q	v	F	Q	-			
	AGGAACTAATTCTCTGGGTTTGAAAAGTTCACAGTCTGTGCAGTCTATTCGTCCTCCATA														2640									
2301	TCCTTGATTAAGAGACCCAAACTTTTCAAGTGTCAGACACGTCAGATAAGCAGGAGGTAT													2040										
	G	Т	N	s	L	G	Ŀ	ĸ	S	S	Q	s	v	Q	s	I	R	P	P	Y	-			
2641	TAA																				2700			
	ATT	GGC'	TCG	TCA	CAG	AGA	CCI.	ATC	GGG.	ACA	AAG.	ACA	ACC	GAG!	FTC	AGG	AGG!	rca:	PTT:	rtt				
	N	R	A	V	s	L	D	5	P	v	s	V	G	s	s	P	P	v	K	N	-			
2701	TATCAGTGCTTTCCCCATGTTACCAAAGCAACCCATGTTGGGTGGG														2760									
	ATAGTCACGAAAGGGGTACAATGGTTTCGTTGGGTACAACCCACCC																							
	I	S	A	F	P	M	L	P	K.	Q	P	M	L	G	G	N	P	R	M	M	-			
	GGA	TAG'	TCA	GGAI	AAA!	TTA!								AAA +							2820			
	CCT.	ATC.	AGT	CCT'	rtt:	AAT	ACC	GAG	TT'C.	ATA	CCC.	ACC	CGG'	TTT	GGC:	PPT2	ACA	CTG	ACAC	CTG				
-	D	S	Q	E	N	Y	G	S	S	M	G	G	P	N	R	N	V	T	V	T	<b>-</b>			
2821	TCA	GAC'	TCC	TTC(	CTC																2880			
	AGT	CTG.	AGG.	AAG	GAG'	rcc:	rci	GAC	CCC	GAA'	rgg	TTT	GAG'	TTT	CCG	3CC(	GTC:	PTA	CCT	rgg				
	Q	T	P	S	S	G	D	W	G	L	P	N	S	K	A	G	R	M	E	P	-			
2881				AAA( +																	2940			
	ATA	CTT.	AAG	TTT(	GAG	GTA	CCC	TTC	TGG	TCC	rcc	TCT.	AAT	ATT	ATG	AAG	AAA	rgg	GTC:	rgg				
					_															P	-			
2941	TGC																				3000			
	ACG	TGA	CCC.	ACC	GAG:	ATA	AGG	GTG	TAA	CGG.	AGA	AGC	CAG	ATT	ATC	GTA!	rgg:	rcc	ACG	CTC				
	A	L	G	G	S	I	P	T	L	P	L	R	S	N	s	I	P	G	A	R				
3001	ACC																				3060			
	TGG	TCA	TAA	CGT	rg T	CGT	CGI	CGT	CTA	CGA	AGT'	TTA	CTC	CGG	ACC	ACT	TTAC	GGG	GTAC	CCC				
	P	V	L	Q	Q	Q	Q	Q	M	L	Q	M	R	P	G	E	I	P	M	G	-			

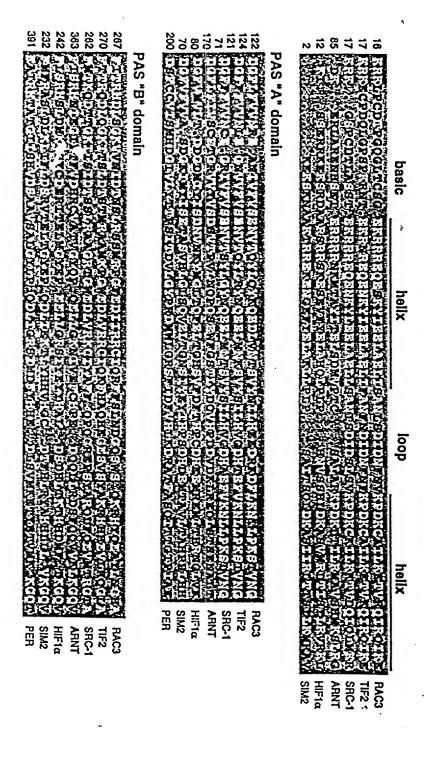




	G	H	M	G	Q	M	N	M	N	P	M	P	M	s	G	M	P	M	G	P	
4321				+			-+-			+				+			-+-			AAA + TTT	4380
~	D	Q ·	K	Y	С	*															
4381				+			_+-			+				+			-+-			GAA + CTT	4440
4441	AGG			+			-+-			+				+			-+-		- 4	1495	

#### bHLH domain

MSGLGENLDP LASDSRKRKL PCDTPGQGLT CSGEKRRREQ ESKYIEELAE 1 LISANLSDID NFNVKPDKCA ILKETVRQIR QIKEQGKTIS NDDDVOKADV 51 PAS "A" domain SSTGQGVIDK DSLGPLLLQA LDGFLFVVNR EANIVFVSEN VTOYLOYKOE 101 DLVNTSVYNI LHEEDRKDFL KNLPKSTVNG VSWTNEPQRQ KSHTFNCRML 151 MKTPHDILED INASPEMRQR YETMQCFALS QPRAMMEEGE DLQSCMICVA 201 PAS B domain
RRITTGERTF PSNPESFITR HDLSGKVVNI DTNSLRSSMR PGFEDIIRRC 251 IORFFSLNDG OSWSOKRHYO EAYLNGHAET PVYRFSLADG TIVTAOTKSK 301 351 LFRNPVTNDR HGFVSTHFLQ REQNGYRPNP NPVGQGIRPP MAGCNSSVGG → RAC3.1 401 MSMSPNQGLQ MPSSRAYGLA DPSTTGQMSG ARYGGSSNIA SLTPGPGMOS 451 PSSYQNNYG LNMSSPPHGS PGLAPNQQNI MISPRNRGSP KIASHQFSPV AGVHSPMASS GNTGNHSFSS SSLSALQAYS EGVGTSLLST LSSPGPKLDN 501 551 SPNMNITQPS KVSNODSKSP LGFYCDQNPV ESSMCQSNSR DHLSDKESKE 601 SSVEGAENOR GPLESKGHKK LLOLLTCSSD DRGHSSLTNS PLDSSCKESS VSVTSPSGVS SSTSGGVSST SNMHGSLLQE KHRILHKLLQ NGNSPAEVAK 651 ITAEATGKDT SSITSCGDGN VVKOEQLSPK KKENNALLRY LLDRDDPSDA 701 751 LSKELQPQVE GVDNKMSQCT SSTIPSSSQE KDPKIKTETS EEGSGDLDNL DATLGDLTSS DFYNNSISSN GSHLGTKQQV FQGTNSLGLK SSQSVQSIRP 801 851 PYNRAVSLDS PVSVGSSPPV KNISAFPMLP KQPMLGGNPR MMDSQENYGS SMGGPNRNVT VTQTPSSGDW GLPNSKAGRM EPMNSNSMGR PGGDYNTSLP 901 951 RPALGGSIPT LPLRSNSIPG ARPVLQQQQQ MLQMRPGEIP MGMGANPYGO 1001 AAASNQLGSW PDGMLSMEQV SHGTQNRPLL RNSLDDLVGP PSNLEGQSDE RALLDOLHTL LSNTDATGLE EIDRALGIPE LVNQGQALEP KQDAFQGOEA 1051 1101 AVMMDQKAGL YGQTYPAQGP PMQGGFHLQG QSPSFNSMMN QMNQQGNFPL Q-rich domain
QGMHPRANIM RPRTNTPKQL RMQLQQRLQG QQFLNQSRQA LELKMENPTA 1151 -, RAC3.1 1201 GGAAVMRPMM OPOOGFLNAQ MVAQRSRELL SHHFROQRVA MMMQQQQQQO 1251 QQQQQQQQQ QQQQQQQT QAFSPPPNVT ASPSMDGLLA GPTMPQAPPO 1301 OFPYOPNYGM GOOPDPAFGR VSSPPNAMMS SRMGPSQNPM MQHPQAASIY QSSEMKGWPS GNLARNSSFS QQQFAHQGNP AVYSMVHMNG SSGHMGQMNM 1351 1401 NPMPMSGMPM GPDQKYC\*

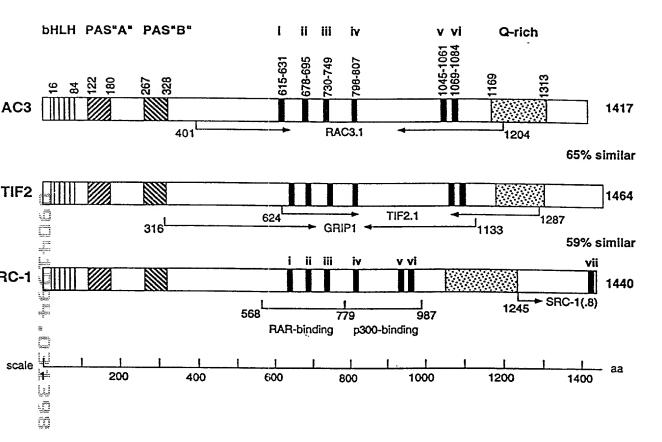


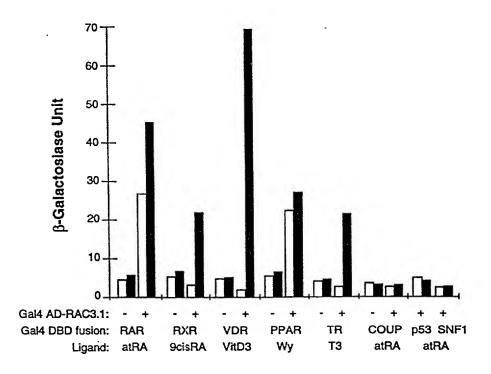
```
ı.
           SKGHKKLLQLLTCSSDD
SKGQTKLLQLLTTKSDD
SQTSHKLVQLLTTTAEE
                                                      RAC3
                                                                (615-631)
                                                     TIF2
                                                                (640-651)
                                                      SRC1
                                                                (632-643)
ii.
           LQEKHRILHKLLQNGNSP
LKEKHKILHRLLQDSSSP
LTERHKILHRLLQEG.SP
                                                      RAC3
                                                                (678-695)
                                                      TIF2
                                                                (683-699)
                                                      SRC1
                                                                (683-699)
III.

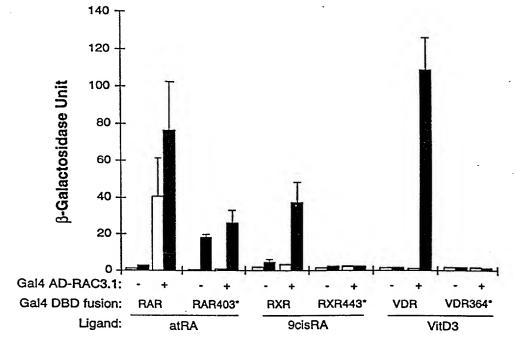
KKKE..NNALLRYLLDRDDPSD RAC3

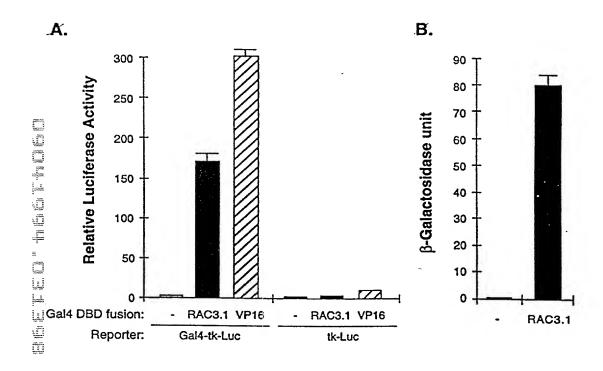
KKKE..NALLRYLLDKDDTKD TIF2

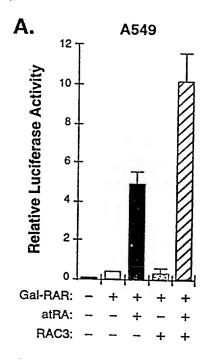
KKKESKDHQLLRYLLDKDE.KD SRC1
                                                                (730-749)
                                                                (738-753)
                                                                (739-757)
lv.
         DLDNLDAILGDL
ELDNLEEILDDL
DLDQFDQLLPTL
                                                      RAC3
                                                                (796-807)
                                                      TIF2
                                                                (803-814)
                                                      SRC1
                                                                (810-821)
       EGQSDERALLDQIHTLL
ESPSDEGALLDQIYLAL
EGRNDEKALLEQIVSFL
                                                      RAC3
                                                                (1045-1061)
                                                      TIF2
                                                                (1071-1087)
                                                                (924-940)
                                                      SRC1
VI. LEEIDRALGIPELVNQ
LEEIDRALGIPELVSQ
LAELDRALGIDKLV.Q
                                                      RAC3
                                                                (1069-1084)
                                                      TIF2
                                                                (1093-1108)
                                                      SRC1
                                                                (948-962)
vii. QTPQAQQKSLLQQLLTE*
                                                      SRC1 (1424-1440)
```

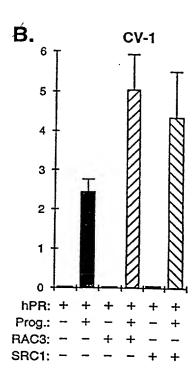












Customer Number: 000959

Attorney's
Docket
Number UMM-026

Declaration, Petition and Power of Attorney For Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

<b>Transcriptional Coactivator For Nuclear Hormone R</b>	leceptors	
the specification of which		
To the state of th		
(check one)		
is attached hereto.		
was filed on	as	
Application Serial No.		
្នា and was amended on		
(if applicable)		

I do not know and do not believe that the subject matter of this application was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to the date of this application, and that said subject matter has not been patented or made the subject of an issued inventor's certificate in any country foreign to the United States on an application filed by me or my legal representatives or assigns more than twelve months prior to the date of this application; that I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application, that no application for patent or inventor's certificate on the subject matter of this application has been filed by me or my representatives or assigns in any country foreign to the United States, except those identified below, and that I have reviewed and understand the contents of the specification, including the claims as amended by any amendment referred to herein.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

### PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

## Check one:

- X no such applications have been filed.
- \_ such applications have been filed as follows

# EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Application Number	Date of Filing	Priority	Claimed
	(month,day,year)	Under 35	5 USC 119
		_ Yes	No _
		_ Yes	No _
		_ Yes	No _
		_ Yes	No_
		_ Yes	No _
	Application Number		(month,day,year) Under 35  _ Yes  _ Yes  _ Yes  _ Yes  _ Yes  _ Yes

(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION						

# CLAIM FOR BENEFIT OF U.S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

60/073674	February 4, 1998				
(Application Serial No.)	(Filing Date)				
(Application Serial No.)	(Filing Date)				

## CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application. As to subject matter of this application which is common to my earlier United States application, if any, described below, I do not believe that the same was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to said earlier application, or in public use or on sale in the United States more than one year prior to said earlier application, that the said common subject matter has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States on an application, filed by me or my legal representatives or assigns more than twelve months prior to said application and that no application for patent or inventor's certificate on said subject matter has been filed by me or my representatives or assigns in any country foreign to the United States except those identified herein.

Application Serial No.)	(Filing Date)	(Status)
	, ,	(patented, pending, aband.)
Anytheria Control of the Control of		(4 months) (4 months)
(Application Serial No.)	(Filing Date)	(Status)
Statement - distance - dista		(patented, pending, aband.)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

W. Hugo Liepmann	Reg. No. 20,407	Jeremiah Lynch	Reg. No. 17,425
James E. Cockfield	Reg. No. 19,162	Lawrence E. Monks	Reg. No. 34,224
Thomas V. Smurzynski	Reg. No. 24,798	David A. Lane	Reg. No. 39,261
Ralph A. Loren	Reg. No. 29,325	Catherine J. Kara	Reg. No. 41,106
Thomas J. Engellenner	Reg. No. 28,711	Mark D. Russett	Reg. No. 41,281
Giulio A. DeConti, Jr.	Reg. No. 31,503	Scott D. Rothenberger	Reg. No. 41,277
Ann Lamport Hammitte	Reg. No. 34,858	Thomas P. Grodt	Reg. No. 41,045
Paul Louis Myers	Reg. No. 35,965	Linda M. Chinn	Reg. No. 31,240
Elizabeth A. Hanley	Reg. No. 33,505	Kevin J. Canning	Reg. No. 35,470
Michael I. Falkoff	Reg. No. 30,833	Ivana Maravic-Magovcevic	Reg. No. P43,338
John V. Bianco	Reg. No. 36,748	Faustino A. Lichauco	Reg. No. P41,942
Amy E. Mandragouras	Reg. No. 36,207	C. Eric Schulman	Reg. No. P43,350
Anthony A. Laurentano	Reg. No. 38,220	Jeanne M. DiGiorgio	Reg. No. P41,710
Jane E. Remillard	Reg. No. 38,872	Megan E. Williams	Reg. No. P43,270
Mark A. Kurisko	Reg. No. 38,944		
Send Correspondence t  Lahive & Cockfield, L	LP, 28 State Street, B	Soston, MA 02109	
Direct Telephone Calls	to: (name and teleph	one number)	
Megan E. Williams, (6	517) 227-7400		
•			
Whorefore I notition th	at latters matera he are	inted to me for the invention or d	incovery described and
44	-		
originati,	-	ms, and hereby subscribe my na	
$_{\parallel}$ and claims and to the f	oregoing declaration,	power of attorney, and this petiti	on.
I hereby declare that al	l statements made her	ein of my own knowledge are tru	ie and that all
-		re believed to be true; and furthe	
		alse statements and the like so m	
~		1001 of Title 18 of the United S	
	nents may jeopardize	the validity of the application or	any patent issued
thereon.			
Full name of sole or fir	st inventor		
J. Don Chen			
Inventor's signature		Date	
inventor s signature		Date	
Residence			
Citizenship			
Post Office Address (if	different)		
1 ost office radices (II	. dilloronti		
L		<del></del>	

Full name of second inventor, if any	
Hui Li	
Inventor's signature	Date
Residence	
Citizenship	
Post Office Address (if different)	
Fost Office Address (if different)	
Full name of third inventor, if any	
	_
Inventor's signature	Date
Residence	
Citizenship	
Doct Office Address (if different)	
Post Office Address (if different)	
<u>製</u>	
Full name of fourth inventor, if any	
Inventor's signature	Date
Residence	
Citizenship	
D + Office Address (if different)	
Post Office Address (if different)	